

CLAY RESEARCH CONFERENCE
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STEENROD SQUARES AND SYMPLECTIC FIXED POINTS

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ALSO INCLUDES DISCUSSION OF WORK OF:

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Symplectic diffeomorphisms

Let M^{2n} be a closed manifold with a symplectic form $\omega \in \Omega^2(M)$, $d\omega = 0$ (locally $M = \mathbb{R}^{2n}$, $\omega = dp_1 \wedge dq_1 + \dots$)
Let $\varphi: M \rightarrow M$ be a diffeomorphism which preserves the symplectic form, $\varphi^* \omega = \omega$.

Question Is it possible for φ to have only finitely many periodic points? ("Conley conjecture")

Answer In general, yes, e.g. irrational rotation of $M = S^2$ or $M = \mathbb{C}P^n$.

For simplicity, assume all periodic points are nondegenerate:

$$(*) \quad \varphi^k(x) = x \implies \det(A - D\varphi_x^k) \neq 0$$

Chern class

Thm (Salamon-Zehnder) Suppose that $c_1(M) = 0$, $H^1(M; \mathbb{R}) = 0$, and φ is isotopic to id (symplectically). If $(*)$ holds, there must be ∞ many periodic points.

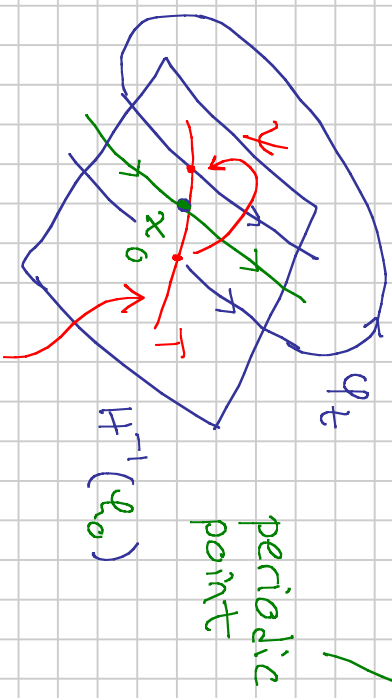
unnecessary (Ginzburg-Girola-Hein)

Thm (Ginzburg-Girola) Same, if $c_1(M) = -[\omega]$.

Thm (Franks) For $M = S^2$, either 2 or ∞ many periodic points.

Historical origin "Poincaré return maps" of Hamiltonian systems:

given M and $H \in C^\infty(M, \mathbb{R})$, consider the Hamiltonian vector field $X = X_H$ and its flow (φ_t) . Suppose $X_{x_0} \neq 0$, $\varphi_{t_0}(x_0) = x_0$, $H(x_0) = \mathcal{E}_0$



Local transverse slice T comes with a symplectic diffeomorphism, the Poincaré return map ψ .

(S^1)

Now suppose M carries a rotational symmetry with momentum map μ , $\{H, \mu\} = 0$, and that x_0 is an S^1 -fixed point. One can choose T and the Poincaré return map ψ to be S^1 -invariant, and then consider the dynamics on the reduced spaces

$$\bar{T} = \mu^{-1}(\theta) \cap T / S^1 \xrightarrow{\psi} \bar{T}$$

Example $M = S^1$ a surface, weights on the normal bundle are all $+1$
 $\Rightarrow \bar{T} \cong \mathbb{C}P^{n-2}$ for $\theta > \mu(x_0)$.
 $\bar{\psi}$ is isotopic to the identity.

Algebra background

V a vector space over a field \mathbb{K} ,
with an **action** of the group $\mathbb{Z}/2$,
given by an involution

$$\iota: V \rightarrow V, \quad \iota^2 = \text{id}.$$

$\text{char}(\mathbb{K}) \neq 2$ is boring: vector spaces
with $\mathbb{Z}/2$ -actions form a **semisimple**

abelian category. More concretely,

projectors $\pi_{\pm} = \frac{1}{2}(\text{id} \pm \iota)$ split

$$V = V_+ \oplus V_-,$$

so the theory is

just that of pairs of vector spaces.

