

# **Symplectic actions of two-tori on four-manifolds**

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## Assumptions:

- $(M, \sigma)$  symplectic  $2n$ -manifold.
  - $T$  torus.
  - $T$  acts effectively, symplectically.
  - $M$  compact, connected.
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## Terminology:

- $\sigma$  symplectic:  $\sigma \in \Omega^2(M)$ ,  $d\sigma = 0$ , non-degenerate.
- Effectively:

$$\bigcap_{x \in M} T_x = \{e\},$$

where

$$T_x := \{t \in T \mid t \cdot x = x\}$$

is stabilizer at  $x$ .

- Symplectically: by symplectomorphisms, i.e. diffeomorphisms

$$\phi: M \rightarrow M, \quad \phi^* \sigma = \sigma.$$

## Symplectic Hamiltonian Actions:

- **Definition.**  $T \times M \rightarrow M$  is *Hamiltonian* if  $\exists \mu: M \rightarrow \mathfrak{t}^*$ ,

$$d\langle \mu, X \rangle = i_{X_M} \sigma, \quad X \in \mathfrak{t}.$$

$X_M :=$  v.f. infinitesimal action of  $X$  on  $M$ .

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- **Atiyah-Guillemin-Sternberg (1982):**

$$\mu(M) = \text{convex hull } \{\mu(\text{fixed point set})\}.$$

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- **Delzant (1988):** If  $\dim(T) = n$ ,
  - $\mu(M)$  Delzant polytope (simple, edge- $\mathbb{Q}$ , smooth).
  - $\mu(M)$  classifies  $(M, \sigma, T)$  (uniqueness & existence).

### Example.

- $(S^2, \sigma = d\theta \wedge dh)$ .
  - Rotational action  $\mathbb{R}/\mathbb{Z}$ .
  - Hamiltonian, momentum map  $\mu: S^2 \rightarrow \mathbb{R}$   
$$\mu(\theta, h) = h.$$
  - Polytope  $\Delta = [-1, 1]$ .
- 

### Example.

- $(\mathbb{C}\mathbb{P}^n, \lambda \cdot \sigma_{FS})$ , rotational  $\mathbb{T}^n$ -action.
- Hamiltonian, momentum map components  
$$\mu_k^{\mathbb{C}\mathbb{P}^n}(z) = \frac{\lambda |z_k|^2}{\sum_{i=0}^n |z_i|^2}.$$
- Polytope  $\Delta = \text{convex hull } \{0, \lambda e_1, \dots, \lambda e_n\}$ .

# Talk Goal.

To classify symplectic  $T$ -actions on  $(M, \sigma)$  if:

**Case 1:** *principal  $T$ -orbits are coisotropic.*

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**Case 2:**  $\exists$  *dim  $T$ -dimensional, symplectic  $T$ -orbit.*

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**Case 3:**  $\dim T = 2, \dim M = 4.$

## Case 1: Principal $T$ -orbits coisotropic.

- *Principal orbit type:*

$$M_{\text{reg}} = \{x \in M \mid T_x = \{e\}\}.$$

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- $C \subset M$  is *coisotropic* if

$$(T_x C)^{\sigma_x} \subset T_x C, \quad \forall x \in C.$$

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- $C \subset M$  is *Lagrangian* if

$$\sigma|_C = 0, \quad \dim C = \frac{\dim M}{2}$$

(Special coisotropic when  $\subset$  is  $=$ ).

**Example 1** (*Kodaira-Thurston*).

- $(j_1, j_2) \in \mathbb{Z}^2$  acts on  $(\mathbb{R}/\mathbb{Z})^2$ :

$$\begin{pmatrix} 1 & j_2 \\ 0 & 1 \end{pmatrix}$$

- $(\mathbb{R}^2 \times_{\mathbb{Z}^2} (\mathbb{R}/\mathbb{Z})^2, dx_1 \wedge dy_1 + dx_2 \wedge dy_2)$ .
  - $\mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$  acts symplectically, freely on  $(x_1, y_2)$ .
  - All orbits principal, Lagrangian.
  - $\nexists$  Kaehler structure.
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**Example 2** (Not Hamiltonian, not free).

