

# A FIBONACCI ARRAY


RICHARD P. STANLEY

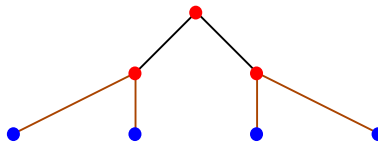
## 1. INTRODUCTION

We will define a certain numerical array, which we call the *Fibonacci array*  $\mathfrak{F}$ , and will state some properties of this array related to Fibonacci numbers and the golden mean. Proofs are omitted; for further details see the reference at the end of this article.

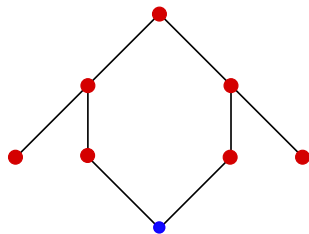
Define a diagram as follows. At the top there is a single vertex (or point or node), denoted  $T$  (for “top”). Now continue recursively using the following rules:

- (P1) Each vertex is connected to exactly two vertices in the row below.
- (P2) The diagram is planar, i.e., edges cannot cross.
- (P3) Given a vertex  $t$  and the two adjacent vertices  $u, v$  to  $t$  in the row below, complete this figure to a hexagon by adding a vertex  $u'$  below and adjacent to  $u$ , a vertex  $v'$  below and adjacent to  $v$ , and a vertex  $w$  below and adjacent to both  $u'$  and  $v'$ .

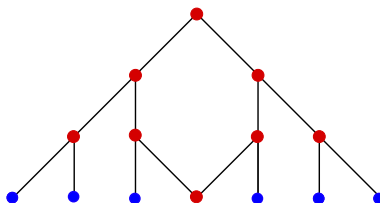
Thus the first step is to add two vertices below  $T$ : . We cannot add a vertex below both of the two bottom vertices, because we must complete to a hexagon, not a quadrilateral. Since the two bottom vertices must each be adjacent to two vertices below, at the next step we get



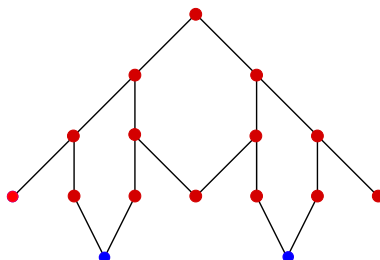
Now we add a vertex adjacent to the two middle vertices on the bottom row in order to complete to a hexagon:



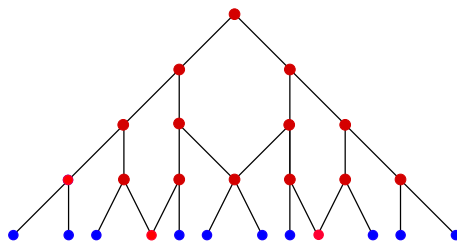
Add remaining vertices on bottom row so that rule (P1) is satisfied:



Complete the two hexagons:



Add remaining vertices on bottom row:



Continuing in this manner produces a diagram consisting of infinitely many levels. We denote this diagram by  $\mathcal{D}$ . The top element  $T$  is defined to be at level 0. The two vertices immediately below  $T$  are at level one, etc. The number of vertices at the levels  $0, 1, 2, \dots$  is  $1, 2, 4, 7, 12, 20, 33, 54, \dots$ . In fact, the number of vertices at level  $n$  is  $F_{n+3} - 1$ , where  $F_i$  denotes a Fibonacci number (defined by  $F_1 = F_2 = 1$  and  $F_{i+1} = F_i + F_{i-1}$  for  $i \geq 2$ ). This gives the first glimpse of the connection of our diagram with Fibonacci numbers.