$\text{char}(\mathbb{K}) = 2$ is **nontrivial**. We
still have the fixed part $V^{\iota} \subseteq V$,
and for any $v \in V$, $v - \iota(v) \in V^{\iota}$.
Hence, V/V^{ι} carries the trivial
induced action, and we have

$$0 \rightarrow V^{\iota} \hookrightarrow V \twoheadrightarrow V/V^{\iota} \rightarrow 0$$

$\sigma = \text{id} - \iota$

non-canonical

$$\Rightarrow V \cong V^{\iota} \oplus V/V^{\iota}, \quad \iota \cong \begin{pmatrix} \text{id} & \sigma \\ 0 & \text{id} \end{pmatrix}$$

Roughly, this is the theory of pairs
of vector spaces with an **injective**
map between them.

Group cohomology $\text{char}(K) = 2$ from

now on. Let u be a formal variable of degree 1; the group cochain complex is

$$C^*(\mathbb{Z}/2; V) = V[[u]] = \prod_{i=0}^{\infty} u^i V$$

$$d_{2k} = u(\text{id} - \tau)$$

with cohomology $H^*(\mathbb{Z}/2; V)$; explicitly

$$C^*(\mathbb{Z}/2; V) \cong (V^{\otimes \mathbb{Z}/2}) \times u(V^{\otimes \mathbb{Z}/2}) \times \dots$$

$d_{2k} = u\sigma$ (indicated by a pink arrow pointing from the first term to the second)

$$H^*(\mathbb{Z}/2; V) \cong \text{coker}(u\sigma)$$

$$= V^{\otimes \mathbb{Z}/2} \oplus u \text{coker}(\sigma[[u]])$$

u may have kernel

Take version

negative powers of u

$$C^*(\mathbb{Z}/2; V) = V[[u]] = C^*(\mathbb{Z}/2; V \otimes K[[u]])$$

$$H^*(\mathbb{Z}/2; V) = H^*(\mathbb{Z}/2; V) \otimes K[[u]] \cong \text{coker}(\sigma[[u]])$$

Example Trivial action \Rightarrow

Tate cohomology is $V[[u]]$

Example V has a basis on

which τ acts freely

$\Leftrightarrow \sigma$ is an isomorphism

\Leftrightarrow Tate cohomology vanishes.

Example V any vector space, take $V \otimes V$ with the $\frac{1}{2}$ -action which exchanges the two factors. Then

$$H^*(\frac{1}{2}; V \otimes V) \cong \text{Sym}^2(V) \oplus \wedge^2 V[[\hbar]]$$

$$u \text{ sends } v \otimes v \mapsto v$$

$$v \otimes w + w \otimes v \mapsto 0$$

$$\boxed{H^*(\frac{1}{2}; V \otimes V) \cong V[[\hbar]] \quad (*)}$$

Note that $H^*(\frac{1}{2}; V \otimes V)$ is additive in V , but the underlying chain complex isn't.

Consider the Tate map

$$\begin{array}{ccc} V[[\hbar]] & \longrightarrow & C^*(\frac{1}{2}; V \otimes V) \\ v & \longmapsto & v \otimes v \end{array}$$

This is not additive, but the induced map on cohomology becomes additive after multiplying with \hbar :

$$\begin{aligned} (\hbar(v+w)) \otimes (\hbar(v+w)) - \hbar v \otimes \hbar v - \hbar w \otimes \hbar w &= \\ = \hbar v \otimes \hbar w + \hbar w \otimes \hbar v &= \hbar \frac{1}{2} (\hbar^{-1}(\hbar v \otimes \hbar w)). \end{aligned}$$

After tensoring with $\mathbb{K}[[\hbar]]$, it induces $(*)$.