- $((\mathbb{R}/\mathbb{Z})^2 \times S^2, dx \wedge dy + d\theta \wedge dh)$ .
- $\mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$  acts symplectically.
- No fixed points: not Hamiltonian.
- Not free.
- Lagrangian principal orbits.

**Theorem** (*Duistermaat, P.-*).

$M \simeq G \times_H M_h$ , where:

- $G \times_H M_h$  fibration over  $G/H$ :
    - *Fiber* =  $(M_h, T_h)$  symplectic toric.
    - *Base* =  $G/H$ ,  $(T/T_h)$ -bundle over torus  $(G/H)/T$ .
  - $G$  is 2-step Nilpotent Lie.
  - $H \leq G$  closed (holonomy).
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**Theorem** (*Duistermaat, P.-*).

Symplectic  $T$ -actions with coisotropic principal orbits are classified by:

- 1) Antisymmetric bilinear  $\sigma^{\mathfrak{t}}: \mathfrak{t} \times \mathfrak{t} \rightarrow \mathbb{R} : \sigma|_{T\text{-orbits}}$ .
- 2) Hamiltonian torus  $T_h$  & polytope  $\Delta$ .
- 3) Period lattice  $P$  of maximal subgroup of  $N \leq \mathfrak{l}^*$  acting on  $M/T$ , where  $\mathfrak{l} = \ker(\sigma^{\mathfrak{t}})$ .
- 4) – Bilinear  $c: N \times N \rightarrow \mathfrak{l}$  encoding Chern class of
 
$$M_{\text{reg}} \rightarrow M_{\text{reg}}/T,$$
 – a connection holonomy invariant
 
$$[\tau: P \rightarrow T]_{\exp(\mathcal{A})} \in \text{Hom}_c(P, T)/\exp \mathcal{A}.$$

## Invariants of Kodaira-Thurston's $M = \mathbb{R}^2 \times_{\mathbb{Z}^2} (\mathbb{R}/\mathbb{Z})^2$ :

- 1) Bilinear form:  $\sigma^t = 0$ .
- 2) – Hamiltonian torus:  $T_h = \{[0, 0]\}$ .  
– Delzant polytope:  $\Delta = \{(0, 0)\}$ .
- 3) Period lattice  $P = \mathbb{Z}^2$ .
- 4) – Bilinear  $c: \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$ ,  $c(e_1, e_2) = e_1$ .  
– Holonomy invariant

$$\tau \in \text{Hom}_c(\mathbb{Z}^2, (\mathbb{R}/\mathbb{Z})^2), \quad \tau_{e_1} = \tau_{e_2} = [0, 0].$$

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In this case:

- $G = (\mathbb{R}/\mathbb{Z})^2 \times \mathbb{R}^2$ .
- $M_h = \{p\}$ .
- $H = \{[0, 0]\} \times \mathbb{Z}^2$ .

Hence:

$$G \times_H M_h \simeq G/H \simeq \mathbb{R}^2 \times_{\mathbb{Z}^2} (\mathbb{R}/\mathbb{Z})^2.$$

## Case 2:

- $\dim M = 2n, \quad \dim T = 2n - 2$
- $(2n - 2)$ -dim. **sympl.  $T$ -orbits**

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- $C \subset M$  is symplectic if  $\sigma|_C$  is symplectic.

**Example 4** (free action).

- $(\mathbb{R}/\mathbb{Z})^2 \times S^2$ .
  - $(\mathbb{R}/\mathbb{Z})^2$  acts freely, symplectically (left factor).
  - $T$ -orbits symplectic 2-tori.
  - $M/T = S^2$ .
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**Example 5** (non-free action).

- $S^2 \times_{\mathbb{Z}/2\mathbb{Z}} (\mathbb{R}/\mathbb{Z})^2$ .
- $\mathbb{Z}/2\mathbb{Z}$  on  $S^2$  rotates horizontally  $180^\circ$ , and antipodal action on first factor of  $(\mathbb{R}/\mathbb{Z})^2$ .
- $(\mathbb{R}/\mathbb{Z})^2$  acts non-freely, symplectically (right factor).
- $T$ -orbits symplectic 2-tori.
- $M/T = S^2/(\mathbb{Z}/2\mathbb{Z})$ .

