

18. LECTURE 15: VECTOR BUNDLES AND $K_c^0(X)$
WEDNESDAY, 8 OCTOBER

We start with an involution which is a finite rank perturbation of γ_1 , $\gamma_1 + a$, $\Pi_k a = a \Pi_k = a$. Thus, restricting to $\mathbb{C}^2 \otimes \Pi_k$ which we can identify with any other $2k$ -dimensional vector space we have an involution

$$(18.1) \quad I = I_+ - I_- \text{ acting on } \mathbb{C}^2 \otimes \text{Ran}(\Pi_k) \cong \mathbb{C}^{2k}.$$

Then consider a further slice $\mathbb{C}^2 \otimes (\Pi_{3k} - \Pi_k)$. Here we can identify $\text{Ran}(\Pi_{3k} - \Pi_k)$ with \mathbb{C}^{2k} and so write the restriction of $\gamma_1 \otimes \text{Id}$ as

$$(18.2) \quad \gamma_1 \otimes (I_+ + I_-).$$

So the part of the involution in $\mathbb{C}^2 \otimes \text{Ran}(\Pi_{3k})$ is

$$(18.3) \quad \begin{aligned} & (I_+(x) - I_-(x)) \oplus E_+ \otimes (I_+ + I_-) \oplus -E_- \otimes (\Pi_{3k} - \Pi_k), \\ & E_+ = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad E_- = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}. \end{aligned}$$

Now, the I_- part of the first block can be rotated with the I_- part of the second block and thus there is an homotopy leading from (18.2) to

$$(18.4) \quad \begin{aligned} & (I_+(x) + I_-(x)) \oplus E_+ \otimes (I_+ - I_-) \oplus -E_- \otimes (\Pi_{3k} - \Pi_k) \\ & = (E_+ + E_-) \oplus \Pi_k + E_+ \otimes (I_+ - I_-) \oplus -E_- \otimes (\Pi_{3k} - \Pi_k). \end{aligned}$$

This computation proves:-

Lemma 21. *Any $f \in \mathcal{C}_c^\infty(X; \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^d))$ is homotopic through such maps to one of the form*

$$(18.5) \quad \tilde{f}(x) = \gamma_1 \otimes (\text{Id} - \Pi_{3k}) + \begin{pmatrix} I(x) & 0 \\ 0 & -\text{Id} \end{pmatrix} \otimes (\Pi_{3k} - \Pi_k) + \begin{pmatrix} \text{Id} & 0 \\ 0 & \text{Id} \end{pmatrix} \otimes \Pi_k$$

where $I(x)$ is a smooth family of involutions acting on the $2k$ -dimensional space which is the range of $\Pi_{3k} - \Pi_k$.

In consequence \tilde{f} commutes with γ_1 and has positive and negative projections of the form

$$(18.6) \quad \begin{aligned} \tilde{f}_+(x) &= E_+ \otimes (\text{Id} - \Pi_{3k} + I_+ + \Pi_k) + E_- \otimes \Pi_k \\ \tilde{f}_-(x) &= E_- \otimes (\text{Id} - \Pi_k) + E_+ \otimes I_-, \end{aligned}$$

which therefore commute (with each other of course and) with E_+ and E_- . One really might as well write this in the more symmetric form

$$(18.7) \quad \begin{aligned} \tilde{f}_+(x) &= E_+ \otimes (\text{Id} - P^-(x)) + E_- \otimes (P^+(x)), \\ \tilde{f}_-(x) &= E_- \otimes (\text{Id} - P^+(x)) + E_+ \otimes (P^-(x)), \\ \Pi_l P^\pm &= P^\pm \Pi_l = (P^\pm)^2 \text{ and } P^+ P^- = P^- P^+ = 0 \end{aligned}$$

where $l = 3k$. Then (18.6) shows that we can take $P^+ = \Pi_k$, $k \leq l$; by considering $-I$ it follows similarly that one can arrange by homotopy that $P^- = \Pi_k$ instead. Note that it follows from (18.7) that

$$(18.8) \quad \begin{aligned} & \text{ind}(\tilde{f}(x)) = \\ & \frac{1}{2} \text{tr} \left((E_- + E_+) \otimes P^+(x) - (E_+ + E_-) \otimes P^-(x) \right) = \text{rank}(P^+) - \text{rank}(P^-). \end{aligned}$$

This gives us the basic relationship between vector bundles and smooth families of involutions, namely $P^+ \oplus P^-$ is a ‘superbundle’ – the formal difference of two bundles – which also determines the element of $K_c^0(X)$ fixed by \tilde{f} .

Said a different way, the space $\mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k)$ of involutions itself has an involution acting on it, namely

$$(18.9) \quad \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k) \ni \gamma_1 + a \mapsto \gamma_1(\gamma_1 + a)\gamma_1 \in \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k).$$

This is however ‘trivial’ as far as homotopy is concerned. Namely

Lemma 22. *Any map $f \in \mathcal{C}_c^\infty(X; \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k))$ is homotopic to some*

$$(18.10) \quad \begin{aligned} & \tilde{f} \in \mathcal{C}_c^\infty(X; \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k)) \text{ satisfying} \\ & \gamma_1 \tilde{f}(x) = \tilde{f}(x)\gamma_1 \text{ and } a = \Pi_k a = a\Pi_k \end{aligned}$$

for some k .