Chain complexes with involution

Take (V, d_V) chain complex with involution ι . Define $C^*(\mathbb{Z}/2; V)$ and $\hat{C}^*(\mathbb{Z}/2; V)$ as before, with

$$d_{\mathbb{Z}/2} = d_V + \iota(\text{id} - \iota)$$

The resulting $H^*(\mathbb{Z}/2; V)$, $\hat{H}^*(\mathbb{Z}/2; V)$ are quasi-isomorphism invariants.

Example (V, d_V) any chain complex,

$$H^*(V)(\mathbb{Z}/2) \xrightarrow[\cong]{\text{not graded}} \hat{H}^*(\mathbb{Z}/2; V \otimes V)$$

by the Tate map on cocycles.

Filtration by powers of ι yields a spectral sequence

$$E_1 = C^*(\mathbb{Z}/2; H^*(V))$$

$$E_2 = H^*(\mathbb{Z}/2; H^*(V))$$

...

Assuming V is bounded, the finite filtration by degrees in V yields a spectral sequence

$$E_1 = H^*(\mathbb{Z}/2; V \text{ as graded vector space})$$

Example V bounded, each V^i has a basis freely acted on by $\iota \Rightarrow \hat{H}^*(\mathbb{Z}/2; V^i) = 0 \Rightarrow \hat{H}^*(\mathbb{Z}/2; V) = 0$.

Algebraic topology

M a manifold with a (smooth) involution ρ (\Rightarrow fixed point set $M^{\mathbb{Z}/2}$ is a submanifold). $C^*(M)$ = cochains with \mathbb{K} -coefficients. Equivariant cohomology

$$H_{eq}^*(M) \stackrel{\text{def}}{=} H^*(\mathbb{Z}/2; C^*(M))$$

Similarly, Tate version.

First spectral sequence

$$E^1 = H^*(M)[[u]] \Rightarrow H_{eq}^*(M)$$

differential on E^1 is $\text{id} - \rho^*$

$$\dim_{\mathbb{K}}(u) \quad \hat{H}_{eq}^*(M) \leq \dim_{\mathbb{K}} H^*(M)^{\mathbb{Z}/2}$$

Second spectral sequence implies:

if ρ is fixed-point free, $\hat{H}_{eq}^*(M) = 0$ (in fact, $H_{eq}^*(M) \cong H^*(M/\mathbb{Z}/2)$).

Example Given any M , take $M \times M$ with the involution exchanging the two factors. Equivariant Eilenberg-MacLane:

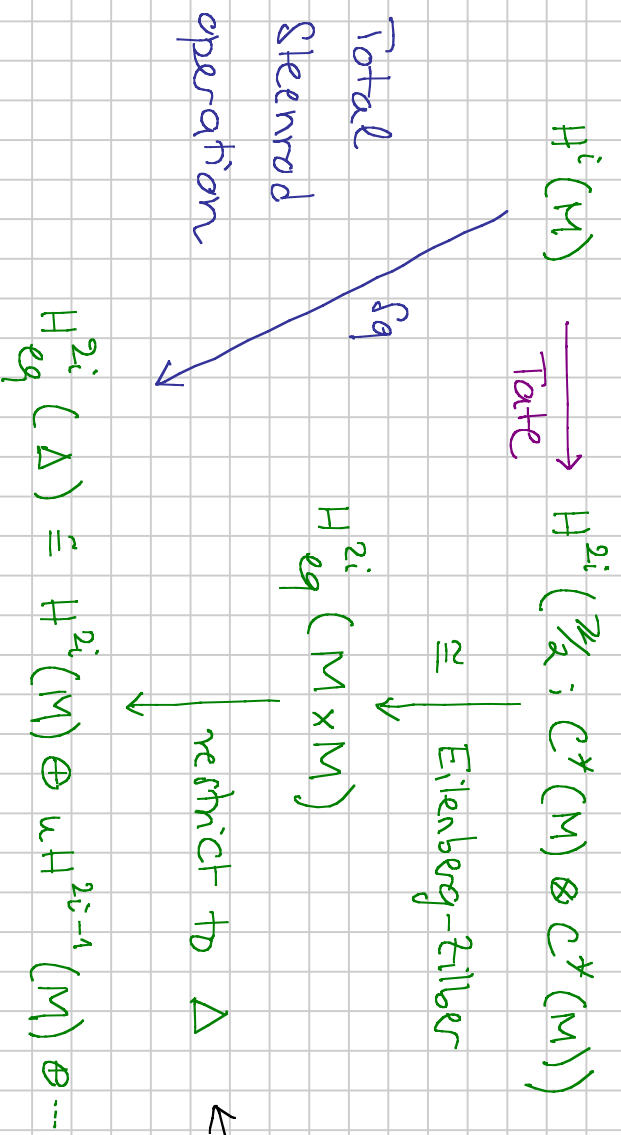
$$C^*(\mathbb{Z}/2; C^*(M \times M)) \cong C^*(\mathbb{Z}/2; C^*(M) \otimes C^*(M))$$