### Theorem (P.-).

$M \simeq \widetilde{M}/T \times_{\Gamma} T$  where

- $\widetilde{M}/T$  universal cover,  $\Gamma = \pi_1^{\text{orb}}(M/T)$ ,
  - $\Gamma$  acts on  $T$  by monodromy  $\mu: \Gamma \rightarrow T$  of  $\{(T_x(T \cdot x))^{\sigma_x}\}_{x \in M}$ .
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### Theorem (P.-).

Symplectic  $T^{2n-2}$  on  $2n$ -manifolds with symplectic,  $(2n-2)$ -dimensional  $T$ -orbits are classified by:

- 1) Non-degenerate antisymmetric bilinear  $\sigma^{\mathfrak{t}}: \mathfrak{t} \times \mathfrak{t} \rightarrow \mathbb{R} : \sigma|_{T\text{-orbits}}$ .
- 2) Fuchsian signature  $(g; \vec{\sigma})$  encoding  $M/T$ .
- 3) Symplectic area  $\lambda$  of  $M/T$ .
- 4) Monodromy invariant:

$$\mathcal{G}_{(g, \vec{\sigma})} \cdot ((\mu_h(\alpha_i), \mu_h(\beta_i))_{i=1}^g, (\mu_h(\gamma_k))_{k=1}^n),$$

where

$$- \mathcal{G}_{(g, \vec{\sigma})} := \left\{ \begin{pmatrix} A & 0 \\ C & D \end{pmatrix} \mid A \in \text{Sp}(2g, \mathbb{Z}), D \in \mathcal{MS}_{n, \vec{\sigma}} \right\},$$

- homology monodromy  $\mu_h: H_1^{\text{orb}}(M/T, \mathbb{Z}) \rightarrow T$ ,

-  $\{\alpha_i, \beta_i\} \subset H_1^{\text{orb}}(M/T, \mathbb{Z})$  symplectic basis maximal free subgroup,

-  $\{\gamma_k\} \subset H_1^{\text{orb}}(M/T, \mathbb{Z})$  geometric torsion basis.

**Invariants of  $M = S^2 \times_{\mathbb{Z}/2\mathbb{Z}} (\mathbb{R}/\mathbb{Z})^2$ :**

1) Bilinear form:  $\sigma^{\mathbb{R}^2} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .

2) Fuchsian signature:  $(g; \vec{\sigma}) = (0; 2, 2)$ .

3) Symplectic area of  $S^2/(\mathbb{Z}/2\mathbb{Z})$ : 1 (half of  $S^2$  area).

4) Monodromy invariant:  $\mathcal{G}_{(0;2,2)} \cdot (\mu_h(\gamma_1), \mu_h(\gamma_2)) =$   
 $\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\} \cdot ([1/2, 0], [1/2, 0]).$

$\gamma_i$  small loops around poles of  $S^2$ .

Then:

- $M/T = S^2/(\mathbb{Z}/2\mathbb{Z})$ .
- $\pi_1^{\text{orb}}(M/T, p_0) = \langle \gamma_1 \mid \gamma_1^2 = 1 \rangle \simeq \mathbb{Z}/2\mathbb{Z}$ .
- $\mu: \langle \gamma_1 \mid \gamma_1^2 = 1 \rangle \rightarrow T = (\mathbb{R}/\mathbb{Z})^2$ , given by  
 $\mu(\gamma_1) = [1/2, 0]$ .

And:

$$\begin{aligned} \widetilde{M/T} \times_{\pi_1^{\text{orb}}(M/T, p_0)} T &= S^2/\widetilde{(\mathbb{Z}/2\mathbb{Z})} \times_{\pi_1^{\text{orb}}(S^2/(\mathbb{Z}/2\mathbb{Z}), p_0)} (\mathbb{R}/\mathbb{Z})^2 \\ &\simeq S^2 \times_{\mathbb{Z}/2\mathbb{Z}} (\mathbb{R}/\mathbb{Z})^2 = M. \end{aligned}$$

