

Möbius  
in version in  
homotopy thy.

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# Outline:

- Ⓐ Classical Möbius inversion
  - Ⓑ A 'space-level' lifting
  - Ⓒ Manifestations in familiar examples.
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## Ⓐ Classical Möbius inversion.

Problem: Compute Euler's Totient function

$$\phi(n) = \# \text{ generators for } \mathbb{Z}/n\mathbb{Z}.$$

Observation. Every  $x \in \mathbb{Z}/n\mathbb{Z}$  generates some subgroup  
(Gauss)

$$\Rightarrow n = |\mathbb{Z}/n\mathbb{Z}| = \sum_{\substack{\text{subgroups } < \mathbb{Z}/n\mathbb{Z} \\ \sim d|n}} \phi(d) \quad (\star)$$

Möbius  $\rightsquigarrow$  way to extract  $\phi$  from relation  $(\star)$ .

### General class of problems:


Want to understand (count)  
"configurations" subject  
to constraints [Hard!]

Know how understand  
general unconstrained  
configurations [Easy]

E.g. count proper colorings  
of graph  $G = (V, E)$ .

E.g. all (possibly non-proper)  
colorings =  $|V|^{\text{colors}}$

$(\star)$  Key relation: every general configuration  
satisfies the constraints of a smaller/easier problem.

E.g. by contracting monochromatic edges   
every non-proper coloring  $\rightsquigarrow$  proper coloring of a quotient.

Formally:  $(I, \leq)$  a finite poset - "of problems" ordered by size/difficulty.

$g, f: I \rightarrow \mathbb{Z}$  functions.

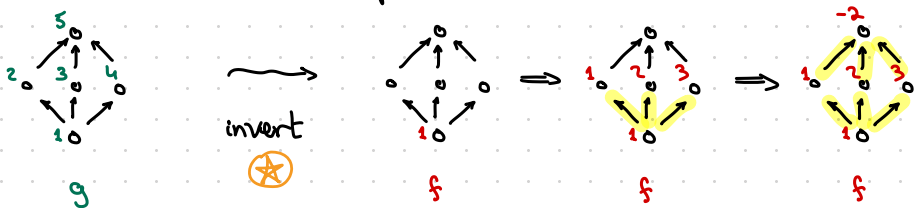
Think  $\begin{cases} g(x) = \# \text{ free configurations fitting problem "x".} \\ f(x) = \# \text{ constrained configurations fitting problem "x".} \end{cases}$  know  
Want

satisfying relation

$$g(x) = \sum_{y \leq x} f(y). \quad (\star)$$

"every free configuration gives a constrained one" for a smaller problem.

Want to invert relation  $(\star)$ , express  $f$  in terms of  $g$ . (combinatorial problem)



Topological example -

stratified space  $X = \bigcup_{\alpha \in I} S_\alpha$

closed  $\overline{S_\alpha} = \bigsqcup_{\beta \leq \alpha} S_\beta$   $(\star)$  open



Thm. (Möbius, ..., Rota, ...)  
1832 1964

$(I, \leq)$  locally finite poset

= finite intervals  $(x, y)$

There exists a "Möbius" function

$$\mu: I \times I \rightarrow \mathbb{Z}$$

depending only on the order of  $I$ , that inverts  $(\star)$   
 $\forall f, g:$

$$f(x) = \sum_{y \leq x} g(y) \cdot M(y, x)$$

Many generalizations, e.g.  
 [ Haigh, Leroux ~'80s extended to finite categories ]

Fact. (P. Hall)

$$M(y, x) = \tilde{\chi}(N(y, x))$$

reduced Euler characteristic.

This should be a theorem in homotopy theory!

- 5 years ago 2 papers appeared independently:  
 giving a homological construction of this for stratified spaces.
- D. Petersen "A spectral sequence for stratified spaces ..."

arXiv:1603.01137

- P. Tosteson "Lattice spectral sequence ..."

arXiv:1612.06034

Let's make this about homotopy.

