

VOLUMES OF CONVEX POLYTOPES

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\mathcal{P} = convex polytope in \mathbb{R}^n

integer polytope: vertices $\in \mathbb{Z}^n$

$V(\mathcal{P})$ = volume of \mathcal{P}

If \mathcal{P} is an integer polytope, let

$$\tilde{V}(\mathcal{P}) = n! V(\mathcal{P}) \in \mathbb{Z},$$

the **normalized volume** of \mathcal{P} .

Why compute $V(\mathcal{P})$?

- Let

\mathcal{P} = integer polytope

Σ = the normal fan of \mathcal{P}

X_Σ = toric variety corresponding to Σ

$$\Rightarrow \deg(X_\Sigma) = \tilde{V}(\mathcal{P})$$

- (Gelfand, Kapranov, Zelevinsky) The number of linearly independent solutions to a generic hypergeometric system with Newton polytope \mathcal{P} is $\tilde{V}(\mathcal{P})$.

Two Refinements of Volume

Let \mathcal{P} be an integer polytope and let $r \geq 1$. Define

$$r\mathcal{P} = \{rv : v \in \mathcal{P}\}$$

$$i(\mathcal{P}, r) = \#(r\mathcal{P} \cap \mathbb{Z}^n),$$

the **Ehrhart polynomial** of \mathcal{P} .

- $i(\mathcal{P}, r)$ is a polynomial in r
- $i(\mathcal{P}, 0) = 1$
- If $r > 0$, then

$$i(\mathcal{P}, -r) = (-1)^{\dim \mathcal{P}} \#(\text{int}(r\mathcal{P}) \cap \mathbb{Z}^n)$$

- $i(\mathcal{P}, r) = V(\mathcal{P})r^n + O(r^{n-1})$.

- Let $\dim \mathcal{P} = n$ and

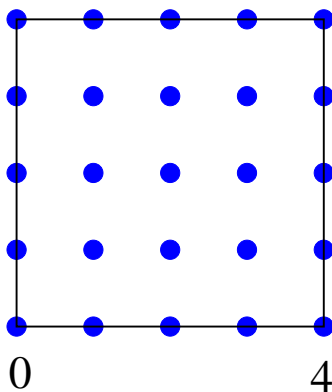
$$\sum_{r \geq 0} i(\mathcal{P}, r)x^r = \frac{h_0 + h_1x + \cdots + h_nx^n}{(1-x)^{n+1}}.$$

Then $h_j \in \mathbb{Z}$, $h_j \geq 0$, and

$$\sum_j h_j = \tilde{V}(\mathcal{P}).$$

Example. \mathcal{P} = unit square:

$$0 \leq x \leq 1, \quad 0 \leq y \leq 1$$



$$\tilde{V}(\mathcal{P}) = 2! \cdot 1 = 2$$

$$i(\mathcal{P}, r) = (r + 1)^2$$

$$i(\mathcal{P}, -r) = (r - 1)^2$$

$$\sum_{r \geq 0} i(\mathcal{P}, r) x^r = \frac{1 + x}{(1 - x)^3}$$

Let $\mathcal{P}_1, \dots, \mathcal{P}_k$ be convex polytopes (or any convex bodies) in \mathbb{R}^n . Let

$$t_1, \dots, t_k \in \mathbb{R}_{\geq 0}.$$

Define the **Minkowski sum**

$$t_1\mathcal{P}_1 + \dots + t_k\mathcal{P}_k = \{t_1v_1 + \dots + t_kv_k : v_i \in \mathcal{P}_i\}.$$

Theorem (Minkowski) *There exist*
 $V(\mathcal{P}_1^{a_1}, \dots, \mathcal{P}_k^{a_k}) \geq 0$ (**mixed volumes**)
such that

$$\begin{aligned} V(t_1\mathcal{P}_1 + \dots + t_k\mathcal{P}_k) = \\ \sum_{a_1 + \dots + a_k = n} \binom{n}{a_1, \dots, a_k} \\ \cdot V(\mathcal{P}_1^{a_1}, \dots, \mathcal{P}_k^{a_k}) t_1^{a_1} \dots t_k^{a_k}. \end{aligned}$$

Write

$$V(s\mathcal{P}+t\mathcal{Q}) = \sum_{j=0}^n \binom{n}{j} V_j(\mathcal{P}, \mathcal{Q}) s^{n-j} t^j,$$