$$\Downarrow$$
$$H_{eq}^*(M \times M) \cong H^*(\mathbb{Z}/2; H^*(M) \otimes H^*(M))$$

$$\hat{H}_{eq}^*(M \times M) \cong H^*(M)[[u]]$$

not degree-preserving (Tate map)

Stenrod squares Consider the diagonal $\Delta \subset M \times M$. This is the fixed point set of the involution, hence $H_{eq}^*(\Delta) \cong H^*(M) \llbracket u \rrbracket$.



More precisely, for $x \in H^i(M)$

$$Sq(x) = x^2 + u Sq^{i-1}(x) + \dots + u^{i-1} Sq^1(x) + u^i x$$

(Annotations: x^2 is cup-square, $u^i x$ is identity)

Rockstein
The induced map

$$\hat{Sq} : H^*(M) \llbracket u \rrbracket \longrightarrow H^*(M) \llbracket u \rrbracket$$

$$x \mapsto u^i(x + u^{-1} Sq^1(x) + \dots)$$

is an isomorphism. Alternatively, this is a consequence of the localization theorem applied to $M \times M$.

Fixed point Floer cohomology

Variational interpretation:

Setup M is a compact symplectic

manifold with $\omega = d\theta$ (hence $\partial M \neq \emptyset$).

$\varphi: M \xrightarrow{\cong} M$ satisfies $\varphi^* \theta = \theta + dG$

($\Rightarrow \varphi^* w = w$); and has no fixed

points on ∂M (+ some convexity

type conditions near ∂M).

One can define a \mathbb{Z}_k -graded

\mathbb{R} -vector space $HF^*(\varphi)$, fixed

point Floer cohomology, such that

- $\chi(HF^*(\varphi)) = \text{Lefschetz number}$

- $|\text{Fix}(\varphi)| \geq \dim HF^*(\varphi)$ if the

fixed points are nondegenerate

- $HF^*(\varphi)$ is invariant under isotopies

(in the class of permitted φ)

Example $H \in C^\infty(M, \mathbb{R})$ with $H|_{\partial M} = \text{const.}$

$\partial H|_{\partial M}$ positive in outwards direction.

Take the flow ϕ^t for small $t > 0$.

Then $HF^*(\phi^t) \cong H^*(M)$.

Example k -fold Dehn twist on annulus:



$HF^*(\varphi) \cong \bigoplus_k H^*(S^1)$, hence $2k$ fixed points persist.

Twisted loop space

$$\mathcal{Z}_\varphi = \{x: \mathbb{R} \rightarrow M \mid x(t) = \varphi(x(t+1))\}$$

Action functional $A_\varphi: \mathcal{Z}_\varphi \rightarrow \mathbb{R}$

$$A_\varphi(x) = -\int_0^1 x^* \theta + E(x(1))$$

Critical points $\Leftrightarrow \frac{dx}{dt} = 0 \Leftrightarrow$ fixed points

Assume from now on that the fixed points are nondegenerate ($\Leftrightarrow A_\varphi$ is formally Morse). Define

$$CF^*(\varphi) = \bigoplus_{\varphi(x)=x} \mathbb{R} \cdot x$$

filtered chain complex

where the \mathbb{Z}_2 -grading is determined by the sign of $\det(\mathbb{1} - \mathcal{D}\varphi_x)$.