### ⓑ A 'Space-level' lifting

Setup:  $(I, \leq)$

$g \downarrow$

$\rightsquigarrow$

$\mathbb{Z}$

- $I =$  diagram shape  
 $\sim$  small  $(\infty-)$  category\*
- $\downarrow G$  = diagram  $\sim$  functor.

- $\mathcal{M} =$  homotopical category /  $\infty$ -category\*\*

\*\* Assumptions:  $\mathcal{M}$  has weak equivalences, and is

- pointed (= has zero object)
- cocomplete (= has homotopy colimits)

/simplicial model structure  
 $\Rightarrow$  can form geometric realization & Bar const.

- really what we need:  $\text{hocolim} : \mathcal{M}^{\mathcal{I}} \rightarrow \mathcal{M}$   
 s.t. 1) homotopy invariant -  $\forall G \xrightarrow{\sim} G'$  natural trans.  
 that is pointwise an equivalence

$$\text{hocolim } G \xrightarrow{\sim} \text{hocolim } G' \text{ equiv.}$$

- 2) agrees with colim -  $\exists G \xrightarrow{\sim} G'$  s.t.

$$\text{hocolim } G' = \text{colim } G'$$

! We omit the "ho" - colim means homotopy invariant.

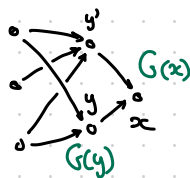
- $G : I \rightarrow \mathcal{M}$  a functor (analog of func.  $g : I \rightarrow \mathbb{Z}$ )

$$\text{where } g(x) = \sum_{y \leq x} f(y) \quad \star$$

(what should play the role of  $f$ ?  
 must remove all  $g(y)$  with  $y < x \dots$ )

Definition. The Margin of  $G$  is the diagram

$$\Delta G : x \underset{=I}{=} \longrightarrow \begin{matrix} G(x) \\ \diagdown \\ \text{colim}_{y \neq x} G(y) \end{matrix}$$



The total homotopy cofiber of  $G$   
 restricted to  $I/x$ .

The relation  $G(x) = \sum_{y \leq x} \Delta G(y)$  will hold

Up to extensions.

Need an assumption on  $I$ .

\* Assumption:  $\mathcal{I}$  is a relatively EI - category.

Definition.  $\mathcal{C}$  is EI if every Endomorphism is an Isomorphism.

$\mathcal{I}$  is relatively EI if every slice  $\mathcal{I}_x = (\text{category of arrows } y \rightarrow x)$  is an EI category.

Equivalently, for every triangle  $\begin{array}{ccc} y & \xrightarrow{\sim} & y \\ f \searrow & & \swarrow f \\ & x & \end{array}$  must be invertible. (monomorphisms, posets, ...)



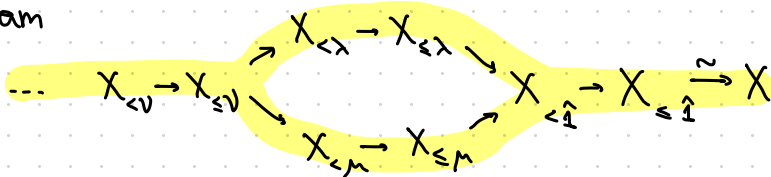
Isomorphism classes of arrows  $y_x \rightarrow a$  poset:

$$[y_x] \leq [z_x] \iff \exists \text{ arrow } y_x \rightarrow z_x.$$

(since  $[a] \leq [b] + [b] \leq [a] \implies \text{loop } a \cong \sim \text{ iso.}$ )

Denote  $(\Lambda_x, \leq)$ , poset with maximum  $\hat{1} = [x_x]$ .

Definition. a  $\Lambda_x$ -shaped filtration on object  $X \in \mathcal{M}$  is a diagram



for all  $v < \lambda, \mu < \hat{1} \in \Lambda_x$ .