## Case 3: $\dim M = 4$ , $\dim T = 2$ .

**Theorem** (*P.-*). One and only one occurs:

- 1)  $(M, \sigma)$  symplectic toric 4-manifold.
- 2)  $(M, \sigma) \simeq (\mathbb{R}/\mathbb{Z})^2 \times S^2$ .
- 3)  $(M, \sigma) \simeq (T \times \mathfrak{t}^*)/\iota(P)$ , where:
  - Standard form, standard  $T$ -action on  $T \times \mathfrak{t}^*$ .
  - Discrete cocompact  $P \subset \mathfrak{t}^*$ ,
  - $\iota(P) \leq T \times \mathfrak{t}^*$  discrete, cocompact, subgroup for non standard structure, in terms of:
    - \* Chern class: certain bilinear  $c: \mathfrak{t}^* \times \mathfrak{t}^* \rightarrow \mathfrak{t}$ ,
    - \* Holonomy invariant:
$$[\tau: P \rightarrow T]_{\exp(\mathcal{A})} \in \text{Hom}_c(P, T)/\exp \mathcal{A},$$
- 4)  $(M, \sigma) \simeq \tilde{\Sigma} \times_{\pi_1^{\text{orb}}(\Sigma, p_0)} T$  where
  - Symplectic form,  $T$ -action induced by product ones.
  - $\Sigma$  good orbisurface.
  - $\pi_1^{\text{orb}}(\Sigma, p_0)$  acts on  $\tilde{\Sigma} \times T$  diagonally.

## Quick Idea of Proofs.

A few words on proofs of Case 2, Case 3:

**Proof of Case 2** involves studying:

- Symplectic normal forms, integrable distributions on  $M$ .
  - Orbifold coverings of orbit spaces of  $M/T$ .
  - Symplectic forms on orbit spaces.
  - Geometric isomorphisms  $H_1^{\text{orb}}(\Sigma) \rightarrow H_1^{\text{orb}}(\Sigma)$ .
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**Proof of Case 3** uses as stepping stones:

- Case 1.
- Methods from the proof of Case 2.
- A key lemma:
  - a) Either all  $T$ -orbits symplectic 2-tori.
  - b) Or all 2-dimensional  $T$ -orbits Lagrangian 2-tori.
- Most proof: unfolding b) into 1), 2), 3) in statement.

## Sketch of Proof of Case 1:

**Step 1.** *The orbit space.*

- $M/T$  is polyhedral  $\mathfrak{l}^*$ -parallel space,  $\mathfrak{l} := \ker(\sigma^t)$ .

- As  $\mathfrak{l}^*$ -parallel spaces,

$$M/T \simeq \Delta \times S$$

$\Delta$  Delzant polytope,  $S$  torus.

- $\Delta =$  Hamiltonian part,  $S =$  free part.
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**Step 2.** *A nice connection.*

- $\exists$  “nice admissible” connection for  $\pi: M_{\text{reg}} \rightarrow M_{\text{reg}}/T$ ,

$$\xi \in \mathfrak{l}^* \mapsto L_\xi \in \mathcal{X}^\infty(M_{\text{reg}}),$$

– Simple  $[L_\xi, L_\eta]$ :

$$* [L_\xi, L_\eta] = c(\xi, \eta)_M, \quad \xi, \eta \in N := (\mathfrak{l}/\mathfrak{t}_h)^*.$$

( $c$  encodes Chern class of  $\pi: M_{\text{reg}} \rightarrow M_{\text{reg}}/T$ ).

\* zero otherwise.

– Simple  $\sigma(L_\xi, L_\eta)$ .

- $L_\xi$ ,  $\xi \in N$ ,  $\exists$  smooth extensions to  $M$ .

- $L_\xi$ ,  $\xi \notin N$ , singular on  $M \setminus M_{\text{reg}}$ .