The differential $d_\varphi: CF^*(\varphi) \rightarrow CF^{*+1}(\varphi)$ is defined by considering gradient flow lines of A_φ with respect to a metric

$$\langle \xi, \eta \rangle = \int_0^t \omega(\xi, \mathcal{J}_t \eta) dt$$

family of almost complex structures needs to satisfy a genericity (Palais-Smale) condition

More concretely, these are solutions of

$$\begin{cases} u: \mathbb{R}^2 \rightarrow M, & u(s,t) = \varphi(u(s,t+1)), \\ g_s u + \mathcal{J}_t \cdot \partial_t u = 0 \\ \lim_{s \rightarrow \pm\infty} u(s, \cdot) = \text{fixed points} \end{cases}$$

Note Differential d_φ increases A_φ

Equivariant Floer cohomology

The space $\mathbb{Z}/2$ carries an involution, the $\frac{1}{2}$ -rotation of loops:

$$\rho(x)(t) = \varphi(x(t + \frac{1}{2}))$$

This preserves A_{φ} , hence induces an involution ρ^* on $CF^*(\varphi^2)$. Because of the Palais-Smale condition, this is not necessarily a chain map. But one has a different chain map

$$r : CF^*(\varphi^2) \longrightarrow CF^*(\varphi^2)$$

$r = \rho^* +$ (terms that strictly increase the action)

r is not an involution, but instead satisfies

$$r^2 - 1 = (r+1)^2 = d_0 d_2 + d_2 d_0$$

for some chain homotopy d_2 , which strictly increases the action. There is an infinite sequence

$$d_0 = d_{\varphi^2}, \quad d_1 = 1, r, \quad d_2, \dots$$

which constitutes a **homotopy action** of $\mathbb{Z}/2$ on $CF^*(\varphi^2)$. One defines

$$CF_{eq}^*(\varphi^2) = CF^*(\varphi^2) \llbracket [u, \eta] \rrbracket$$

$$\deg = d_0 + u d_1 + u^2 d_2 + \dots$$

and its cohomology $HF_{eq}^*(\varphi^2)$.

Example $\varphi = \varphi^t$, $t > 0$ small, as before.
 Then $HF_{eq}^*(\varphi^2) \cong H^*(M)[[u]]$.

Filtration by power of u gives a spectral sequence

$$E_1 = HF^*(\varphi^2)[[u]] \implies HF_{eq}^*(\varphi^2)$$

(\curvearrowright) differential is $u-r^*$

$E_2 = H^*(\mathbb{Z}_2; HF^*(\varphi^2))$, hence

$$\dim HF_{eq}^*(\varphi^2) \otimes_{\mathbb{K}[[u]]} \mathbb{K}[[u]] \leq \dim HF^*(\varphi^2)_{\mathbb{Z}_2}$$

Take version $\widehat{HF}_{eq}^*(\varphi^2)$

Filtration by action gives a spectral sequence with

$$E_1 = H^*(\mathbb{Z}_2; CF^*(\varphi^2)) \curvearrowright \text{with } \rho^* \text{ acting}$$

If one passes to the Tate version,

$$E_1 = \widehat{H}^*(\mathbb{Z}_2; CF^*(\varphi^2)) \cong CF^*(\varphi)[[u]]$$

\mathbb{Z}_2 -grading issues are nontrivial, and the differentials are a priori mysterious.

Steenrod operations in Floer cohomology

First approach, Floer stable homotopy type (Cohen-Jones-Segal). Impose

Stable homotopy triviality condition

TM is stably trivial (*) as a symplectic vector bundle, and with respect to that trivialization, $D\varphi: M \rightarrow Sp(\infty)$ is null homotopic (*).