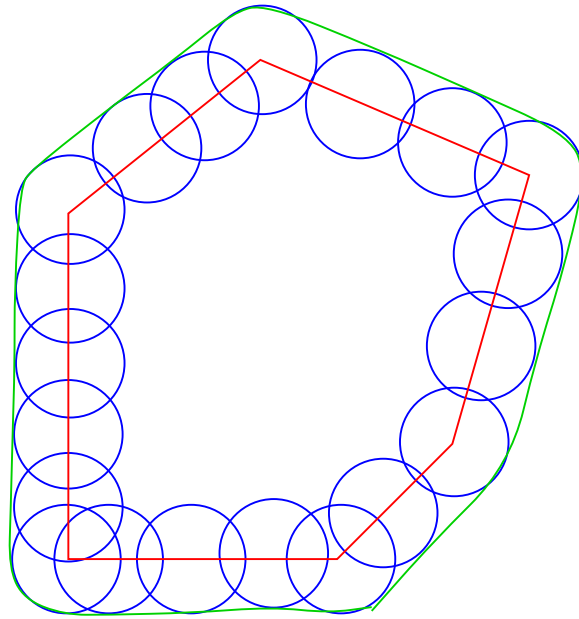
so

$$V_0(\mathcal{P}, \mathcal{Q}) = V(\mathcal{P}), \quad V_n(\mathcal{P}, \mathcal{Q}) = V(\mathcal{Q}).$$

Minkowski proved $V_1^n \geq V_0^{n-1} V_n$ and conjectured $V_i^2 \geq V_{i-1} V_{i+1}$ (**Alexandrov-Fenchel inequalities**).

Let \mathbb{B}^n be the unit ball in \mathbb{R}^n . Then

$$V_1(\mathcal{P}, \mathbb{B}^n) = \frac{1}{n}(\text{surface area of } \mathcal{P}).$$



$$V_1(\mathcal{P}, \mathbb{B})^n \geq V_0(\mathcal{P}, \mathbb{B})^{n-1} V_n(\mathcal{P}, \mathbb{B})$$

$$\Rightarrow \left(\frac{\text{area}(\mathcal{P})}{n} \right)^n \geq V(\mathcal{P})^{n-1} V(\mathbb{B}^n)$$

→ **isoperimetric inequality.**

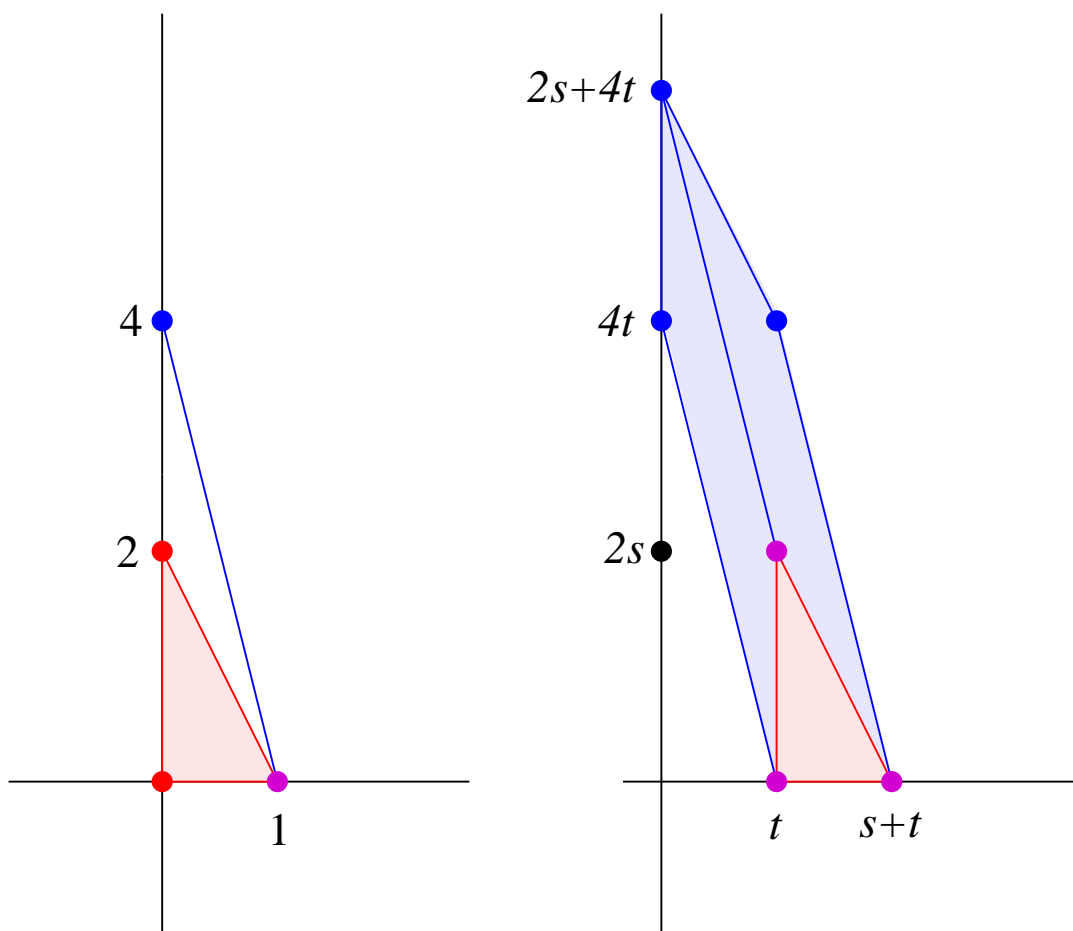
Theorem (Bernstein). *Let f_1, \dots, f_n be complex polynomials in the variables x_1, \dots, x_n . Let $\text{New}(f_j)$ be the Newton polytope (convex hull of exponent vectors) of f_j . If f_1, \dots, f_n are otherwise generic, then the number $Z(f_1, \dots, f_n)$ of solutions to*

