

## Increasing and decreasing sub-sequences

**3** 1 8 **4** 9 **6** **7** 2 5 (i.s.)

3 1 **8** **4** 9 6 7 **2** 5 (d.s.)

$$\mathbf{is}(w) = |\text{longest i.s.}| = 4$$

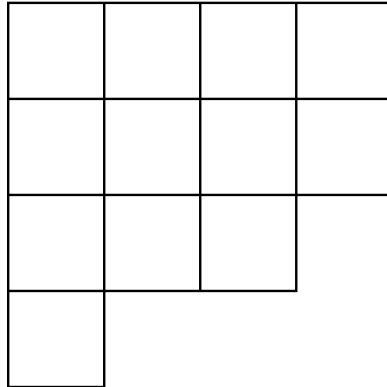
$$\mathbf{ds}(w) = |\text{longest d.s.}| = 3$$

**partition**  $\lambda \vdash n$ :  $\lambda = (\lambda_1, \lambda_2, \dots)$

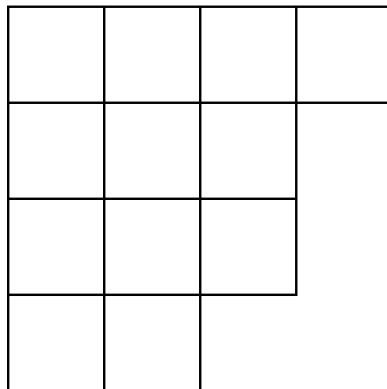
$$\lambda_1 \geq \lambda_2 \geq \dots \geq 0$$

$$\sum \lambda_i = n$$

**(Young) diagram** of  $\lambda = (4, 4, 3, 1)$ :



Young diagram of the **conjugate** partition  $\lambda' = (4, 3, 3, 2)$ :



**standard Young tableau** (SYT) of shape  $\lambda \vdash n$ , e.g.,  $\lambda = (4, 4, 3, 1)$ :

$$\begin{array}{c} < \\ \wedge \\ \begin{array}{|c|c|c|c|} \hline 1 & 2 & 7 & 10 \\ \hline 3 & 5 & 8 & 12 \\ \hline 4 & 6 & 11 & \\ \hline 9 & & & \\ \hline \end{array} \end{array}$$

$f^\lambda = \#$  of SYT of shape  $\lambda$

E.g.,  $f^{(3,2)} = 5$ :

1 2 3	1 2 4	1 2 5	1 3 4	1 3 5
4 5	3 5	3 4	2 5	2 4

$\exists$  simple formula for  $f^\lambda$  (Frame-Robinson-Thrall **hook-length formula**)

**Note.**  $f^\lambda = \dim(\text{irrep. of } \mathfrak{S}_n)$ , where  $\mathfrak{S}_n$  is the **symmetric group** of all permutations of  $1, 2, \dots, n$ .

**RSK algorithm:** a bijection

$$w \xrightarrow{\text{rsk}} (P, Q),$$

where  $w \in \mathfrak{S}_n$  and  $P, Q$  are SYT of the same shape  $\lambda \vdash n$ .