Proof by Jesse and Paul, not proofread yet. First suppose that

$$f_i : X \rightarrow \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^d), \quad i = 0, 1,$$

are maps with $f_1 \sim f_0$. Then there is a map

$$\begin{aligned} F : [0, 1] \times X &\rightarrow \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^d) \\ F(0, x) &= f_0(x) \\ F(1, x) &= f_1(x) \end{aligned}$$

By the above lemma, there is a homotopy from F to a map \tilde{F} so that \tilde{F} has a decomposition

$$\tilde{F}(t, x) = E_+ \otimes (Id - 2P_-(t, x)) - E_- \otimes (Id - 2P_+(t, x)),$$

and that furthermore P_- can be chosen so that $P_- \equiv \pi_k$ for some big k , so in particular $P_-(0, x) = P_-(1, x)$. It follows that the $P_+(t, \cdot)$ define isomorphic bundles for all t by an open and closed argument (openness is always true, and the closed part follows from the constancy of the rank.)

For the converse, suppose we have an equivalence of bundles

$$(18.11) \quad P_-^0 \oplus P_+^1 \oplus S = P_-^1 \oplus P_+^0 \oplus S = \mathbb{C}^l,$$

over a space X . Then we choose an identification of \mathbb{C}^l with a subspace of $\mathcal{S}(\mathbb{R}^d)$ so that π_l is projection thereon, and define

$$f^i = E_+ \otimes (Id - 2P_-^i) - E_- \otimes (Id - 2P_+^i),$$

for $i = 0, 1$. The lemma then follows by using (18.11) and rotating blocks as follows.

$$\begin{aligned} f^0 &= E_+ \otimes (Id - 2P_-^0) - E_- \otimes (Id - 2P_+^0) \\ &= E_+ \otimes ((Id - 2P_-^0)\pi_l) - E_- \otimes ((Id - 2P_+^0)\pi_l) \\ &\quad + E_+ \otimes ((Id - 2P_-^0)(Id - \pi_l)) - E_- \otimes ((Id - 2P_+^0)(Id - \pi_l)), \end{aligned}$$

so just deal with the middle line, so that we only consider $f^0(E_+ \otimes \pi_k + E_- \otimes \pi_k)$, which is

$$\begin{aligned} &= E_+ \otimes (Id_{\mathbb{C}^l} - 2P_-^0) - E_- \otimes (id_{\mathbb{C}^l} - 2P_+^0) \\ &= E_+ \otimes (P_+^1 + S - P_-^0) - E_- \otimes (P_-^1 + S - P_+^0) \end{aligned}$$

Everything here is in blocks, so you can rotate the two S 's into one another, which switches their signs. This and another substitution gives

$$\begin{aligned} &= E_+ \otimes (P_+^1 - S - P_-^0) - E_- \otimes (P_-^1 - S - P_+^0) \\ &= E_+ \otimes (2P_+^1 - Id_{\mathbb{C}^l}) - E_- \otimes (2P_-^1 - Id_{\mathbb{C}^l}) \\ &= E_+ \otimes (Id_{\mathbb{C}^l} - 2P_-^1) - E_- \otimes (Id_{\mathbb{C}^l} - 2P_+^1) \end{aligned}$$

Adding this back to the part we ignored gives the homotopy we wanted. \square

This is a direct consequence of Lemma 21.

Proposition 16. *Any map $\tilde{f} \in \mathcal{C}_c^\infty(X; \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k))$ satisfying (18.10) is of the form (18.7) and two such maps \tilde{f}_i are homotopy if and only if there is a vector bundle S over X which is identified with \mathbb{C}^p outside a compact set and a bundle isomorphism*

$$(18.12) \quad \text{Ran}(P_1^+) \oplus \text{Ran}(P_2^-) \oplus S \longrightarrow \text{Ran}(P_2^+) \oplus \text{Ran}(P_1^-) \oplus S$$

which is the natural identification outside a compact set; here the ranges of the projections are considered as vector bundles over X .

Proof. \square

The adiabatic Bott element constructed earlier

$$(18.13) \quad B = \gamma_1 \otimes \text{Id} + D, \quad D \in \Psi_{\text{sl, iso}}^{-\infty}(\mathbb{R}; \mathbb{C}^2)$$

is an involution, $B^2 = \text{Id}$, and satisfies

$$(18.14) \quad \begin{aligned} \sigma_{\text{sl}}(B) &= \gamma_1 \otimes \text{Id} + \delta(t, \tau) = b(t, \tau) \\ R(B) &= \gamma_1 \otimes (\text{Id} - \Pi_1) + \text{Id} \otimes \Pi_1 \in M(2, \mathbb{C}) + \Psi_{\text{iso}}^{-\infty}(\mathbb{R}; \mathbb{C}^2) \end{aligned}$$

which is (18.7) with $P^- = 0$, $P^+ = \Pi_1$, $l = 1$.