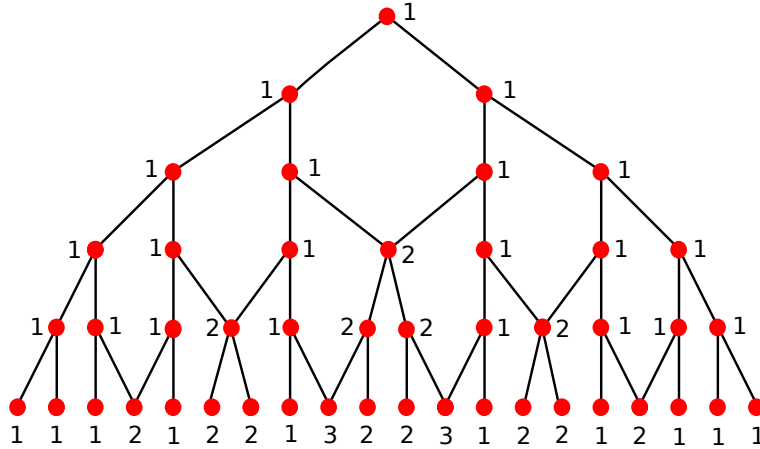


FIGURE 1. The Fibonacci array  $\mathfrak{F}$

The next step is to attach a positive integer (a label) to each vertex of  $\mathcal{D}$  by the following recursive procedure. The top element  $T$  is labelled 1. Once we have labelled all the vertices at level  $n$ , label a vertex  $v$  at level  $n + 1$  by the sum of the labels of the elements on level  $n$  that are adjacent to  $v$ . This procedure is analogous to the usual recursive definition of Pascal’s triangle<sup>1</sup>. A nonrecursive description of the label of a vertex  $v$  is that the label is equal to the number of paths from  $T$  to  $v$  (along the edges of the diagram  $\mathcal{D}$ ). We denote the resulting labelled diagram by  $\mathfrak{F}$ , called the *Fibonacci array*. Figure 1 shows the levels 0 to 5 of  $\mathfrak{F}$ .

2. THE NUMBERS  $\langle n \rangle_k$

What are the numbers appearing in  $\mathfrak{F}$ ? Let  $\langle n \rangle_k$  denote the  $k$ th number on level  $n$  of  $\mathfrak{F}$ , beginning with  $k = 0$ . Thus for instance from Figure 1 we see that

$$\langle 5 \rangle_0 = \langle 5 \rangle_1 = \langle 5 \rangle_2 = 1, \langle 5 \rangle_3 = 2, \langle 5 \rangle_4 = 1, \dots$$

The numbers  $\langle n \rangle_k$  may be regarded as “Fibonacci analogues” of the binomial coefficients  $\binom{n}{k}$ . The binomial coefficients satisfy the binomial theorem

$$(2.1) \quad \binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = (1 + x)^n.$$

<sup>1</sup>In fact, if we modify the rule (P3) by saying that we complete a vertex and the two adjacent vertices  $u, v$  to a quadrilateral rather than a hexagon and use the same labeling rule, then we obtain Pascal’s triangle.

The numbers  $\langle n \rangle_k$  satisfy

$$(2.2) \quad \begin{aligned} & \langle n \rangle_0 + \langle n \rangle_1 x + \langle n \rangle_2 x^2 + \cdots + \left\langle \begin{matrix} n \\ F_{n+3} - 2 \end{matrix} \right\rangle x^{F_{n+3}-2} \\ & = (1 + x^{F_2})(1 + x^{F_3}) \cdots (1 + x^{F_{n+1}}), \end{aligned}$$

a ‘‘Fibonacci analogue’’ of the binomial theorem. For instance,

$$\begin{aligned} & (1 + x)(1 + x^2)(1 + x^3)(1 + x^5) \\ & = 1 + x + x^2 + 2x^3 + x^4 + 2x^5 + 2x^6 + x^7 + 2x^8 + x^9 + x^{10} + x^{11}, \end{aligned}$$

so the labels on the fourth level of  $\mathfrak{F}$  are  $(1, 1, 1, 2, 1, 2, 2, 1, 2, 1, 1, 1)$ .