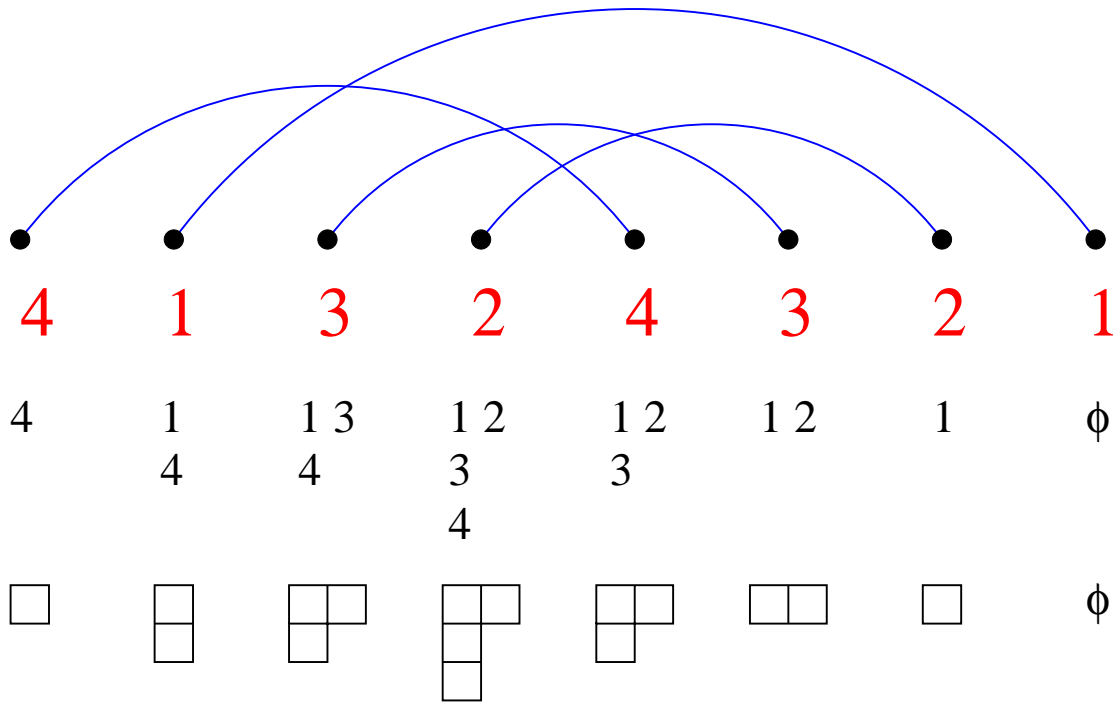
Write  $\lambda = \mathbf{sh}(w)$ , the **shape** of  $w$ .

**R** = Gilbert de Beauregard Robinson

**S** = Craige Schensted (= Ea Ea)

**K** = Donald Ervin Knuth

$w = 4132:$



$$(P, Q) = \begin{pmatrix} 1 \ 2 & 1 \ 3 \\ 3 & 2 \\ 4 & 4 \end{pmatrix}$$

**Schensted's theorem:** Let  $w \xrightarrow{\text{rsk}} (P, Q)$ , where  $\text{sh}(P) = \text{sh}(Q) = \lambda$ .  
Then

$$\text{is}(w) = \text{longest row length} = \lambda_1$$

$$\text{ds}(w) = \text{longest column length} = \lambda'_1.$$

**Corollary** (Erdős-Szekeres, Seidenberg). Let  $w \in \mathfrak{S}_{pq+1}$ . Then either  $\text{is}(w) > p$  or  $\text{ds}(w) > q$ .

**Proof.** Let  $\lambda = \text{sh}(w)$ . If  $\text{is}(w) \leq p$  and  $\text{ds}(w) \leq q$  then  $\lambda_1 \leq p$  and  $\lambda'_1 \leq q$ , so  $\sum \lambda_i \leq pq$ .  $\square$

**Corollary.** *Say  $p \leq q$ . Then*

$$\begin{aligned} \#\{w \in \mathfrak{S}_{pq} : \text{is}(w) = p, \text{ds}(w) = q\} \\ = \left(f^{(p^q)}\right)^2 \end{aligned}$$

By hook-length formula, this is

$$\left( \frac{(pq)!}{1^1 2^2 \cdots p^p (p+1)^p \cdots q^p (q+1)^{p-1} \cdots (p+q-1)^1} \right)^2.$$

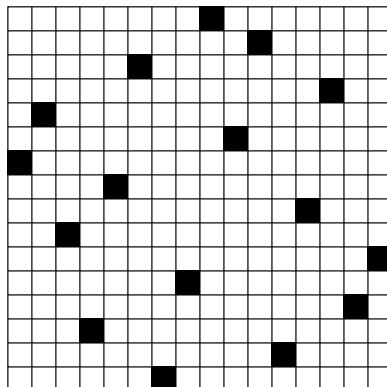
**Romik:** let

$$w \in \mathfrak{S}_{n^2}, \quad \text{is}(w) = \text{ds}(w) = n.$$

Let  $P_w$  be the permutation matrix of  $w$  with corners  $(\pm 1, \pm 1)$ . Then (informally) as  $n \rightarrow \infty$  almost surely the 1's in  $P_w$  will become dense in the region bounded by the curve