$$f_1 = \dots = f_n = 0$$

with no $x_i = 0$ is

$$Z(f_1, \dots, f_n) = n! V(\text{New}(f_1), \dots, \text{New}(f_n)).$$

Example. $f_1(x, y) = 1 + \alpha x + \beta y^2$,
 $f_2(x, y) = x + \gamma y^4$.



$$V(s \text{ New}(f_1) + t \text{ New}(f_2)) = s^2 + \binom{2}{1} 2st$$

$$\Rightarrow V(\text{New}(f_1), \text{New}(f_2)) = 2! \cdot 2 = 4$$

A common generalization of Ehrhart polynomials and mixed volumes

(McMullen). For any convex body \mathcal{P} , let

$$\mathbf{N}(\mathcal{P}) = \#(\mathcal{P} \cap \mathbb{Z}^n).$$

Theorem. Let $\mathcal{P}_1, \dots, \mathcal{P}_k$ be integer polytopes, and let

$$t_1, \dots, t_k \in \mathbb{N} = \{0, 1, \dots\}.$$

Then

$$N(t_1\mathcal{P}_1 + \dots + t_k\mathcal{P}_k) \in \mathbb{Q}[t_1, \dots, t_k]$$

(**mixed lattice point enumerator** of $\mathcal{P}_1, \dots, \mathcal{P}_k$).

The degree n part of

$$N(t_1\mathcal{P}_1 + \cdots + t_k\mathcal{P}_k)$$

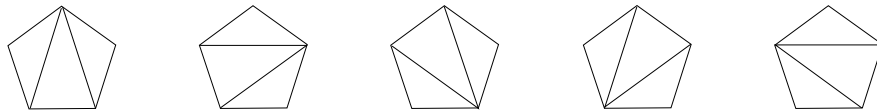
is given by

$$N(t_1\mathcal{P}_1 + \cdots + t_k\mathcal{P}_k)|_n = V(t_1\mathcal{P}_1 + \cdots + t_k\mathcal{P}_k).$$

Catalan Numbers

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

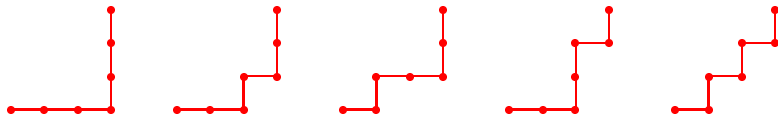
- triangulations of a convex $(n+2)$ -gon into n triangles by $n - 1$ diagonals that do not intersect in their interiors



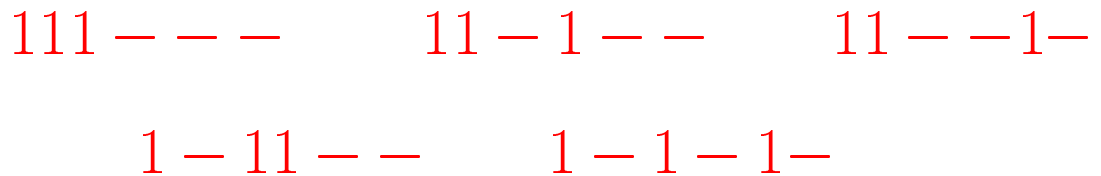
- binary trees with n vertices



- lattice paths from $(0, 0)$ to (n, n) with steps $(0, 1)$ or $(1, 0)$, never rising above the line $y = x$



- sequences of n 1's and n -1 's such that every partial sum is nonnegative (with -1 denoted simply as $-$ below)



For 62 additional combinatorial interpretations of C_n , see Exercise 6.19 of R. Stanley, *Enumerative Combinatorics*, volume 2, Cambridge University Press (just published).

Flow Polytopes and Kostant's Partition Function

(with A. Postnikov)

Let \mathcal{B}_m denote the **Birkhoff polytope** of all $m \times m$ doubly stochastic matrices (a_{ij}) , i.e.,

$$\begin{aligned} a_{ij} &\geq 0 \\ \sum_i a_{ij} &= 1 \\ \sum_j a_{ij} &= 1. \end{aligned}$$

Open: $V(\mathcal{B}_m) = ???$ (as a polytope of dimension $(m - 1)^2$).