### 3. SUMS OF POWERS OF $\langle n \rangle_k$

In Pascal’s triangle the sum of the numbers on level  $n$  is  $2^n$ . In symbols,

$$\binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{n} = 2^n.$$

This formula follows from the fact that every number in Pascal’s triangle is used twice in forming the next row. Alternatively, we can set  $x = 1$  in the binomial theorem (2.1). Exactly the same reasoning applies to the Fibonacci array. Each number on some row is used twice in forming the next row, essentially a restatement of property (P1). Alternatively, we can set  $x = 1$  in equation (2.2), so we get

$$(3.1) \quad \langle n \rangle_0 + \langle n \rangle_1 + \cdots + \left\langle \begin{matrix} n \\ F_{n+3} - 2 \end{matrix} \right\rangle = 2^n.$$

The situation becomes more interesting when we consider powers  $\langle n \rangle_k^r$  of the entries. The main result is the following. Let  $r$  be a positive integer, and set

$$v_r(n) = \left\langle \begin{matrix} n \\ 0 \end{matrix} \right\rangle^r + \left\langle \begin{matrix} n \\ 1 \end{matrix} \right\rangle^r + \cdots + \left\langle \begin{matrix} n \\ n \end{matrix} \right\rangle^r.$$

Thus  $v_1(n) = 2^n$ , a restatement of equation (3.1). In general,  $v_r(n)$  satisfies a linear recurrence with constant coefficients, i.e., there are integers  $c_1, \dots, c_k$  (which depend on  $r$ , as does  $k$ ) such that

$$v_r(n) = c_1 v_r(n-1) + c_2 v_r(n-2) + \cdots + c_k v_r(n-k)$$

for all  $n \geq k$ . For instance,

$$\begin{aligned} v_2(n) &= 2v_2(n-1) + 2v_2(n-2) - 2v_2(n-3) \\ v_3(n) &= 2v_3(n-1) + 4v_3(n-2) - 2v_3(n-3) \\ v_4(n) &= 2v_4(n-1) + 7v_4(n-2) + 2v_4(n-4) - 2v_4(n-5) \\ v_5(n) &= 2v_5(n-1) + 11v_5(n-2) + 8v_5(n-3) \\ &\quad + 20v_5(n-4) - 10v_5(n-5). \end{aligned}$$



Nothing like this is true for the ordinary binomial coefficients  $\binom{n}{k}$ .

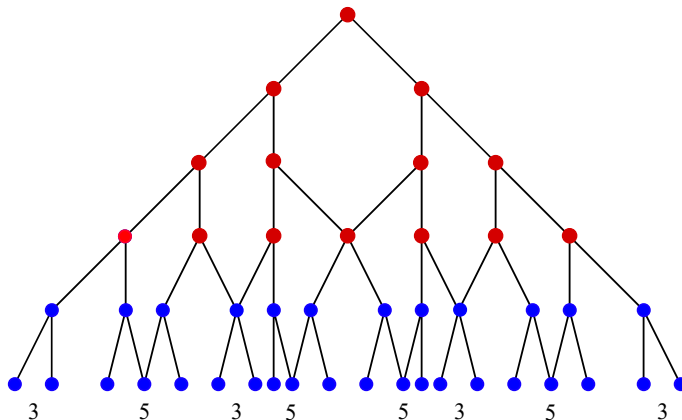
NOTE (for readers with sufficient mathematical background). Define the power series  $V_r(x) = \sum_{n \geq 0} v_r(n)x^n$ . Since  $v_r(n)$  satisfies a linear recurrence with constant coefficients,  $V_r(x)$  is a rational function. For  $1 \leq r \leq 6$  it is given by

$$\begin{aligned} V_1(x) &= \frac{1}{1-2x} \\ V_2(x) &= \frac{1-2x^2}{1-2x-2x^2+2x^3} \\ V_3(x) &= \frac{1-4x^2}{1-2x-4x^2+2x^3} \\ V_4(x) &= \frac{1-7x^2-2x^4}{1-2x-7x^2-2x^4+2x^5} \\ V_5(x) &= \frac{1-11x^2-20x^4}{1-2x-11x^2-8x^3-20x^4+10x^5} \\ V_6(x) &= \frac{1-17x^2-88x^4-4x^6}{1-2x-17x^2-28x^3-88x^4+26x^5-4x^6+4x^7}. \end{aligned}$$

Note that the numerator of  $V_r(x)$  is the “even part” of the denominator. It was proved by Ilya Bogdanov that this fact continues to hold for any  $r$  (MathOverflow 457900).

