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# Plethysm and Kronecker Products

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# Intended audience



Talk aimed at those with a general knowledge of symmetric functions but no specialized knowledge of plethysm and Kronecker product.

# Introduction

- **plethysm** and **Kronecker product**: the two most important operations in the theory of symmetric functions that are not understood combinatorially
- Plethysm due to **D. E. Littlewood**
- **Internal product** of symmetric functions: the symmetric function operation corresponding to Kronecker product, due to **J. H. Redfield** and **D. E. Littlewood**
- We will give a survey of their history and basic properties.

# Dudley Ernest Littlewood

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- 7 September 1903 – 6 October 1979
- tutor at Trinity College: **J. E. Littlewood** (no relation)
- 1948–1970: chair of mathematics at University College of North Wales, Bangor



# Plethysm

- introduced by **D. E. Littlewood** in 1936
- name suggested by **M. L. Clark** in 1944
- after Greek **plethysmos** (*πληθυσμός*) for “multiplication”

# Polynomial representations

$V, W$ : finite-dimensional vector spaces/ $\mathbb{C}$

**polynomial representation**

$\varphi: \text{GL}(V) \rightarrow \text{GL}(W)$  (example) :

$$\varphi \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a^2 & 2ab & b^2 \\ ac & ad + bc & bd \\ c^2 & 2cd & d^2 \end{bmatrix} .$$

# Definition of plethysm

$V, W, X$ : vector spaces/ $\mathbb{C}$  of dimensions  $m, n, p$

$\varphi: GL(V) \rightarrow GL(W)$ : polynomial representation with character  $f \in \Lambda_n$ , so  $\text{tr } \varphi(A) = f(x_1, \dots, x_m)$  if  $A$  has eigenvalues  $x_1, \dots, x_m$

$\psi: GL(W) \rightarrow GL(X)$ : polynomial representation with character  $g \in \Lambda_m$



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$\Rightarrow \psi\varphi: GL(V) \rightarrow GL(X)$  is a polynomial representation. Let  $g[f]$  (or  $g \circ f$ ) denote its character, the **plethysm** of  $f$  and  $g$ .

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$\Rightarrow$  if  $f = \sum_{u \in I} u$  ( $I$  = set of monomials) then  
 $g[f] = g(u: u \in I)$ .

# Extension of definitions

Can extend definition of  $g[f]$  to **any** symmetric functions  $f, g$  using

$$f[p_n] = p_n[f] = f(x_1^n, x_2^n, \dots)$$

$$(af + bg)[h] = af[h] + bg[h], \quad a, b \in \mathbb{Q}$$

$$(fg)[h] = f[h] \cdot g[h],$$

where  $p_n = x_1^n + x_2^n + \dots$ .

# Examples

**Note.** Can let  $m, n \rightarrow \infty$  and define  $g[f]$  in **infinitely** many variables  $x_1, x_2, \dots$  (**stabilization**).

$$h_2 = \sum_{i \leq j} x_i x_j, \text{ so } f[h_2] = f(x_1^2, x_1 x_2, x_1 x_3, \dots).$$

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By RSK,  $\prod_{i \leq j} (1 - x_i x_j)^{-1} = \sum_{\lambda} s_{2\lambda}$ . Since

$\prod_i (1 - x_i)^{-1} = 1 + h_1 + h_2 + \dots$ , we get

$$h_n[h_2] = \sum_{\lambda \vdash n} s_{2\lambda},$$

i.e., the character of  $S^n(S^2V)$ .

# Schur positivity

$\varphi: \mathrm{GL}(V) \rightarrow \mathrm{GL}(W)$ : polynomial representation  
with character  $f \in \Lambda_n$

$\psi: \mathrm{GL}(W) \rightarrow \mathrm{GL}(X)$ : polynomial representation  
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**Theorem.** *If  $f, g$  are any **Schur-positive** symmetric functions, then  $g[f]$  is Schur-positive.*

No combinatorial proof known, even for  $f = h_m$ ,  
 $g = h_n$ .