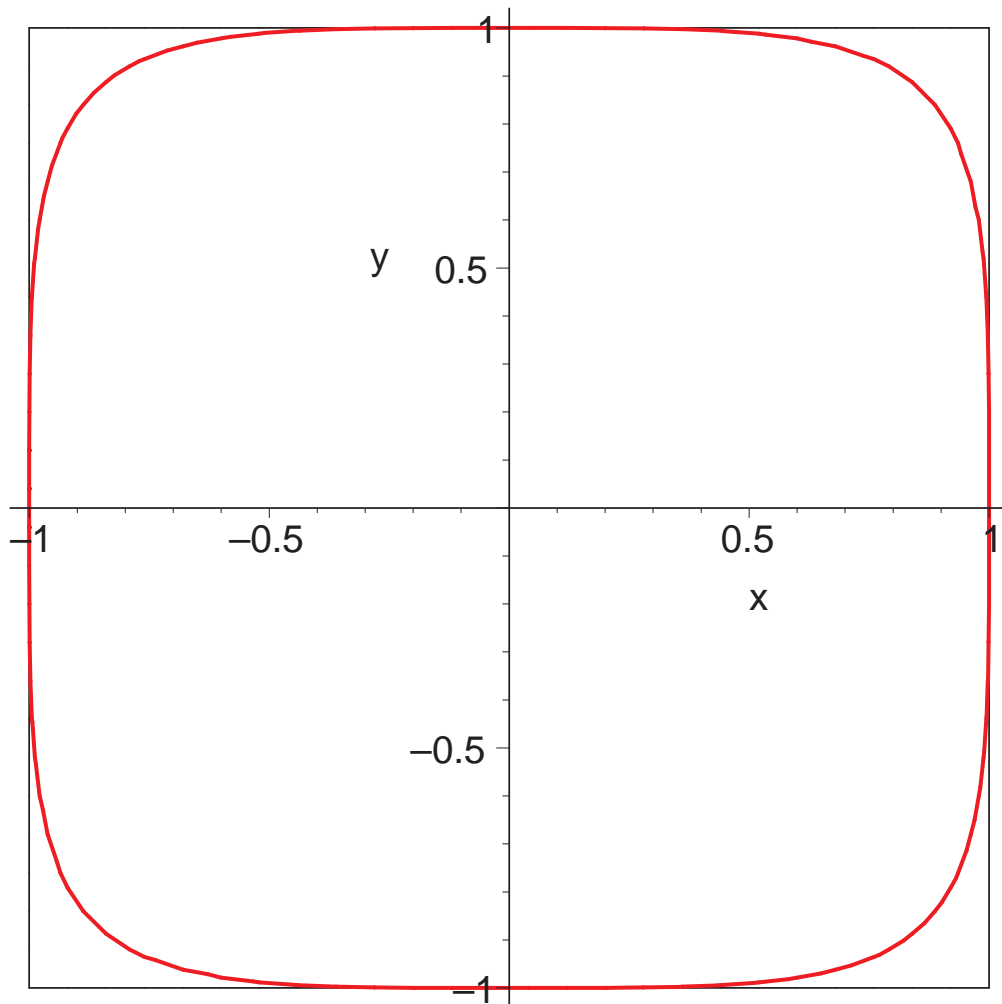
$$(x^2 - y^2)^2 + 2(x^2 + y^2) = 3,$$

and will remain isolated outside this region.



$$w = 9, 11, 6, 14, 2, 10, 1, 5, 13, 3, 16, 8, 15, 4, 12, 7$$





$$(x^2 - y^2)^2 + 2(x^2 + y^2) = 3$$

Area enclosed by curve:

$$\begin{aligned}\alpha &= 2 \int_0^1 \frac{1}{\sqrt{(1-t^2)(1-(t/3)^2)}} dt \\ &\quad - \frac{3}{2} \int_0^1 \sqrt{\frac{1-(t/3)^2}{1-t^2}} dt \\ &= 4(0.94545962 \dots)\end{aligned}$$