Completion of proof of Proposition 15. To prove the even semiclassical lifting property we can take an element in the form (18.7). Consider

$$(18.15) \quad \begin{aligned} \tilde{B} &= \gamma_1 \otimes (\text{Id} - P^+(x) - P^-(x)) + B \otimes P^+(x) - B \otimes P^-(x) \\ &\in \mathcal{C}_c^\infty(X; M(2, \mathbb{C}) + \Psi_{\text{ad, iso}}^{-\infty}(\mathbb{R}; \mathbb{R}^k)). \end{aligned}$$

I think this quantizes to the right thing and so proves the surjectivity of R in (17.15). Injectivity follows using Atiyah's rotation again. \square

Now, let me consider the clutching constructions. Perhaps I will take the time to do this carefully, for the moment I have just written these down and am hoping for the best!

First, from even to odd. There is an actual map

$$(18.16) \quad \begin{aligned} \text{cl}_{\text{eo}} : \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k) \ni I = \gamma_1 + a &\longmapsto \\ &(\cos(\Theta(t)) - i \sin(\Theta(t))\gamma_1)(\cos(\Theta(t)) + i \sin(\Theta(t))I) \\ &= \text{Id} + i \sin(\Theta(t))(\cos(\Theta(t)) - i \sin(\Theta(t))\gamma_1)a \in G_{\text{sus, iso}}^{-\infty}(\mathbb{R}^k; \mathbb{C}^2). \end{aligned}$$

Here $\Theta \in \mathcal{C}^\infty(\mathbb{R})$ is non-decreasing, vanishes for t sufficiently negative and is equal to π for t positive. Similarly, from odd to even

$$(18.17) \quad \text{cl}_{\text{oe}} : G_{\text{iso}}^{-\infty}(\mathbb{R}^k) \ni g \mapsto I(t) = \begin{cases} \begin{pmatrix} \cos(\Theta(t)) & \sin(\Theta(t))g \\ \sin(\Theta(t))g^{-1} & -\cos(\Theta(t)) \end{pmatrix} & t \leq 0 \\ \begin{pmatrix} \cos(2\pi - \Theta(-t)) & \sin(2\pi - \Theta(-t)) \\ \sin(2\pi - \Theta(-t)) & -\cos(2\pi - \Theta(-t)) \end{pmatrix} & t > 0 \end{cases} \in \mathcal{H}_{\text{sus,iso}}^{-\infty}(\mathbb{R}^k).$$

Proposition 17. *The clutching maps in (18.16) and (18.17) induce isomorphisms in K -theory giving commutative diagrams for any manifold X :*

(18.18)

$$\begin{array}{ccccc} & & \text{Pad} & & \\ & \swarrow & \text{---} & \searrow & \\ [X; \mathcal{H}_{\text{iso}}^{-\infty}(\mathbb{R}^k)]_c & \xrightarrow{\text{cl}_{\text{eo}}} & [X; G_{\text{sus,iso}}^{-\infty}(\mathbb{R}^k; \mathbb{C}^2)]_c & \xrightarrow{\text{cl}_{\text{oe}}} & [X; \mathcal{H}_{\text{sus}(2),\text{iso}}^{-\infty}(\mathbb{R}^k; \mathbb{C}^2)]_c \\ \parallel & & \parallel & & \parallel \\ \mathbf{K}_c^0(X) & \xrightarrow{\text{cl}_{\text{eo}}} & \mathbf{K}_c^1(\mathbb{R} \times X) & \xrightarrow{\text{cl}_{\text{oe}}} & \mathbf{K}_c^0(\mathbb{R}^2 \times X) \\ & \nwarrow & \text{---} & \swarrow & \\ & & \text{Pad} & & \end{array}$$

and

$$(18.19) \quad \begin{array}{ccccc} & & \text{Pad} & & \\ & \swarrow & \text{---} & \searrow & \\ [X; G_{\text{iso}}^{-\infty}(\mathbb{R}^k)]_c & \xrightarrow{\text{cl}_{\text{oe}}} & [X; \mathcal{H}_{\text{sus,iso}}^{-\infty}(\mathbb{R}^k)]_c & \xrightarrow{\text{cl}_{\text{oe}}} & [X; G_{\text{sus}(2),\text{iso}}^{-\infty}(\mathbb{R}^k; \mathbb{C}^2)]_c \\ \parallel & & \parallel & & \parallel \\ \mathbf{K}_c^{-1}(X) & \xrightarrow{\text{cl}_{\text{eo}}} & \mathbf{K}_c^0(\mathbb{R} \times X) & \xrightarrow{\text{cl}_{\text{oe}}} & \mathbf{K}_c^{-1}(\mathbb{R}^2 \times X) \\ & \nwarrow & \text{---} & \swarrow & \\ & & \text{Pad} & & \end{array}$$