Chan and Robbins (1998) defined the **Chan-Robbins polytope** CR_m by

$$\text{CR}_m = \{(a_{ij}) \in \mathcal{B}_m : a_{ij} = 0 \text{ if } j > i+1\}$$

(a face of \mathcal{B}_m).

$$\begin{array}{cccc} * & * & 0 & 0 \\ * & * & * & 0 \\ * & * & * & * \\ * & * & * & * \end{array}$$

$$\dim \text{CR}_m = \binom{m}{2}$$

Chan and Robbins conjectured that

$$\tilde{V}(\text{CR}_m) = C_1 C_2 \cdots C_{m-2}.$$

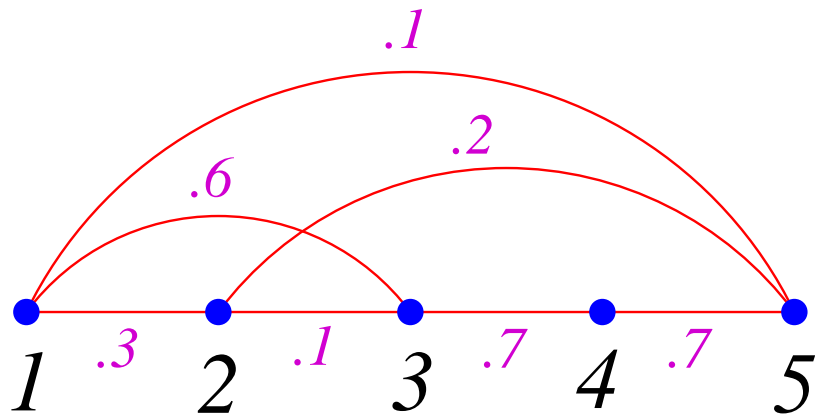
Flow polytopes. Let G a directed graph with vertices $1, \dots, m + 1$ and edge set E such that if $i \rightarrow j$ is an edge, then $i < j$. Call G a **flow graph**. Define the **flow polytope** \mathcal{F}_G to be the set of all $f \in \mathbb{R}_{\geq 0}^E$ satisfying

$$\sum_{(1,j) \in E} f(1, j) = 1$$

$$\sum_{(j,m+1) \in E} f(j, m + 1) = 1$$

$$\sum_{i: (i,j) \in E} f(i, j) = \sum_{k: (j,k) \in E} f(j, k),$$

for $2 \leq j \leq m$.



total flow out of 1 and into $m + 1$ is 1
 flow into an internal vertex = flow out

Fact: If

$$E = \{(i, j) : 1 \leq i < j \leq m + 1\},$$

then \mathcal{F}_G is “unimodularly equivalent” to CR_m (so same volume and Ehrhart polynomial). Call G the **complete** flow graph on $m + 1$ vertices.

Kostant's partition function for
 A_{n-1} . Let

\mathbf{e}_i = i th unit coordinate vector in \mathbb{R}^n .

Write $e_{ij} = e_i - e_j$. Let

$$\mathbf{A}_{n-1}^+ = \{e_{ij} : 1 \leq i < j \leq n\}.$$

$$\begin{aligned}
v &\in \mathbb{N}A_{n-1}^+ \\
&= \left\{ \sum_{1 \leq i < j \leq n} a_{ij} e_{ij} : a_{ij} \in \mathbb{N} \right\}.
\end{aligned}$$

$$\mathbf{K}(\mathbf{v}) = \# \left\{ (a_{ij})_{1 \leq i < j \leq n} : v = \sum a_{ij} e_{ij} \right\}.$$

If $v = (v_1, \dots, v_n)$ and $x^v = x_1^{v_1} \cdots x_n^{v_n}$,
then

$$K(v) = \text{coef. of } x^v \text{ in } \frac{1}{\prod_{1 \leq i < j \leq n} (1 - x_i/x_j)}.$$

Example. $K(2, -1, 0, -1) = 4$, since

$$\begin{aligned}(2, -1, 0, -1) &= 2e_{12} + e_{23} + e_{34} \\ &= 2e_{12} + e_{24} \\ &= e_{12} + e_{13} + e_{34} \\ &= e_{12} + e_{14}.\end{aligned}$$

Gelfand: Every subject has one “transcendental aspect.” For the representation theory of semisimple Lie algebras, it is Kostant’s partition function.

More generally, if $S \subseteq A_{n-1}^+$, then define the **restricted Kostant's partition function** by

$$\mathbf{K}_S(\mathbf{v}) = \# \left\{ (a_{ij})_{e_{ij} \in S} : \right. \\ \left. v = \sum_{e_{ij} \in S} a_{ij} e_{ij} \right\}.$$

Let G be a flow graph on the vertex set $1, \dots, m + 1$ with edge set E . Let

\mathbf{G}_i = restriction of G to vertices $i, \dots, m + 1$.