- graded quotients:  $\text{gr}_\lambda X := X_{< \hat{1}} / X_{< \lambda}$

Works like ordinary filtrations -

can get a spectral sequence using any  $\Lambda_x \hookrightarrow \mathbb{Z}$ . (non-canonical.)

or, a "spectral system" - due to Matschke.

Thm. (G)  $\forall x \in I$ , the value  $G(x)$  has natural  $\Lambda_x$ -shaped filtration, such that

$$\Delta G(x) := \frac{G(x)}{\text{total of fib. } y \leq x}$$

$$G(x) = \sum_{y \leq x} \Delta G(y)$$

$$\text{gr } G(x) \cong \bigvee_{[y/x] \in \Lambda_x} \Delta G(y) / \text{Aut}(y/x)$$

Cor. When  $I$  a finite poset,  $G(y)$  finite type spaces/complexes

$$\hat{X}(G(x)) = \sum_{y \rightarrow x} \hat{X}(\Delta G(y)) \quad \text{- recovering } \star$$

Also, can pick linear extension  $I \xrightarrow{\text{rk}} \mathbb{Z}$

$\Rightarrow$  spectral sequence in E-homology/cohomology

$$E'_{p,q} \cong \bigoplus_{\substack{y \rightarrow x \\ \text{rk} = p}} E_{p,q}(\Delta G(y)) \Rightarrow E_{p+q}(G(x))$$

(But now don't need  $I$  to be finite -

Example. (Morse)  $M \xrightarrow{\text{Morse function}} \mathbb{R}$

smooth closed manifold

$\Rightarrow$  diagram

$$G: \mathbb{R} \rightarrow \text{Top}_*$$

$$r \mapsto (M_{\leq r})_+ = f^{-1}((-\infty, r])_+$$

sublevel sets

Then  $\Delta G(r) \cong \begin{cases} * & r \text{ regular value} \\ \bigvee_{\substack{p \in \text{crit}(f) \\ p \mapsto r}} S^{i(p)} & \text{else} \end{cases}$

$\star$  spectral sequence  $\sim$  handle complex.

index 2

index 1

We are really after the inverse  $\sim \Delta G(x) = \sum_{y \leq x} G(y) M(y,x)$

Thm. (G) The margin  $\Delta G(x)$  has a natural  $\Delta_x^{\text{op}}$ -shaped filtration (descending)

$$\dots \rightarrow \Delta G(x)^{\geq n} \rightarrow \Delta G(x)^{\geq n-1} \rightarrow \Delta G(x)^{\geq n-2} \rightarrow \dots$$

with associated graded

$$\text{gr } \Delta G(x) \simeq \bigvee_{\hat{i} \neq [y/x] \in \Delta_x} G(y) \wedge \underbrace{\sum \Sigma' N(I_{y/x})}_{\vee G(x)} / \text{Aut}(y/x)$$

- $I_{y/x}$  = category of strict factorizations of  $y/x$ :

$$\begin{array}{ccc} y & \xrightarrow{\quad} & z \\ \neq \searrow & & \nearrow \neq \\ & z & \end{array}$$

- $\Sigma$  reduced suspension
- $\Sigma'$  unreduced suspension, with canonical basepoint

$$\text{Cone}(X) \quad \begin{array}{c} \text{cone} \\ \text{---} \\ X \end{array} \quad \longrightarrow \quad \begin{array}{c} \text{cone} \\ \text{---} \\ \bullet \end{array} \quad \Sigma' X$$

- Product with nerves exists in  $\mathcal{M}$ , as constant colimits
- $$\underset{S}{\text{colim}} (\text{const } X) = X \wedge N(S)_+$$

- $\text{Aut}(y/x) \curvearrowright I_{y/x}$  by precomposition  $\begin{array}{c} \varphi^{-1} \\ \circlearrowleft \\ y \rightarrow z \rightarrow x \end{array}$

Cor. When  $I$  a finite poset,  $G(y)$  finite type

$$\tilde{\chi}(\Delta G(x)) = \sum_{y \rightarrow x} \tilde{\chi}(G(y)) \cdot \underbrace{\tilde{\chi}(N(I_{y/x}))}_{M(y,x)}$$

Also, pick  $I \xrightarrow{rk} \mathbb{Z}$  - classical Möbius function.