# Schur-Weyl duality for plethysm

$N(\mathfrak{S}_k^m)$ : normalizer of  $\mathfrak{S}_k^m$  in  $\mathfrak{S}_{km}$ , the **wreath product**  $\mathfrak{S}_k \wr \mathfrak{S}_m$ , or order  $k!^m \cdot m!$

$\text{ch}(\psi)$ : the **Frobenius characteristic** of the class function  $\psi$  of  $\mathfrak{S}_n$ , i.e.,

$$\text{ch}(\psi) = \sum_{\lambda \vdash n} \langle \psi, \chi^\lambda \rangle s_\lambda.$$

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**Theorem (Specht)**. Special case:

$$\text{ch} \left( 1_{N(\mathfrak{S}_k^m)}^{\mathfrak{S}_{km}} \right) = h_m[h_k]$$

# Main open problem

Find a combinatorial interpretation of  $\langle s_\lambda[s_\mu], s_\nu \rangle$ , especially the case  $\langle h_m[h_n], s_\nu \rangle$ .

$$\text{E.g., } h_2[h_n] = \sum_{k=0}^{\lfloor n/2 \rfloor} s_{2(n-k), 2k}.$$

$h_3[h_n]$  known, but quickly gets more complicated.

# Plethystic inverses

Note  $p_1 = s_1 = \sum x_i$  and  $g[s_1] = s_1[g] = g$ . We say that  $f$  and  $g$  are **plethystic inverses**, denoted  $f = g^{[-1]}$ , if

$$f[g] = g[f] = s_1.$$

**Note.**  $f[g] = s_1 \Leftrightarrow g[f] = s_1$ .

# Lyndon symmetric function $L_n$

$C_n$ : cyclic subgroup of  $\mathfrak{S}_n$  generated by  $(1, 2, \dots, n)$

$\zeta$ : character of  $C_n$  defined by

$$\zeta(1, 2, \dots, n) = e^{2\pi i/n}$$

**Lyndon symmetric function:**

$$\begin{aligned} L_n &= \frac{1}{n} \sum_{d|n} \mu(d) p_d^{n/d} \\ &= \text{ch ind}_{C_n}^{\mathfrak{S}_n} e^{2\pi i/n} \end{aligned}$$

# Cadogan's theorem

$$f = e_1 - e_2 + e_3 - e_4 + \cdots$$

$$g = L_1 + L_2 + L_3 + \cdots$$

**Theorem** (Cadogan, 1971).  $g = f^{[-1]}$

# Lyndon basis

Extend  $L_n$  to a basis  $\{L_\lambda\}$  for the ring  $\Lambda$  of symmetric functions:

Let  $m, k \geq 1$ , and  $\langle k^m \rangle = (k, k, \dots, k)$  ( $m$  times).  
Define

$$\begin{aligned} L_{\langle k^m \rangle} &= h_m[L_k] \\ L_{\langle 1^{m_1}, 2^{m_2}, \dots \rangle} &= L_{\langle 1^{m_1} \rangle} L_{\langle 2^{m_2} \rangle} \cdots \end{aligned}$$

Equivalently, for fixed  $m$ ,

$$\sum_{k \geq 0} L_{\langle k^m \rangle} t^k = \exp \sum_{n \geq 1} \frac{1}{n} L_n(p_i \rightarrow p_{mi}) t^i.$$

# Cycle type

Fix  $n \geq 1$ . Let  $S \subseteq [n - 1]$ .

$F_S$  : Gessel fundamental quasisymmetric function

**Example.**  $n = 6, S = \{1, 3, 4\}$ :

$$F_S = \sum_{1 \leq i_1 < i_2 \leq i_3 < i_4 < i_5 \leq i_6} x_{i_1} \cdots x_{i_6}.$$

**Theorem** (Gessel-Reutenauer, 1993). We have

$$\sum_{\substack{w \in \mathfrak{S}_n \\ \text{type}(w) = \lambda}} F_{D(w)} = L_\lambda.$$



# An example

**Example.**  $\lambda = (2, 2)$ :

$w$	$D(w)$
2143	1,3
3412	2
4321	1,2,3

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$$L_{(2,2)} = s_{(2,2)} + s_{(1,1,1,1)} = (F_{1,3} + F_2) + F_{1,2,3}$$

# Free Lie algebras

**A**: the alphabet  $x_1, \dots, x_n$

**$\mathbb{C}\langle A \rangle$** : free associative algebra over  $\mathbb{C}$  generated by  $A$

**$\mathcal{L}[A]$** : smallest subalgebra of  $\mathbb{C}\langle A \rangle$  containing  $x_1, \dots, x_n$  and closed under the **Lie bracket**  
 $[u, v] = uv - vu$  (**free Lie algebra**)

# Lie<sub>n</sub>

**Lie<sub>n</sub>**: multilinear subspace of  $\mathbb{C}\langle A \rangle$  (degree one in each  $x_i$ )

basis:  $[x_1, [x_{w(2)}, [x_{w(3)}, [\dots] \dots]]]$ ,  $w \in \mathfrak{S}_{[2,n]}$