Let $t_i \geq 0$ and

$$\mathcal{F}_G(\mathbf{t}_1, \dots, \mathbf{t}_{m-1}) = t_1 \mathcal{F}_{G_1} + \dots + t_{m-1} \mathcal{F}_{G_{m-1}}.$$

Note. $\mathcal{F}_G(t_1, \dots, t_{m-1})$ is the polytope of all $f \in \mathbb{R}_{\geq 0}^E$ satisfying

$$\sum_{k: (j,k) \in E} f(j,k) - \sum_{i: (i,j) \in E} f(i,j) = t_j,$$

for $1 \leq j \leq m - 1$. In other words, there is an **excess flow** of t_j out of vertex j .

Let $S = S_G = \{e_{ij} : (i, j) \text{ is an edge of } G\}$.

Theorem. *If each $t_j \in \mathbb{N}$ then*

$$\begin{aligned} & N(\mathcal{F}_G(t_1, \dots, t_{m-1})) \\ &= K_{S_G}(t_1 + \dots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1). \end{aligned}$$

Note: $K_{S_G}(t_1 + \dots + t_m, -t_m, \dots, -t_1) = K_S(t_1 + \dots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1)$ if $e_{12} \in S_G$. (Otherwise $K_{S_G}(t_1 + \dots + t_m, -t_m, \dots, -t_1) = 0$ unless $t_m = 0$.)

Corollary. *For $t_i \in \mathbb{N}$ we have*

$$\begin{aligned} & K_S(t_1 + \dots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1) \\ & \in \mathbb{Q}[t_1, \dots, t_{m-1}]. \end{aligned}$$

Example: $G =$ complete flow graph on $\{1, \dots, m + 1\}$, so $\mathcal{F}_G \cong \text{CR}_m$ and

$$\mathcal{F}_{G_i} \cong \text{CR}_{m-i+1}.$$

Then

$$N(\mathcal{F}_G(t_1, \dots, t_{m-1})) = K(t_1 + \dots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1).$$

E.g.,

$$K(a+b, 0, -b, -a) = \frac{1}{6}(a+1)(a+2)(a+3b+3)$$

$$K(a + b + c, 0, -c, -b, -a) = \frac{1}{360}(a + 1)(a + 2)(a + 3)(a + b + 3c + 3) \cdot (a^2 + 5ab + 10b^2 + 9a + 30b + 20).$$

Theorem.

$K(t_1 + \cdots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1)$
is divisible by $(t_1 + 1) \cdots (t_1 + m - 1)$.

Conjecture.

$K(t_1 + \cdots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1)$
is divisible by

$$t_1 + t_2 + \cdots + t_{m-2} + 3t_{m-1} + 3.$$

More strongly,

$$3K(t_1 + \cdots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1) =$$

$$(t_1 + \cdots + t_{m-2} + 3t_{m-1} + 3)$$

$$\cdot K_{\text{no e23}}(t_1 + \cdots + t_{m-2}, 0, 0, -t_{m-2}, \dots, -t_1).$$

Problem (not carefully looked at).
Are the coefficients of the polynomial
 $K_S(t_1 + \cdots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1)$
nonnegative?

Recall: Let $q = \#E(G)$, so

$$\mathcal{F}_G(t_1, \dots, t_{m-1}) \subset \mathbb{R}^q.$$

Then

$$K_{S_G}(t_1 + \dots + t_{m-1}, 0, -t_{m-1}, \dots, -t_1) \Big|_q = \\ V(t_1 \mathcal{F}_{G_1} + \dots + t_{m-1} \mathcal{F}_{G_{m-1}}).$$

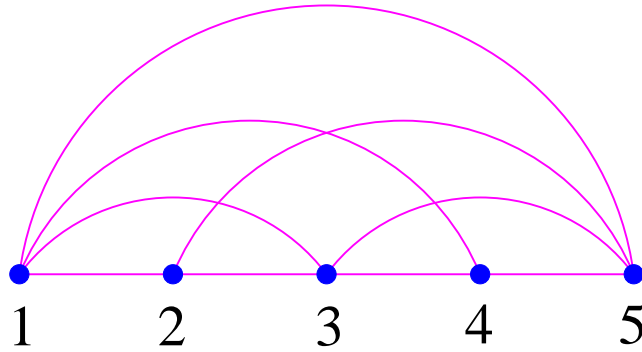
Theorem. Let $a_1 + \dots + a_{m-1} = q$,
 $a_i \geq 0$. Let

$$\alpha_i = \text{outdeg}(i) - 1.$$

Then

$$\tilde{V}(\mathcal{F}_{G_1}^{a_1}, \dots, \mathcal{F}_{G_{m-1}}^{a_{m-1}}) \\ = K_{S_G}(a_1 - \alpha_1, \dots, a_{m-1} - \alpha_{m-1}).$$