Then, can define a stable homotopy type underlying $HF^*(\varphi)$, hence Steenrod squares. Advantage: satisfy standard axioms. Drawback: depend on (*)

Second approach (Fukaya, Behr -

Cohen-Norbury): there is an

equivariant product operation

$$H^*(\mathbb{Z}/2; CF^*(\varphi) \otimes CF^*(\varphi)) \xrightarrow{\text{product}} HF_{eq}^*(\varphi^2)$$

combine it with Tate

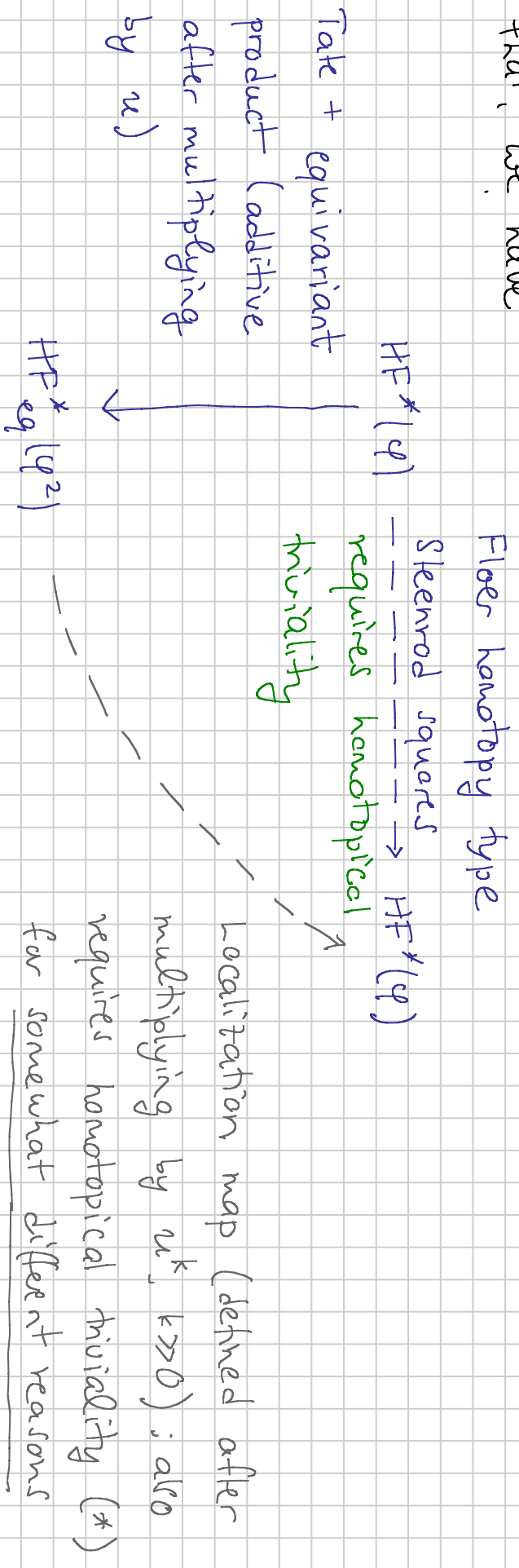
$$HF^*(\varphi)[[u]]$$

becomes linear after multiplying with u

Advantage: no homotopy condition, canonical. Drawback: may not satisfy standard axioms.

For $\varphi = \varphi^t$ ($t > 0$ small) as before, both approaches should recover classical Steenrod squares on $HF^*(M)$.

Relation? Hendricks (based on earlier work of Seidel-Smith, using Lagrangian Floer cohomology) defines a localization map $HF_{eq}^*(\varphi^2) \rightarrow HF^*(\varphi)$. Using that, we have



Conjecture Diagram commutes.