$\Rightarrow \dim \text{Lie}_n = (n - 1)!$

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**Note**. Can be extended to  $L_\lambda$  (decomposition of  $\mathbb{C}\langle A \rangle$ )

# Partition lattices

$\Pi_n$ : poset (lattice) of partitions of  $\{1, \dots, n\}$ ,  
ordered by refinement

$\widetilde{\Pi}_n$ :  $\Pi_n - \{\hat{0}, \hat{1}\}$

$\Delta(\Pi_n)$ : set of chains of  $\widetilde{\Pi}_n$  (a simplicial complex)

$\widetilde{H}_i(\Pi_n)$ :  $i$ th reduced homology group of  $\Delta(\Pi_n)$ ,  
say over  $\mathbb{C}$

# Homology and $\mathfrak{S}_n$ -action

**Theorem.** (a)  $\tilde{H}_i(\Pi_n) = 0$  unless  $i = n - 3$ , and  $\dim \tilde{H}_{n-3}(\Pi_n) = (n - 1)!$ .

(b) Action of  $\mathfrak{S}_n$  on  $\tilde{H}_{n-3}(\Pi_n)$  has Frobenius characteristic  $\omega L_n$ .

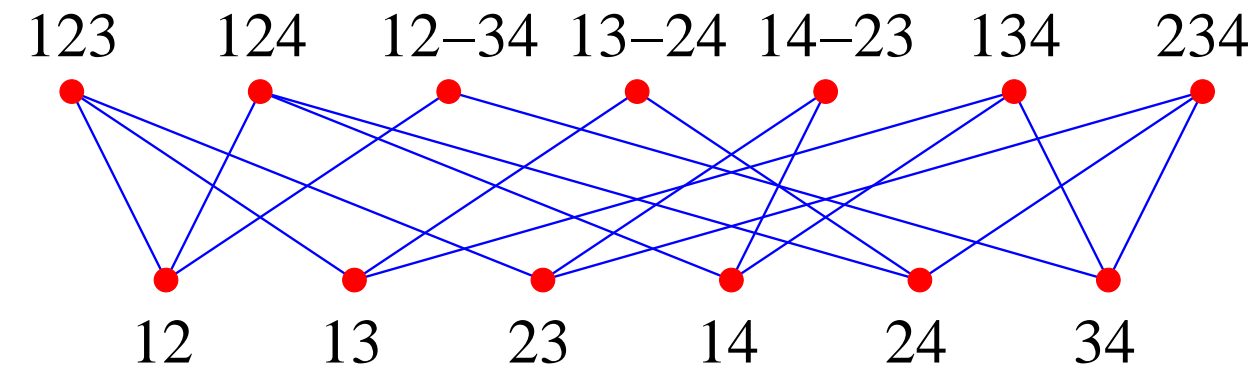


# Lower truncations of $\Pi_n$

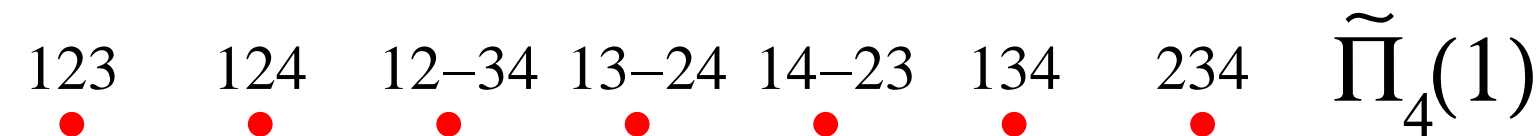
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# Lower truncations of $\Pi_n$

$\tilde{\Pi}_n(r)$ : top  $r$  levels of  $\tilde{\Pi}_n$



$$\tilde{\Pi}_4 = \tilde{\Pi}_4(2)$$



$$\tilde{\Pi}_4(1)$$



# $\mathfrak{S}_n$ -action on lower truncations

**Theorem** (Sundaram, 1994) *The Frobenius characteristic of the action of  $\mathfrak{S}_n$  on the top homology of  $\tilde{\Pi}_n(r)$  is the degree  $n$  term in the plethysm*

$$(\omega(L_{r+1} - L_r + \cdots + (-1)^r L_1)) [h_1 + \cdots + h_n].$$



# Tensor product of characters

$\chi, \psi$ : characters (or any class functions) of  $\mathfrak{S}_n$

$\chi \otimes \psi$  (or  $\chi\psi$ ): **tensor** (or **Kronecker**) **product** of  $\chi$  and  $\psi$ , i.e.,