Example.



$$(\alpha_1, \alpha_2, \alpha_3) = (3, 1, 1)$$

$$S = \{e_{12}, e_{13}, e_{14}, e_{23}, e_{34}\}$$

$$V(s\mathcal{F}_{G_1} + t\mathcal{F}_{G_2} + u\mathcal{F}_{G_3}) = \\ \mathbf{1} \frac{s^3 t^2}{3! 2!} + \mathbf{1} \frac{s^3 t u}{3! 1! 1!} + \mathbf{2} \frac{s^4 t}{4! 1!} + \mathbf{1} \frac{s^4 u}{4! 1!} + \mathbf{2} \frac{s^5}{5!}.$$

$$K(0, 1, -1) = \mathbf{1}$$

$$K(0, 0, 0) = \mathbf{1}$$

$$K(1, 0, -1) = \mathbf{2}$$

$$K(1, -1, 0) = \mathbf{1}$$

$$K(2, -1, -1) = \mathbf{2}$$

Corollary.

$$\tilde{V}(\mathcal{F}_G) = K_{S_G}(q-m+1-\alpha_1, -\alpha_2, \dots, -\alpha_{m-2})$$

For the complete flow graph G with $m + 1$ vertices,

$$\begin{aligned} \tilde{V}(\mathcal{F}_G) &= \tilde{V}(\text{CR}_m) = \\ K\left(\binom{m-1}{2}, -(m-2), -(m-3), \dots, -1\right). \end{aligned}$$

E.g.,

$$\begin{aligned} K(6, -3, -2, -1) &= C_1 C_2 C_3 \\ &= 1 \cdot 2 \cdot 5 \\ &= 10. \end{aligned}$$

Corollary. *Let CT denote the constant term of a Laurent series. Then*

$$\begin{aligned} \tilde{V}(\text{CR}_{n+2}) = \\ CT \prod_{i=1}^n (1 - x_i)^{-2} \prod_{1 \leq i < j \leq n} (x_j - x_i)^{-1}. \end{aligned}$$

Theorem (Morris).

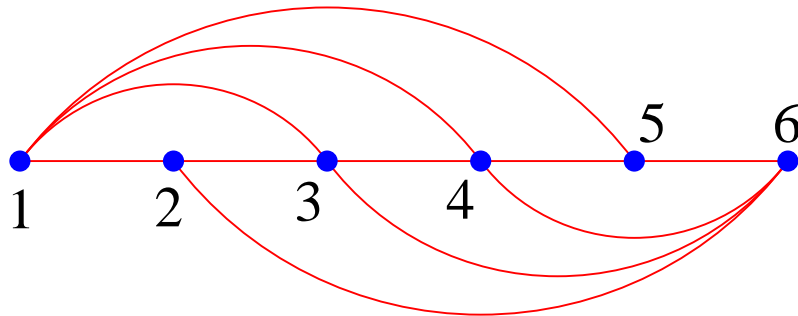
$$\begin{aligned} CT \prod_{i=1}^n (1 - x_i)^{-a} \prod_{i=1}^n x_i^{-b} \prod_{1 \leq i < j \leq n} (x_j - x_i)^{-2c} \\ = \frac{1}{n!} \prod_{j=0}^{n-1} \frac{\Gamma(a + b + (n - 1 + j)c)\Gamma(c)}{\Gamma(a + jc)\Gamma(c + jc)\Gamma(b + jc + 1)}. \end{aligned}$$

Corollary (Zeilberger) $\tilde{V}(\text{CR}_n) = C_1 \cdots C_{n-2}$.

Note: Let $0 \leq a \leq b$. \exists simple product formula for

$$K \left(\binom{b+1}{2} - \binom{a}{2}, -b, -b+1, \dots, -a \right).$$

One Further Flow Graph

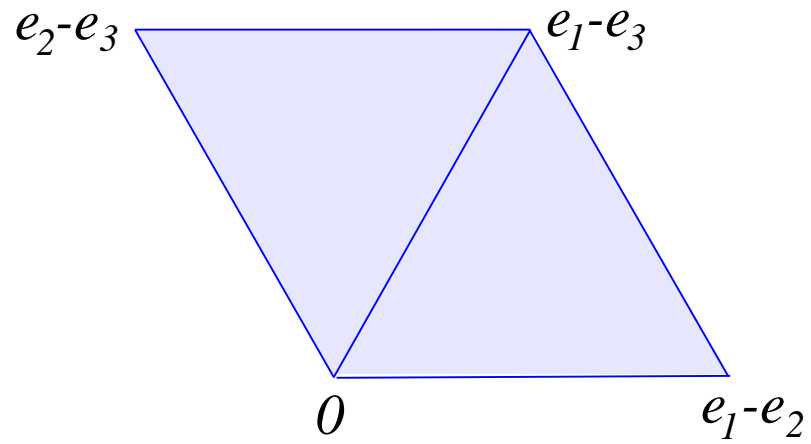


$$\begin{aligned} E(G) = & \{(i, i + 1) : 1 \leq i \leq m\} \\ & \cup \{(1, i) : 2 \leq i \leq m\} \\ & \cup \{(i, m + 1) : 2 \leq i \leq m\}. \end{aligned}$$

Theorem. $\tilde{V}(\mathcal{F}_G) = C_{m-2}$.