#### 4. TWO CONSECUTIVE LEVELS

We now turn to a completely different aspect of  $\mathfrak{F}$ : the structure of two consecutive levels. Consider for instance levels four and five, shown as blue vertices in Figure 2. We obtain a sequence of three-vertex diagrams  and five-vertex diagrams . Thus we can represent the structure of two consecutive levels as a sequence of 3's and 5's. For instance, rows 4 and 5 correspond to the sequence (3, 5, 3, 5, 5, 3, 5, 3). In general, the number of terms in the sequence corresponding to rows  $n$  and  $n+1$  is  $F_{n+2}$ .

FIGURE 2. Levels four and five of  $\mathfrak{F}$ 

How can we describe the sequence corresponding to levels  $n$  and  $n + 1$ ? It is palindromic (reads the same backwards as forwards), so we only have to describe the first half. The result is that the  $k$ th term (beginning with  $k = 1$ ) is given by

$$(4.1) \quad 1 + 2\lfloor k\phi \rfloor - 2\lfloor (k-1)\phi \rfloor,$$

where  $\phi = (1 + \sqrt{5})/2$ , the *golden mean*. As usual,  $\lfloor x \rfloor$  denotes the greatest integer  $m \leq x$ .

The numbers in equation (4.1), beginning with  $k = 1$ , are

$$(4.2) \quad \gamma = (3, 5, 3, 5, 5, 3, 5, 3, 5, 5, 3, 5, 5, 3, 5, 3, 5, 5, \dots).$$

The first four terms are 3, 5, 3, 5, agreeing with the description of the first half of levels 4 and 5.

The sequence (4.2) has several other descriptions.

- If we remove the first term, then the remaining sequence  $(5, 3, 5, 5, 3, 5, \dots)$  is characterized by invariance under  $3 \rightarrow 5$  and  $5 \rightarrow 53$  (the *Fibonacci word* in the letters 3, 5).
- We have  $\gamma = 3z_1z_2z_3 \dots$  (concatenation of words), where  $z_1 = 5$ ,  $z_2 = 35$ , and  $z_k = z_{k-2}z_{k-1}$  for  $k \geq 3$ :

$$(3) \quad 5 \cdot 35 \cdot \mathbf{535} \cdot \mathbf{35535} \cdot \mathbf{53535535} \dots$$

- If we replace 3 by 1 and 5 by 2 in  $\gamma$ , then we obtain the sequence that records the number of 5's between consecutive 3's in  $\gamma$ :

$$\mathbf{3} \underbrace{\mathbf{5}}_1 \mathbf{3} \underbrace{\mathbf{55}}_2 \mathbf{3} \underbrace{\mathbf{5}}_1 \mathbf{3} \underbrace{\mathbf{55}}_2 \mathbf{3} \underbrace{\mathbf{55}}_2 \mathbf{3} \underbrace{\mathbf{5}}_1 \mathbf{3} \underbrace{\mathbf{55}}_2 \mathbf{3} \dots$$

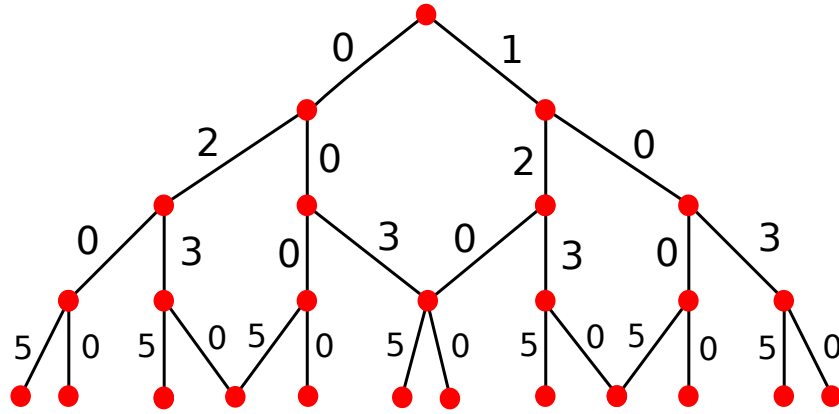


FIGURE 3. An edge labeling of  $\mathfrak{D}$

5. AN EDGE LABELLING

Label the edges of  $\mathfrak{D}$  as follows. The edges between levels  $2k$  and  $2k + 1$  are labelled alternately  $0, F_{2k+2}, 0, F_{2k+2}, \dots$  from left to right. The edges between levels  $2k - 1$  and  $2k$  are labelled alternately  $F_{2k+1}, 0, F_{2k+1}, 0, \dots$  from left to right. Figure 3 shows the first four levels of this labeling.