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$f: \mathfrak{S}_n \rightarrow \text{GL}(V)$ : representation with character  $\chi$

$g: \mathfrak{S}_n \rightarrow \text{GL}(W)$ : representation with character  $\psi$

$\Rightarrow \chi \otimes \psi$  is the character of the representation

$f \otimes g: \mathfrak{S}_n \rightarrow \text{GL}(V \otimes W)$  given by

$$(f \otimes g)(w) = f(w) \otimes g(w).$$

# Kronecker coefficients

Let  $\lambda, \mu, \nu \vdash n$ .

$$\begin{aligned} g_{\lambda\mu\nu} &= \langle \chi^\lambda \chi^\mu, \chi^\nu \rangle \\ &= \frac{1}{n!} \sum_{w \in \mathfrak{S}_n} \chi^\lambda(w) \chi^\mu(w) \chi^\nu(w) \end{aligned}$$

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## Consequences:

- $g_{\lambda\mu\nu} \in \mathbb{N} = \{0, 1, \dots\}$
- $g_{\lambda\mu\nu}$  is symmetric in  $\lambda, \mu, \nu$ .



# Internal product

Recall for  $\lambda, \mu, \nu \vdash n$ ,

$$g_{\lambda\mu\nu} = \langle \chi^\lambda \chi^\mu, \chi^\nu \rangle.$$

Define the *internal product*  $s_\lambda * s_\mu$  by

$$\langle s_\lambda * s_\mu, s_\nu \rangle = g_{\lambda\mu\nu}.$$

Extend to any symmetric functions by bilinearity.

# Tidbits

$$(a) s_\lambda * s_n = s_\lambda, \quad s_\lambda * s_{\langle 1^n \rangle} = \omega s_\lambda$$

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(b) **Conjecture** (**Saxl**, 2012). Let  $\delta_n = (n - 1, n - 2, \dots, 1)$  and  $\lambda \vdash \binom{n}{2}$ . Then  $\langle s_{\delta_n} * s_{\delta_n}, s_\lambda \rangle > 0$ .

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(d)  $\sum_{\lambda, \mu, \nu \vdash n} g_{\lambda\mu\nu}^2 = \sum_{\mu \vdash n} z_\mu$ . Hence

$$\max_{\lambda, \mu, \nu \vdash n} \log g_{\lambda\mu\nu} \sim \frac{n}{2} \log n.$$

What  $\lambda, \mu, \nu$  achieve the maximum?

# Generating function

**Theorem (Schur).**

$$\prod_{i,j,k} (1 - x_i y_j z_k)^{-1} = \sum_{\lambda, \mu, \nu} g_{\lambda\mu\nu} s_{\lambda}(x) s_{\mu}(y) s_{\nu}(z).$$

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Equivalent formulation:

Write  $xy$  for the alphabet  $\{x_i y_j\}_{i,j \geq 1}$ . Thus  $f(xy) = f[s_1(x)s_1(y)]$ . Then

$$\langle f, g * h \rangle = \langle f(xy), g(x)h(y) \rangle.$$

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What if we replace  $s_1$  by  $s_n$ , for instance?

# Vanishing

Vanishing of  $g_{\lambda\mu\nu}$  not well-understood. Sample result:

**Theorem** (**Dvir**, 1993). Fix  $\mu, \nu \vdash n$ . Then

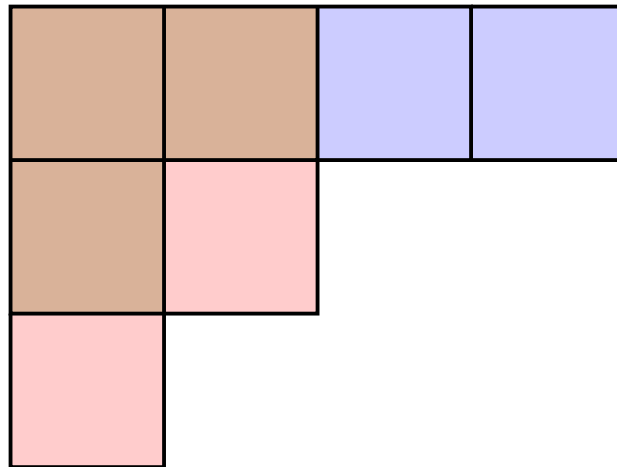
$$\max\{\ell(\lambda) : g_{\lambda\mu\nu} \neq 0\} = |\mu \cap \nu'|$$

(intersection of diagrams).