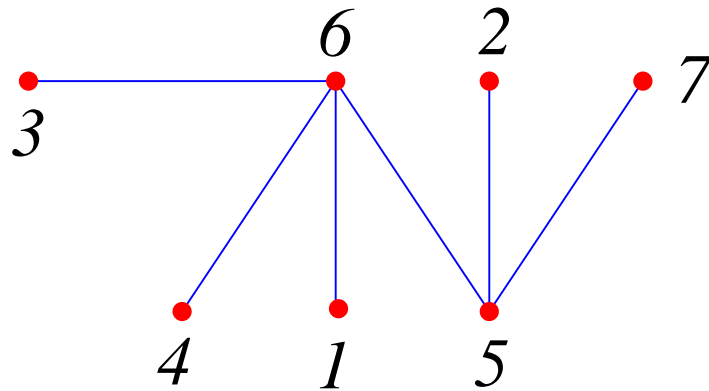
The Catalanotope

$$\mathcal{C}_n = \text{conv}(A_n^+ \cup \{0\}) \subset \mathbb{R}^{n+1}.$$



Let T be a tree with vertex set $\{1, \dots, n + 1\}$ and edge set E . Define the simplex

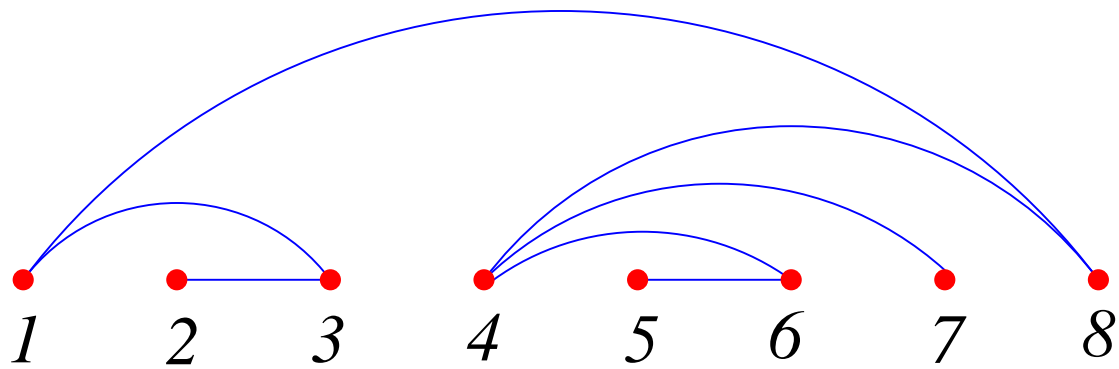
$$\sigma_T = \text{conv} \left(\{e_{ij} : ij \in E, i < j\} \cup \{0\} \right).$$



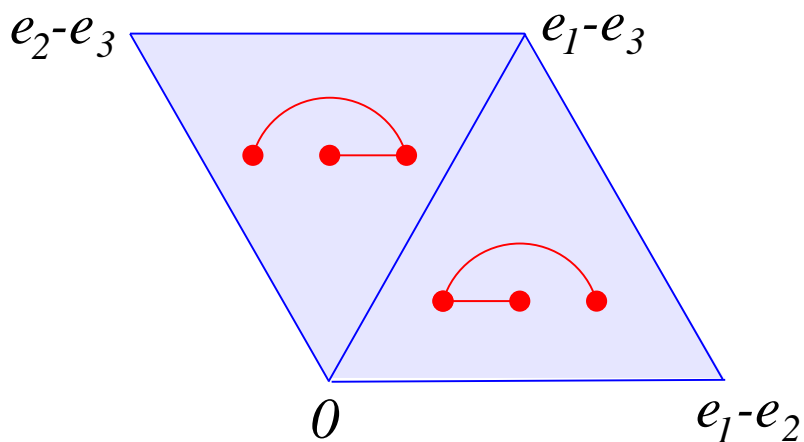
$$\sigma_T = \text{conv}\{e_{16}, e_{25}, e_{36}, e_{46}, e_{56}, e_{57}, 0\}$$

T is **alternating** if either every neighbor of vertex i is less than i or is greater than i .

T is **noncrossing** if there are not edges ik and jl where $i < j < k < l$.

