

18.757 HW QUASI-ANSWERS

1. 1ST HOMEWORK

Problem 1. Prove that the restriction of homogeneous polynomials to sphere is one to one. That is, if Q is a homogeneous polynomial of degree m and $Q|_{S^{n-1}} = 0$, then $Q = 0$.

Proof. This is true only if the sphere is nonempty; that is, if $n > 0$. So assume that. For any nonzero vector $v \in \mathbb{R}^n$, $\frac{v}{\|v\|} \in S^{n-1}$. If Q is a homogeneous polynomial of degree m , and $Q|_{S^{n-1}} = 0$, then $Q(v) = Q(\|v\| \frac{v}{\|v\|}) = \|v\|^m Q(\frac{v}{\|v\|}) = 0$. So Q vanishes except perhaps at 0. Since Q is continuous, we have $Q = 0$. □

Problem 2. Prove that $P^{m-2} \subset P^m$ for $m \geq 2$.

Proof. Recall that P^m is the space of restrictions to the sphere of homogeneous polynomials of degree m . Because of Problem 1, it makes sense to define $i : P^{m-2} \rightarrow P^m$ by $i(f|_{S^{n-1}}) = [(x_1^2 + \dots + x_n^2)f]|_{S^{n-1}}$. It is clear that $(x_1^2 + \dots + x_n^2)f|_{S^{n-1}} \in P^m$. Since multiplication by a nonzero polynomial is one to one, this map is one to one. Hence $P^{m-2} \subset P^m$. □

Problem 3. Calculate $\dim P_m$ and $\dim P_m/P_{m-2}$

Proof. By Problem 1, the monomials of degree m in n variables form a basis of P_m . Hence $\dim P_m = \binom{n+m-1}{m} = \binom{n+m-1}{n-1}$, and $\dim P_m/P_{m-2} = \binom{n+m-1}{n-1} - \binom{n+m-3}{n-1}$. □

2. 2ND HOMEWORK

Problem 4. Calculate the eigenspaces of $r^2\Delta$ acting on $S^m(\mathbb{R}^n)$

Proof. For $n = 1$, x^k are the eigenvectors with eigenvalue $k(k-1)$. Now we consider $n \geq 2$. We can show from a brute calculation that

$$r^2\Delta(r^{2k}f) = 2k(n+2m-2k-2)r^{2k}f + r^{2k+2}\Delta(f).$$

Therefore $r^{2k}H^{m-2k}$ is an eigenspace of $r^2\Delta$ with eigenvalue $2k(n+2m-2k-2)$. Observe that we have a decomposition $S^m(\mathbb{R}^n) = H^m \oplus r^2H^{m-2} \oplus \dots \oplus r^{2[\frac{m}{2}]}H^{m-2[\frac{m}{2}]}$, hence this is an eigenspace decomposition of $S^m(\mathbb{R}^n)$. Furthermore the eigenvalues $2k(n+2m-2k-2)$ are all distinct for $n \geq 2$. Therefore $\{r^{2k}H^{m-2k} | k = 0 \dots [\frac{m}{2}]\}$ is the complete set of eigenspaces of $r^2\Delta$ on $S^m(\mathbb{R}^n)$. □

Problem 5. Show that every n variable polynomial can be uniquely written as a polynomial in r^2 with harmonic polynomial coefficients.

Proof. First, observe that any polynomial f can be uniquely written as a sum of homogeneous polynomials $f = f_0 + \dots + f_d$, $f_i \in S^i(\mathbb{R}^n)$. Second, we have the decomposition $S^i(\mathbb{R}^n) = H^i \oplus r^2H^{i-2} \oplus \dots \oplus r^{2[\frac{i}{2}]}H^{i-2[\frac{i}{2}]}$ for each i . The assertion follows. □

Problem 6. Suppose $n = p + q$, positive integers. Decompose ${}^n H^m|_{O(p) \times O(q)}$ into irreducibles.

Proof. We have the decomposition $S^m(\mathbb{R}^n)|_{O(p) \times O(q)} = \bigoplus_{i=1}^m S^i(\mathbb{R}^p) \otimes S^{m-i}(\mathbb{R}^q)$. Express both sides as a sum of ${}^i H^j$'s using $S^i(\mathbb{R}^n) = H^i \oplus r^2 H^{i-2} \oplus \dots \oplus r^{2[\frac{i}{2}]} H^{i-2[\frac{i}{2}]}$. Since ${}^p H^i$ and ${}^q H^j$ are irreducible representations of $O(p)$ and $O(q)$ respectively, ${}^p H^i \otimes {}^q H^j$ is an irreducible representation of $O(p) \times O(q)$. Hence, after cancellation, we have

$${}^n H^m|_{O(p) \times O(q)} = \bigoplus_{\substack{0 \leq i, j \leq m \\ i+j \equiv m \pmod{2}}} {}^p H^i \otimes {}^q H^j$$

□

3. 3RD HOMEWORK

Problem 7. Suppose $\{\lambda_k \in \mathbb{C} | k \in \mathbb{N}\}$ is a sequence of complex numbers. We may try to define a map $T : l^2(\mathbb{C}) \rightarrow l^2(\mathbb{C})$ by the formula $(a_k) \mapsto (\lambda_k a_k)$. Then,

- (a) T is well-defined if and only if (λ_k) is bounded.
- (b) T is compact if and only if $\lambda_k \rightarrow 0$.