**Step 3.** *Distribution by symplectic toric manifolds.*

- Integrable distribution on  $M$

$$D_x := \text{span}\{L_\eta(x), Y_M(x) \mid Y \in \mathfrak{t}_h, \eta \in C\},$$

where:

$$C \oplus N = \mathfrak{l}^*.$$

- Integral manifolds  $T$ -symplectomorphic  $(M_h, \sigma_h, T_h)$ .
- Definition of  $H$ ,  $G$ , and  $G \times_H M_h$  from  $\xi \mapsto L_\xi$ .
- $H$  involves holonomy of  $\xi \mapsto L_\xi$ .
- $T$ -symplectomorphism  $G \times_H M_h \rightarrow M$ :

$$((t, \xi), x) \mapsto t \cdot e^{L_\xi}(x).$$

**End of Sketch of Proof.**

## APPLICATIONS & NEW DIRECTIONS:

### I. Non-Kähler Manifolds.

- **Examples:** Thurston (78), McDuff (84), Gompf (94) ...
  - **Principle:** Large symmetry  $\rightarrow$  Kähler.
  - **Examples with symmetry:** Tolman (98), Woodward (00) ...
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### **Theorem** (Lin, P.–)

If  $n \geq 2$ ,  $\exists \infty$  homotopically inequivalent non-Kähler symplectic  $M^{2n}$  with:

- (i)  $b_1(M^{2n}) = 2n - 1$ .
- (ii) a free symplectic  $\mathbb{T}^{2n-2}$ -action with coisotropic orbits.

For each finite cyclic  $F$ ,  $\exists \infty$  non isomorphic  $M^{2n}$  with

$$H_1(M^{2n}, \mathbb{Z}) \simeq F \times \mathbb{Z}^{2n-1}.$$

## II. Symplectic Topology: Symplectomorphism Groups.

$M^4$  with  $\pi_1(M^4) = \{0\}$ .

**Theorem** (Karshon-Kessler-Pinsonnault, '06)

$|\{\text{conjugacy classes of 2-tori in } \mathbf{Sympl}(M^4)\}| < \infty$ .

**Theorem** (Pinsonnault, '06)

$|\{\text{conjugacy classes of maximal tori in } \mathbf{Sympl}(M^4)\}| < \infty$ .

### **Proof Ingredients:**

- $H^1(M^4, \mathbb{R}) = 0 \rightarrow$  any symplectic action is Hamiltonian.
  - Hamiltonian  $\mathbb{T}^2$ -actions on  $M^4$  classified (Delzant).
  - Hamiltonian  $S^1$ -actions on  $M^4$  classified (Karshon).
  - Both “soft” and “hard” techniques.
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### **OUR MOTIVATION:**

$M^4$  with  $\pi_1(M^4) \neq \{0\}$ .

**Question.** Under which assumptions on our invariants is  $|\{\text{conjugacy classes of maximal tori in } \mathbf{Sympl}(M^4)\}| < \infty$ ?

Expect: “**soft**” and “**hard**” methods.

Note: Contact case, diffeomorphism case of theorems? False.

### III. Symplectic Topology: Ball Embeddings.

**Problem:** for fixed  $r$ , when is

$$\mathcal{A}_r := \{f: \mathbb{B}_r^{2n} \rightarrow M^{2n} \mid f \text{ symplectic}\}$$

connected?

**Answers:**

- ( $n = 2$ ) remarkable results:  
Biran, McDuff-Polterovich, Lalonde-Pinsonault.
  - (\*) If  $M^{2n}$  toric wrt  $\mathbb{T}^n$ ,  $f$  equivariant,  
 $\mathcal{A}_r =$  step function in terms of  $r$ .
  - $M^{2n}$  toric and  $f$  partially equivariant (wrt subtorus)?
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**Open Direction.** [Non-Hamiltonian analogue of (\*)]

- Assume  $M^4$  admits symplectic 2-torus action.
- $M^4$  non-toric.
- $f$  equivariant.
- How many components does  $\mathcal{A}_r$  have?

## Want more?

See [www-math.mit.edu/~apelayo](http://www-math.mit.edu/~apelayo)

- Symplectic torus actions with coisotropic principal orbits (JJ Duistermaat, A Pelayo). *Ann. Inst. Fourier*, 89 pp.
- Symplectic actions of two-tori on four-manifolds. (A Pelayo). *Submitted*, 81 pp.
- Geography of non-Kähler symplectic torus actions. (Y Lin, A Pelayo). *Submitted*, 9 pp.

**The end. THANK YOU!**