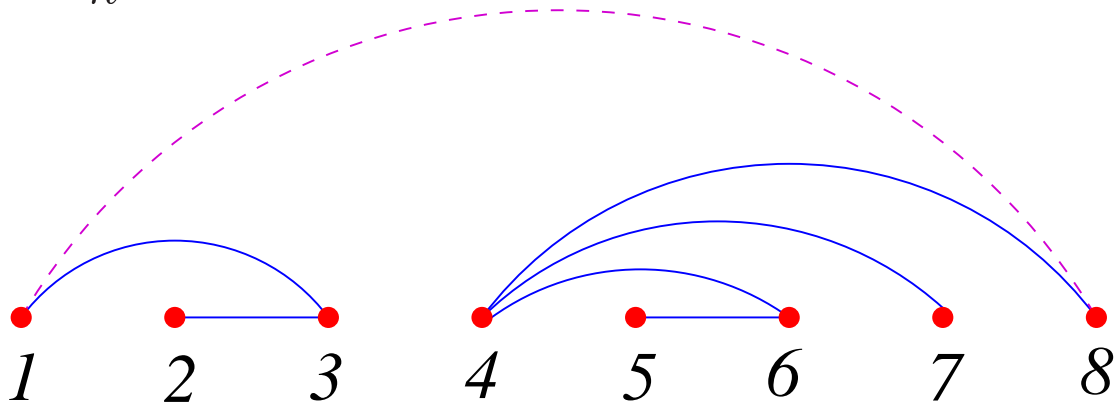


Theorem (A. Postnikov). *The simplices σ_T , where T ranges over all noncrossing alternating trees with vertex set $\{1, \dots, n+1\}$, are the maximal faces of a triangulation of \mathcal{C}_n .*



Easy: $\tilde{V}(\sigma_T) = 1$.

Lemma. *The number of noncrossing alternating trees with vertex set $\{1, \dots, n + 1\}$ is the Catalan number C_n .*



Corollary. $\tilde{V}(\mathcal{C}_n) = C_n$.

Theorem.

$$\sum_{r \geq 0} i(\mathcal{C}_n, r) x^r = \frac{\sum_{j=0}^{n-1} \frac{1}{n} \binom{n}{j} \binom{n}{j+1} x^j}{(1-x)^{n+1}}$$

Here $\frac{1}{n} \binom{n}{j} \binom{n}{j+1}$ is a **Narayana number**.

Example (an order polytope). Let \mathcal{O}_{mn} be the set of all points

$$(a_{ij})_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}} \subset \mathbb{R}^{m \times n}$$

satisfying

$$0 \leq a_{ij} \leq 1$$

$$a_{ij} \geq a_{i-1,j} \quad (1)$$

$$a_{ij} \geq a_{i,j-1}. \quad (2)$$

Vertices are the $(0, 1)$ -matrices in \mathcal{O}_{mn} .

Note: $i(\mathcal{O}_{mn}, r)$ is the number of matrices $(a_{ij})_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}}$ satisfying (1), (2), and $a_{ij} \in \{0, 1, \dots, r\}$ (**plane partition** with $\leq m$ rows, $\leq n$ columns, and largest part $\leq r$).

0 0 1 2
 1 3 3 3
 3 3 4 5
 5 7 7 9

\mathcal{O}_{mn} has a triangulation whose facets (maximal faces) σ_T are indexed by standard Young tableaux T of shape (n, \dots, n) (m n 's).

Example. $T = \begin{array}{ccc} 1 & 2 & 4 \\ 3 & 5 & 6 \end{array}$

$$\sigma_T : 0 \leq a_{11} \leq a_{12} \leq a_{21} \leq a_{13} \leq a_{22} \leq a_{23}$$

Each σ_T is **primitive**, i.e.,

$$\tilde{V}(\sigma_T) = 1.$$

Corollary. *We have*

$$\begin{aligned}\tilde{V}(\mathcal{O}_{mn}) &= \text{number of SYT of shape } (n, \dots, n) \\ &= \frac{n!}{\prod_{i=1}^m \prod_{j=1}^n (i + j - 1)}\end{aligned}$$

Theorem (MacMahon). *We have*

$$i(\mathcal{O}_{mn}, r) = \prod_{i=1}^m \prod_{j=1}^n \frac{r + i + j - 1}{i + j - 1}.$$

Special case: $m = 2$. Then

$$\tilde{V}(\mathcal{O}_{2n}) = C_n = \frac{1}{n+1} \binom{2n}{n},$$

the n th Catalan number.

$$\sum_{r \geq 0} i(\mathcal{O}_{2n}, r) x^r = \frac{\sum_{j=0}^{n-1} \frac{1}{n} \binom{n}{j} \binom{n}{j+1} x^j}{(1-x)^{2n+1}},$$

where $\frac{1}{n} \binom{n}{j} \binom{n}{j+1}$ is a Narayana number as above.