## Distribution of $\text{is}(w)$

$$\begin{aligned} \mathbf{E}(n) &= \text{expectation of } \text{is}(w), \quad w \in \mathfrak{S}_n \\ &= \frac{1}{n!} \sum_{\lambda \vdash n} \lambda_1 \left( f^\lambda \right)^2 \end{aligned}$$

**Ulam:** what is distribution of  $\text{is}(w)$ ?  
rate of growth of  $E(n)$ ?

**Hammersley** (1972):

$$\exists c = \lim_{n \rightarrow \infty} n^{-1/2} E(n),$$

and

$$\frac{\pi}{2} \leq c \leq e.$$

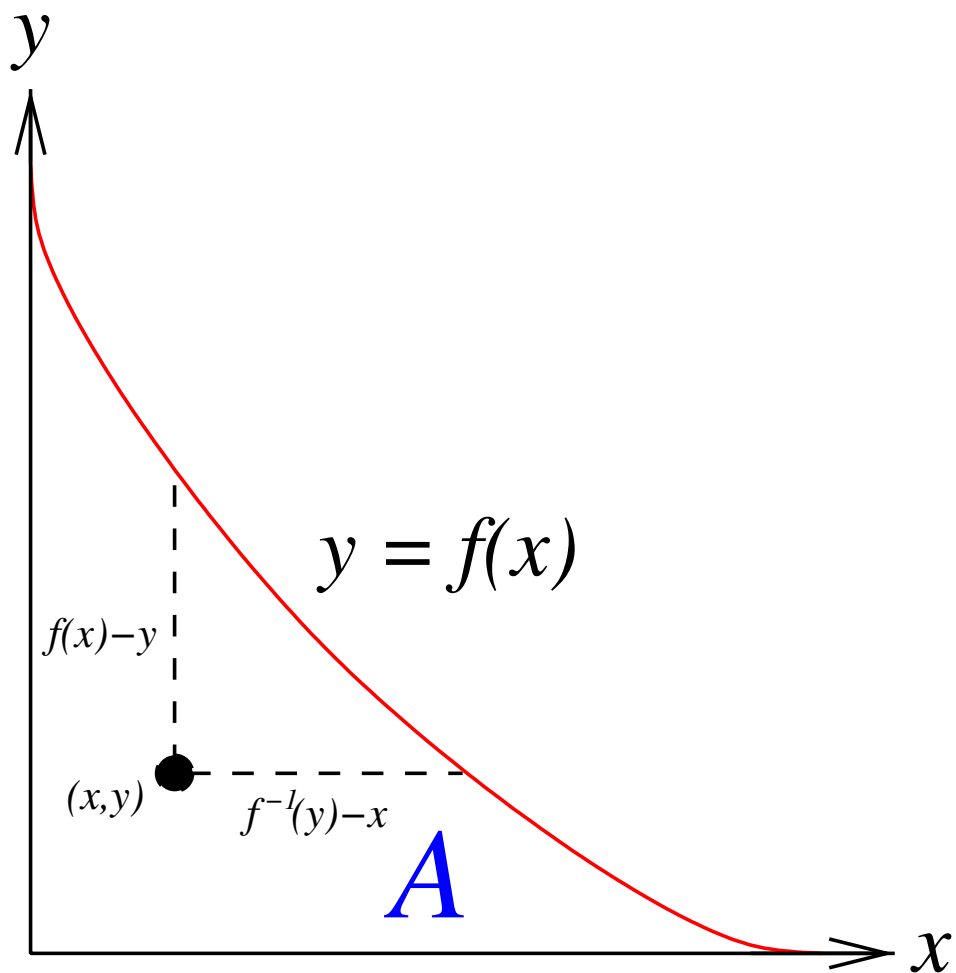
Conjectured  $c = 2$ .