Consequence If homotopical triviality holds, the equivariant product has special properties (e.g. contains Bockstein)

Localization theorem (Borel) let

M be a manifold with $\mathbb{Z}/2$ -action.

Then the map induced by $M^{\mathbb{Z}/2} \subseteq M$,

$$H^*_{\mathbb{Z}/2}(M) \xrightarrow{i^*} H^*(M^{\mathbb{Z}/2}) \llbracket U \rrbracket$$

becomes an isomorphism over $\mathbb{R}((U))$

(ie. in the Tate version).

not independent

Corollary (Smith inequality)

$$\dim H^*(M^{\mathbb{Z}/2}) \leq \dim H^*(M)$$

Example A smooth real algebraic

curve of degree d (in $\mathbb{R}P^2$) has

at most $\frac{1}{2}d^2 - \frac{3}{2}d + 2$ components.

First proof Using a suitable choice

(eg. a triangulation) ensure that

$C^*(M) \rightarrow C^*(M^{\mathbb{Z}/2})$ is onto, and that

the kernel $C^*(M, M^{\mathbb{Z}/2})$ has a basis

freely acted on by $\mathbb{Z}/2$. This implies

$$H^*(\mathbb{Z}/2; C^*(M, M^{\mathbb{Z}/2})) = 0.$$

"Second proof" Assume M closed. We have

$$H^*(M^{\mathbb{Z}/2}) \llbracket U \rrbracket \xrightarrow{i^!} H^*{}^{+c}_{\mathbb{Z}/2}(M)$$

and composition of the two is cup product with the (equivariant) Thom

or Euler class of the normal bundle. But

$$e_{\mathbb{Z}/2}(\nu) = \sum_i u^{e-i} w_i(\nu) = u^e + \dots$$

becomes invertible over $\mathbb{R}((U))$.

Thm (Hendricks 2014) Suppose the homotopical triviality condition is satisfied, so that the localization

$$\text{map}_{\text{eq}} \text{HF}^*(\varphi^2) \xrightarrow{(*)} \text{HF}^*(\varphi)[[u]]$$

is defined. This map becomes an isomorphism over $\mathbb{K}[[u]]$.

Can $\dim \text{HF}^*(\varphi^2) \cong \dim \text{HF}^*(\varphi)$

Applications To isotopy classification of symplectic diffeomorphisms.

Remark The cokernel of $(*)$ is a potentially interesting object

Thm (S) The equivariant product

$$H^*(3/2; \text{CF}^*(\varphi) \otimes \text{CF}^*(\varphi)) \rightarrow \text{HF}_{\text{eq}}^*(\varphi^2)$$

becomes an isomorphism over $\mathbb{K}[[u]]$

$\widehat{\text{HF}}_{\text{eq}}^*(\varphi^2) \cong \text{HF}^*(\varphi)[[u]]$, removes the homotopical triviality condition

Sketch of proof uses action filtration spectral sequence; the chain map

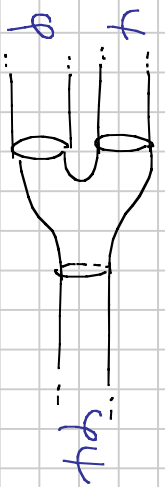
$$(\text{CF}^*(\varphi) \otimes \text{CF}^*(\varphi))[[u]] \rightarrow \text{CF}^*(\varphi^2)[[u]]$$

$$x \otimes x \mapsto u^{k(x)} x + \text{higher action terms}$$

where $k(x) = \text{Krein index (local invariant)}$.