$\Rightarrow$  spectral sequence, e.g. (Petersen, Tosteson)

$$E_{p,q}^1 = \bigoplus_{rk y/x = p} \bigoplus_{i+j=p+q} \tilde{H}^i(G(y), \tilde{H}^{j-2}(N(I_{y/x}))) \Rightarrow \tilde{H}^{p+q}(\Delta G(x))$$



! The formula  $\Leftarrow$  more fundamental fact about colim over EI:

Thm. (G)  $\exists$  natural filtration on " $\text{colim}_{EI}$ " with

$$\text{gr}(\text{colim}_J G) \cong \bigvee_{[a] \in \text{Iso}(J)} G(a) \wedge \Sigma' N(J_{a//}) / \text{Aut}(a)$$

Slogan: separate the topology from the combinatorics.

colimits built from  $\begin{cases} \bullet \text{ combinatorics of } I \\ \bullet \text{ topology of values } G(a) \end{cases}$ .

The spectral sequence above lets us deal with each separately, then diff's reassemble.

Functoriality, monoidality, duality.

All constructions and proof use only formal properties of colimits.

$\Rightarrow$  natural in  $\begin{cases} \bullet I \rightarrow I' \text{ reflecting } \simeq \\ \bullet G \rightarrow G' \\ \bullet M \rightarrow M' \text{ preserving equiv. colims \& zero.} \end{cases}$

In particular, respects  $\otimes^{\text{Day}}$  under mild hyp.

+ Dual theory for localim  $\rightsquigarrow$  holim.

Note: sometimes can define Euler char even when  $|\text{Aut}(a)| = \infty$ .

E.g.  $\pi = \pi_1(\underset{F_n}{\mathbb{N}S})$ ,  $\pi_1(S_g)$ ,  $\pi_1(\text{Cont}_n \mathbb{R}^2)$ , ...

$F_n$   $\text{Br}_n$

then  $\pi \curvearrowright \underset{\text{finite type}}{F} \rightsquigarrow F/\pi$  is flat  $F$ -bundle over finite-type base

$\Rightarrow$  Has Euler number.

Q. Can this extend Leinster's definition of Euler char. for categories?

# Manifestations in familiar examples.

Many ways to apply Möbius to configuration spaces.

Example.  $I = \text{Fin Surj}^{\text{op}}$ , " $[n] \rightarrow [n+k]$ " := " $[n] \leftarrow [n+k]$ "  
all monomorphisms, small-to-big.

$X$  - CW complex.

Define a diagram

$$G: I \rightarrow \text{Top}_*$$

$$[n] \mapsto (X^{[n]})^+$$

• Over  $[3]$  -  $X^+$   $\begin{matrix} \xrightarrow{\Delta} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{matrix}$   $\begin{matrix} X^2 \leftrightarrow X^2 \\ X^2 \leftrightarrow X^2 \\ X^1 \leftrightarrow X^2 \end{matrix}$   $\begin{matrix} \xrightarrow{\Delta_{ij}} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{matrix}$   $X^3^+$  all diagonals.  
swap

$$\rightarrow \Delta G([n]) \cong X^n / \text{diags} \cong \text{Conf}_n(X)^+ - \text{the ordered configuration spaces.}$$

$\cup_{\Sigma_n}$   $\cup_{\Sigma_n}$

Cor. 1)  $(X^n)^+$  is filtered by partitions of  $[n]$  (ordered by refinement)

with  $\text{gr}(X^n)^+ \cong \bigvee_{B_1 \sqcup \dots \sqcup B_k = [n]} \text{Conf}_k(X)^+$

Conversely,

2)  $\text{Conf}_n(X)^+$  is filtered by partitions of  $[n]$  (with opposite order)

and  $\text{gr} \text{Conf}_n(X)^+ \cong \bigvee_{\substack{B_1 \sqcup \dots \sqcup B_k = [n] \\ \beta}} (X^{B_k})^+ \wedge \Sigma \Sigma' N(\underbrace{\pi_n^{\leftarrow \beta}}_{\beta})$   
the partition poset of  $[n]$ .