# Example of Dvir's theorem

$s_{41} * s_{32} = s_{41} + s_{32} + s_{\mathbf{311}} + s_{\mathbf{221}}$ . Intersection of  $(4, 1)$  and  $(3, 2)' = (2, 2, 1)$ :



# Combinatorial interpretation

**A central open problem:** find a combinatorial interpretation of  $g_{\lambda\mu\nu}$ .

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**Example.** Let  $\lambda \vdash n$ . Then  $\langle s_{j,1^{n-j}} * s_{k,1^{n-k}}, s_\lambda \rangle$  is the number of  $(u, v, w) \in \mathfrak{S}_n^3$  such that  $uvw = 1$ ,  $D(u) = \{j\}$ ,  $D(v) = \{k\}$ , and if  $w$  is inserted into  $\lambda$  from right to left and from bottom to top, then a standard Young tableau results.

# Conjugation action

$\mathfrak{S}_n$  acts on itself by conjugation, i.e.,  
 $w \cdot u = w^{-1}uw$ . The Frobenius characteristic of  
this action is

$$K_n := \sum_{\lambda \vdash n} (s_\lambda * s_\lambda) = \sum_{\mu \vdash n} p_\mu.$$

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Combinatorial interpretation of  $\langle K_n, s_\nu \rangle$  not  
known. All known proofs that  $K_n$  is  
Schur-positive use representation theory.

# Stability

**Example.** For  $n \geq 8$ ,

$$s_{n-2,2} * s_{n-2,2} = s_n + s_{n-3,1,1,1} + 2s_{n-2,2} + s_{n-1,1} + s_{n-2,1,1} \\ + 2s_{n-3,2,1} + s_{n-4,2,2} + s_{n-3,3} + s_{n-4,3,1} + s_{n-4,4}.$$

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$$\lambda[n] := (n - |\lambda|, \lambda_1, \lambda_2, \dots)$$

**Theorem (Murnaghan, 1937).** For any partitions  $\alpha, \beta, \gamma$ , the Kronecker coefficient  $g_{\alpha[n], \beta[n], \gamma[n]}$  stabilizes.

Vast generalization proved by **Steven Sam** and **Andrew Snowden**, 2016.

# Reduced Kronecker coefficient

$\bar{g}_{\alpha\beta\gamma}$ : the stable value

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**Example.** Recall that for  $n \geq 8$ ,

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Hence  $\bar{g}_{2,2,\emptyset} = 1$ ,  $\bar{g}_{2,2,111} = 1$ ,  $\bar{g}_{2,2,2} = 2$ , etc.

$VP_{ws} = VNP?$



# Algebraic complexity

Flagship problem:  $VP_{ws} \neq VNP$ .

**Determinantal complexity** of  $f \in \mathbb{C}[x_1, \dots, x_n]$ :  
smallest  $n \in \mathbb{N}$  such that  $f$  is the determinant of  
an  $n \times n$  matrix whose entries are affine linear  
forms in the  $x_i$ .

**Theorem** (**Valiant** 1979, **Toda** 1992). TFAE:

- *Determinantal complexity of an  $n \times n$  permanent is superpolynomial in  $n$ .*
- $VP_{ws} \neq VNP$

# Mulmuley and Sohoni 2001

$\Omega_n$ : closure of the orbit of  $GL_{n^2} \cdot \det_n$  in  $\text{Sym}^n \mathbb{C}^{n^2}$ .

**padded permanent**:  $x_{11}^{n-m} \text{per}_m \in \text{Sym}^n \mathbb{C}^{n^2}$ .

**Conjecture.** For all  $c > 0$  and infinitely many  $m$ , there exists a partition  $\lambda$  (i.e., an irreducible polynomial representation of  $GL_{n^2}$ ) occurring in the coordinate ring  $\mathbb{C}[Z_{m^c, m}]$  but not in  $\mathbb{C}[\Omega_{m^c}]$ .

# Bürgisser, Ikenmeyer, and Panova

**Theorem** (**BIP** 2016) *The conjecture of Mulmuley and Sohoni is false.*

# Bürgisser, Ikenmeyer, and Panova

**Theorem** (**BIP** 2016) *The conjecture of Mulmuley and Sohoni is false.*

Proof involves Kronecker product coefficients  $g_{\lambda\mu\nu}$  in an essential way.

The last slide



# The last slide