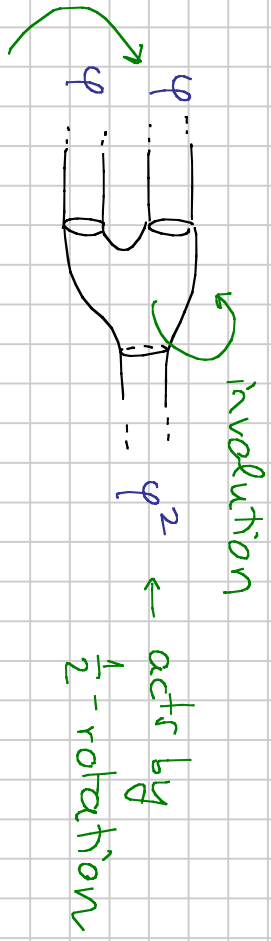
Topological quantum field theory (TQFT) is a formalism for operations on Fiber cohomology groups.

Product:



$$HF^*(\phi) \otimes HF^*(\psi) \longrightarrow HF^*(\phi\psi)$$

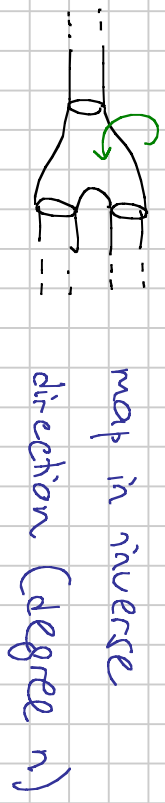
Version with symmetry:



acts by exchanging the ends

$$H^*(\mathbb{Z}/2; CF^*(\phi) \otimes \mathbb{Z}) \longrightarrow HF_{eq}^*(\phi^2)$$

The proof that we should have, modelled on the "rend proof" of the classical localization theorem:



Composition



Two points identified (also a cap product)

"cap product" with the equivariant diagonal class



Noncommutative geometry In certain situations, fixed point Floer cohomology can be interpreted (via the Fukaya category) as Hochschild (co)homology.

Geometry - algebra dictionary:

manifold M	dg algebra A/K (smooth and proper)
$\varphi: M \rightarrow M$	A -bimodule \mathcal{P}
φ^2	$\mathcal{P} \otimes_A \mathcal{P}$ (derived tensor product)
$HF^*(\varphi)$	$HH_*^*(A, \mathcal{P})$

This works well formally, for instance $HH_*^*(A, \mathcal{P} \otimes_A \mathcal{P})$ has a \mathbb{Z}_2 -action.

Conjecture For all such (A, \mathcal{P}) ,

$$\dim HH_*^*(A, \mathcal{P} \otimes_A \mathcal{P}) \stackrel{\mathbb{Z}_2}{\geq} \dim HH_*^*(A, \mathcal{P})$$

Treumann + Lipshitz (2011):

There is a spectral sequence

$$HH_*^*(A, \mathcal{P}) \langle \ell \rangle \Rightarrow \hat{H}^*(\mathbb{Z}_2; CC_*^*(A, \mathcal{P} \otimes_A \mathcal{P}))$$

Hochschild chain complex \rightarrow

whose degeneration implies the conjecture. This roughly-speaking corresponds to our previous action action filtration.

More precisely, one would want to have a product structure

$$\begin{array}{c} H^*(\mathbb{Z}/2; CC_*(A, \mathcal{P}) \otimes CC_*(A, \mathcal{P})) \\ \downarrow \\ H^*(\mathbb{Z}/2; CC_*(A, \mathcal{P} \otimes_A \mathcal{P})) \end{array} \quad (*)$$

One can't expect this in general, but if \mathcal{A} is Calabi-Yau, work of Corlette-Hopkins-Lurie should provide a TQFT.

One would try to prove the conjecture by applying a spectral sequence comparison argument to $(*)$

Slight letdown A different product structure is already in Treumann-Lipshitz

Namely, they use them to show that it is sufficient to establish degeneration in the "universal case" $\mathcal{P} = A^!$, which has

$$HH_*(A, A^!) \cong HH^*(A, A) \quad \leftarrow \text{ring}$$

From this viewpoint, considering general \mathcal{P} is not really necessary.

Remark The case $\mathcal{P} = A^!$ is a toy model for the degeneration of the Hodge-de Rham spectral sequence (Kontsevich, Kaledin).