Proof. (a) If (λ_k) is not bounded, there is a subsequence (λ_{k_i}) s.t. $i \leq |\lambda_{k_i}|$. Let b be the vector with $\frac{1}{i}$ at each k_i th coordinate and 0 at all other places. Clearly b is in $l^2(\mathbb{C})$, but $T(b)$ is not.

Conversely, if $\lambda_k \leq M$ for all k , then $\|T(a)\| \leq M\|a\|$, hence $T(a) \in l^2(\mathbb{C})$.

(b) If $\lambda_k \not\rightarrow 0$, then there is an $\epsilon > 0$ and a subsequence (λ_{k_i}) s.t. $|\lambda_{k_i}| > \epsilon$ for some ϵ . Let b_i be the vector with 1 at the k_i th coordinate and 0 elsewhere. Then $\{b_i\}$'s are all in the unit ball, but the sequence of vectors $\{T(b_i)\}$ has no convergent subsequence. Hence T is not compact. Conversely, suppose $\lambda \rightarrow 0$. Define $T_i : l^\infty(\mathbb{C}) \rightarrow l^\infty(\mathbb{C})$ by $\sum a_k e_k \mapsto \sum_{k \leq i} \lambda_k a_k e_k$. Then T_i 's are compact operators. Also, $\|T - T_i\| = \sup_{k > i} |\lambda_k|$, so $T_i \rightarrow T$ in the operator norm topology. Hence T is compact.

□

Problem 8. let $G \subset \mathbb{H}^\times$ be the Lie group of unit quaternions, which is diffeomorphic to S^3 . The quaternionic product defines left and right actions of G on $\mathbb{H} \simeq \mathbb{R}^4$, defining a map $\Phi : G \times G \rightarrow O(4)$. Recall that $L^2(S^3) = L^2(G) = \bigoplus_{m \geq 0} {}^4 H^m$ as $O(4)$ -modules, hence as $(G \times G)$ -modules. Moreover, Peter-Weyl tells us that $L^2(G) = \bigoplus_{\pi \in \hat{G}} V_\pi \otimes V_\pi^*$ as $G \times G$ -modules. Reconcile these two orthonormal decompositions.

Proof. The given two actions of $G \times G$ on $L^2(S^3)$ coincide. It can be shown that $\Phi^*({}^4 H^m)$'s are distinct irreducible $G \times G$ representations, so by Schur's lemma, the given two decompositions are the same (up to some permutation). More precisely, observe that Φ is onto the identity component of $O(4)$ which is $SO(4)$ (one can compute its kernel $\{(1, 1), (-1, -1)\}$). Also ${}^4 H^m$ is irreducible as $SO(4)$ representation, so $\Phi^*({}^4 H^m)$ is irreducible as $G \times G$ representation.

[Additional hint for proving that ${}^4 H^m$ remains irreducible on restriction to $SO(4)$: we know that ${}^4 H^m$ restricted to $SO(3)$ is the sum of the representations ${}^3 H^k$ restricted to $SO(3)$, for $0 \leq k \leq m$. Because $SO(3)$ acts transitively on S^2 , the trivial representation of $SO(3)$ can appear in functions on S^2 only in the constant functions. That is, ${}^3 H^k$ contains the trivial representation of $SO(3)$ only if $k = 0$. It follows that ${}^4 H^m|_{SO(3)}$ contains at most one copy of the trivial representation of $SO(3)$. Now copy the proof given in class that ${}^4 H^m$ is irreducible for $O(4)$.]

We can reconcile these two decompositions more directly. Since $\Phi^*({}^4H^m)$ is an irreducible $G \times G$ representation, $\Phi^*({}^4H^m) = V \otimes W$ for some irreducible G representations V and W . Let $\sigma : G \times G \rightarrow G \times G$ be the interchanging map of two factors, and $\tau : O(4) \rightarrow O(4)$ be the inner automorphism defined by $\tau(r)x = r(\overline{x})$ for $r \in O(4)$, $x \in \mathbb{H}$, and \overline{x} the conjugation of x in \mathbb{H} . Then we have $\Phi \circ \sigma = \tau \circ \Phi$, hence $\sigma^* \Phi^*({}^4H^m) = \Phi^* \tau^*({}^4H^m) \cong \Phi^*({}^4H^m)$ as τ is an inner automorphism. Therefore $V \otimes W \cong \Phi^*({}^4H^m) \cong \sigma^* \Phi^*({}^4H^m) \cong W \otimes V$ as $G \times G$ representations. Moreover, ${}^4H^m$ is self dual as $O(4)$ representation, so $V \otimes W \cong \Phi^*({}^4H^m) \cong \Phi^*({}^4H^{m*}) \cong \Phi^*({}^4H^m)^* \cong (V \otimes W)^* \cong V^* \otimes W^*$. So we have $V \cong V^*$, $W \cong W^*$. As a result, we finally get $\Phi^*({}^4H^m) \cong V \otimes V^*$ for some irreducible G representation V . \square

Problem 9. Find a two-dimensional (complex) representation of a Lie group that is not a direct sum of irreducible representations.