↪ relates to operad composition.

Thm. (Bibby-G) As a symmetric sequence,

$\text{Conf.}(X)^+$  is an algebra (for  $\otimes_{\text{Day}}$ )

compatibly with the grading,

and  $\text{gr } \text{Conf.}(X)^+ \cong \text{Comm}_{\uparrow}^{\circ} (X^+ \wedge (\underbrace{\Sigma \Sigma^1 N(\Pi_*)}_{\text{partition posets}}))$   $\otimes$

Recall:  $\Sigma \Sigma^1 N(\Pi_n) \cong \bigvee_{(n-1)!} S^{n-1} \hookrightarrow \Sigma_n$

Representation on  $H^* \cong \text{Lie}(n) \otimes \text{sgn}$  - Lie operad.

$\otimes$  is the Koszul resolution for the  $\text{Comm}$ -coalg.  $X^+$ .

Q. How to relate this to Knudsen's work on  $E_n$ -enveloping algebras &  $\text{Conf.}(M^n)$ ?

Q. Relation to Goodwillie derivatives of  $\text{Id}$ ?

Problem: reproduce the argument in motivic spaces

(need comparison  $\text{U}(\text{diags}) \cong \text{hocalim}(\text{diags}) \dots$  cdh topology?)

[ A different construction for  $\text{Conf}_n$  works for schemes, with  $\text{gr} \sim$  Thom spaces for diagonals  $\Delta \hookrightarrow X^k$  ]

Problem: Enriched version?

- I graded abelian category,  $\Delta G \sim$  indecomposables  
Möbius should give standard Koszul resolution.
- How will Möbius play with orthogonal functor calculus?

A word about the proof: basechange

2 ingredients -

1) relative colims -

$$I \xrightarrow{f} J$$

$$\Rightarrow \operatorname{colim}_J f_i F \simeq \operatorname{colim}_I F$$

2) Beck - Chevalley / basechange -

$$\begin{array}{ccc}
 I \xrightarrow{\tilde{f}} J = \{(i, j, f(i) \rightarrow g(j))\} & \xrightarrow{\tilde{g}} & I \xrightarrow{f} M \\
 \tilde{f} \downarrow & \swarrow & \downarrow f \\
 J & \xrightarrow{g} & K
 \end{array}$$

$$\Rightarrow f_i \tilde{g}^* \xrightarrow{\sim} g^* f_i \quad \text{equiv.}$$

Then, taking  $\operatorname{colim}_J g^* f_i F \simeq \operatorname{colim}_I \underbrace{\tilde{g}_i \tilde{g}^*}_F F$

introduces a nerve  
if  $I$  a groupoid.

Another example: **smooth hypersurfaces in  $\mathbb{P}^n$**

⊗ Vassiliev studies  $U_{n,d} =$  smooth hypersurfaces  $\subset \mathbb{P}^n$   
degree  $d$

Poset: possible singularity types  $\lambda =$  pt,  
pts,  
line,  
line+point,  
;

⊗  $V(\lambda) = \cup U(\mu)$   
all hypersurfaces with singularity at least  $\lambda$       singular locus type exactly  $\mu \leq \lambda$

⊗ have a fibration  $\mathbb{A}^n \rightarrow V(\lambda)$  *understood*  
↓  
Moduli space of all  $\lambda \subset \mathbb{P}^n$   
Möbius }

gr  $U_{n,d} \cong \bigvee_{\lambda \text{ sing. types}} V(\lambda) \wedge \Sigma \Sigma^1 N(\text{singularities} < \lambda \text{ poset})$

[Das] computes cohomology of

- smooth cubic surf.
- equipped with a line.

Thank  
you!