If  $t$  is a vertex in  $\mathfrak{D}$ , then the sum  $\sigma(t)$  of the edge labels on any path from  $t$  to the top depends only on  $t$ , not on the choice of path. Figure 4 shows these sums for the points at level four. At level  $n$  we obtain the integers from  $0$  to  $F_{n+2} - 2$  once each. As we go down a path from the top to level  $n$ , there are two choices for each step. These choices correspond exactly to expanding the product (2.2). For each of the  $n$  factors there are two choices: choose the constant term  $1$  or the monomial  $x^{F_{i+1}}$ .

Moreover, if  $i$  appears to the left of  $j$  at level  $n$ , then  $i$  appears to the left of  $j$  at all subsequent levels. Thus we can define a linear ordering, denoted  $\prec$ , on the nonnegative integers by letting  $i \prec j$  if  $i$  appears to the left of  $j$  at some level  $n$  (and thus at all subsequent levels). Figure 4 shows that

$$7 \prec 2 \prec 10 \prec 5 \prec 0 \prec 8 \prec 3 \prec 11 \prec 6 \prec 1 \prec 9 \prec 4.$$

The order  $\prec$  on the nonnegative integers is *dense*, meaning that whenever  $i \prec k$ , there is some (hence infinitely many)  $j$  satisfying  $i \prec j \prec k$ . The description of this order is based on *Zeckendorf's theorem*, which says that every nonnegative integer has a unique representation as a sum of nonconsecutive Fibonacci numbers, where a summand equal to  $1$  is always taken to be  $F_2$ . The description of the order  $\prec$  is a little

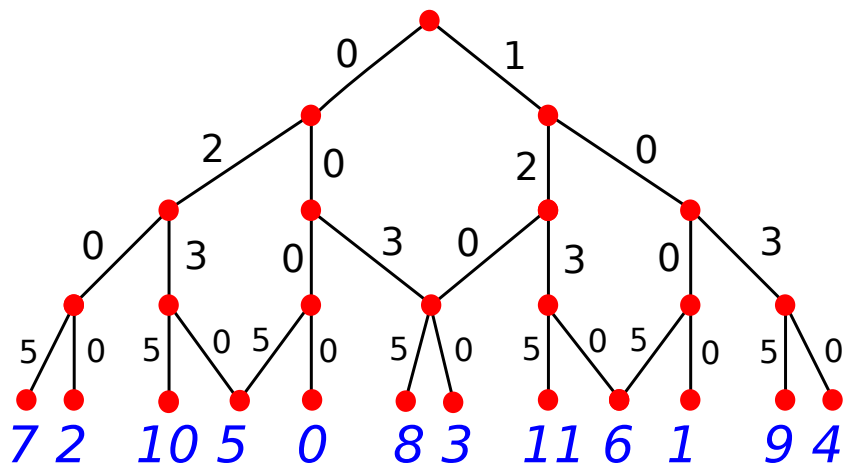


FIGURE 4. An ordering of the integers from 0 to 11

too complicated to describe here, but to give the flavor we give the condition for  $n \succ 0$ . Namely, let  $n = F_{j_1} + \cdots + F_{j_s}$  be the Zeckendorf representation of  $n > 0$ , where  $j_1 < \cdots < j_s$ . Then  $n \prec 0$  if  $j_1$  is odd, while  $n \succ 0$  if  $j_1$  is even. For instance,  $45 = 3 + 8 + 34 = F_4 + F_6 + F_9$ . Since the first index (subscript) 4 is even, we have  $45 \succ 0$ .

REFERENCE. R. Stanley, Theorems and conjectures on some rational generating functions, *Europ. J. Math.*, to appear; [arXiv:2101.02131](https://arxiv.org/abs/2101.02131).

*Email address:* `rstan@math.mit.edu`

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MIAMI, CORAL GABLES, FL 33124