Proof. Let $G = \mathbb{R}$ act on $V = \mathbb{C}^2$ by $\pi(r)(x, y) = (x + ry, y)$. Equivalently, G is the subgroup of $GL(2, \mathbb{C})$ consist of the matrices of the form $\begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$. Then, the only proper G -invariant subspace is the one spanned by $(1, 0)$. Hence V is neither irreducible nor direct sum of irreducible representations. \square

4. 4TH HOMEWORK

Problem 10. Suppose G is a compact group. Prove that $\{\chi_\pi : \pi \in \hat{G}\}$ is an orthonormal basis for $L^2_{\text{class}}(G)$.

Proof. From Schur orthogonality, we know that $\{\chi_\pi : \pi \in \hat{G}\}$ is an orthonormal set. From Peter-Weyl, we know that they span a dense subset of $L^2_{\text{class}}(G)$. Hence the assertion follows. \square

Problem 11. Suppose that G is a finite group. Then,

- (a) # conjugacy classes of $G = \#$ irreducible representations;
- (b) $\sum_{\pi \in \hat{G}} (\dim \pi)^2 = |G|$;
- (c) # 1-dimensional representations of $G = |G/[G, G]|$.

Proof. (a) Since G is finite, $L^2_{\text{class}}(G)$ is the space of all class functions, hence the dimension of it is just the number of conjugacy classes of G . On the other hand, by the previous problem, its dimension is the number of irreducible representations of G .

(b) Since G is finite, $L^2(G)$ is the same as the space of all complex functions on G , hence the dimension of it is just $|G|$. On the other hand, by Peter-Weyl, we have $L^2(G) = \bigoplus_{\pi \in \hat{G}} V_\pi \otimes V_\pi^*$. Hence the dimension is $\sum_{\pi \in \hat{G}} (\dim \pi)^2$

(c) Giving a 1-dimensional representation is equivalent to giving a group homomorphism $G \rightarrow GL(\mathbb{C})$. Since $GL(\mathbb{C})$ is abelian, this is equivalent to giving a homomorphism $G/[G, G] \rightarrow GL(\mathbb{C})$, which is again equivalent to giving a 1-dimensional representation of $G/[G, G]$. All irreducible representations of $G/[G, G]$ are 1-dimensional, so the assertion follows. \square

Problem 12. List all irreducible representations of $G = S_3$, and $G = \{\pm 1, \pm i, \pm j, \pm k\} \subset \mathbb{H}$.

Proof. 1) $G = S_3$: The conjugacy classes of G is characterized by permutation type, hence they are $\{id\}, \{(12), (13), (23)\}, \{(123), (132)\}$. And $G/[G, G] = \{\pm 1\}$. By the previous problem, there are 3 irreducible representations of G , and 2 of them are 1-dimensional. We

have trivial representation and sign representation which are nonequivalent 1-dimensional representations. Consider the defining representation of $G = S_3$ on $\mathbb{C}^3 = \mathbb{C}\{\mathbf{1}, \mathbf{2}, \mathbf{3}\}$. We have 1 copy of the trivial representation $\mathbb{C}\{\mathbf{1} + \mathbf{2} + \mathbf{3}\}$ in it. Define a G -invariant inner product: $\langle \mathbf{i}, \mathbf{j} \rangle = \delta_{i,j}$. Now the complement of the trivial representation is also a G -submodule. While S_3 is not abelian, the defining representation is faithful, so it cannot be decomposed into 1-dimensional representations. Therefore, the complement of the trivial representation is the 2-dimensional irreducible representation.

2) $G = \{\pm 1, \pm i, \pm j, \pm k\} \subset \mathbb{H}$: Similarly to the above problem, we find the conjugacy classes of G , which are $\{1\}, \{-1\}, \{\pm i\}, \{\pm j\}, \{\pm k\}$. And $|G/[G, G]| = 4$. Hence there are 5 irreducible representations and 4 of them are 1-dimensional. The 1-dimensional representations are given by the trivial representation and $\pi(\pm 1) = -\pi(\pm i) = -\pi(\pm j) = \pi(\pm k) = 1$ and similarly 2 more. We can view quaternion \mathbb{H} as $\{a + jb \mid a, b \in \mathbb{C}\}$, so \mathbb{C} vector space (by right multiplication). This naturally gives a faithful 2-dimensional G representation (by left multiplication), which is irreducible because of the similar reasoning as in 1). \square