**Logan-Shepp, Vershik-Kerov (1977):**  
 $c = 2$

**Idea of proof.**

$$\begin{aligned} E(n) &= \frac{1}{n!} \sum_{\lambda \vdash n} \lambda_1 \left( f^\lambda \right)^2 \\ &\approx \frac{1}{n!} \max_{\lambda \vdash n} \lambda_1 \left( f^\lambda \right)^2. \end{aligned}$$

Find “limiting shape” of  $\lambda \vdash n$  maximizing  $\lambda$  as  $n \rightarrow \infty$  using hook-length formula.



$$\min \iint_A \log(f(x) + f^{-1}(y) - x - y) dx dy,$$

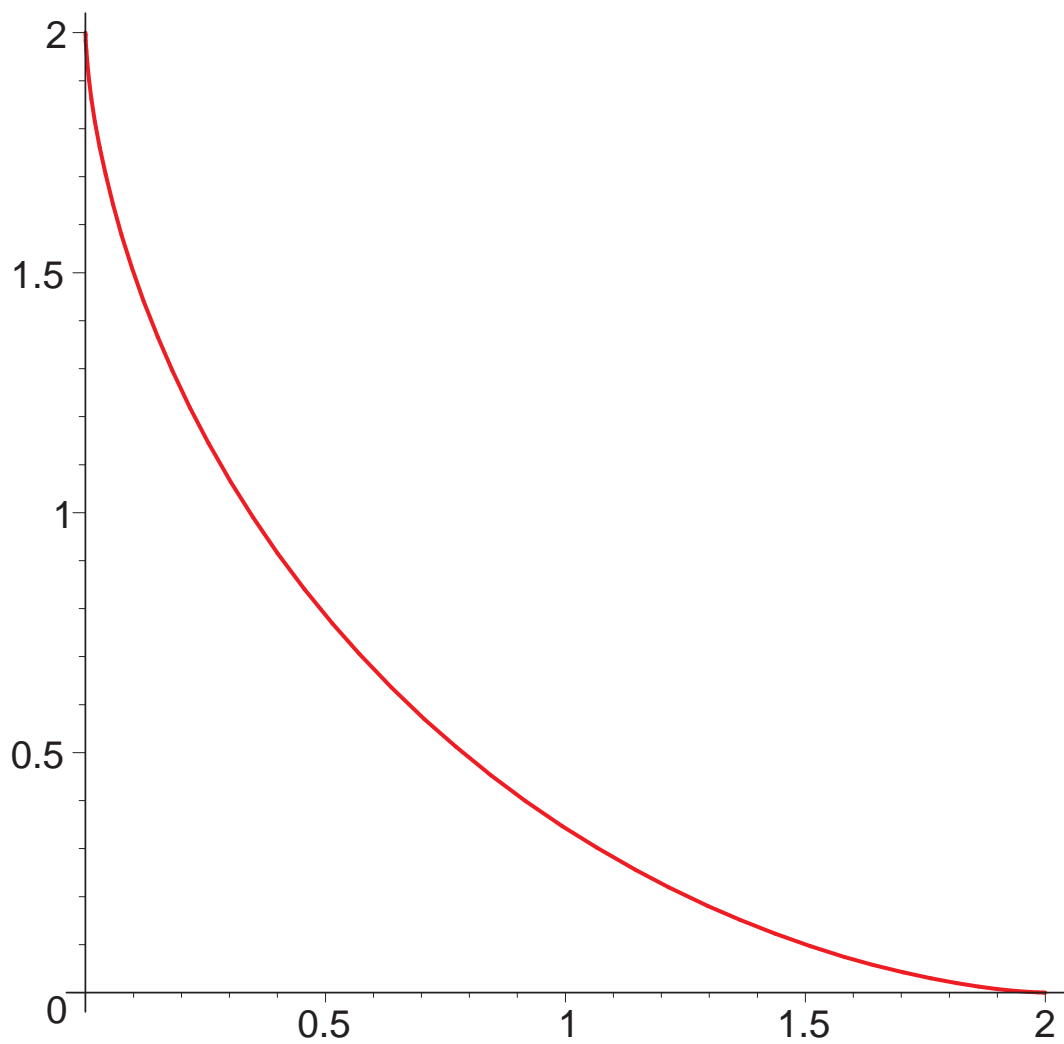
subject to

$$\iint_A dx dy = 1.$$

$$x = y + 2 \cos \theta$$

$$y = \frac{2}{\pi}(\sin \theta - \theta \cos \theta)$$

$$0 \leq \theta \leq \pi$$



$$u_k(n) := \#\{w \in \mathfrak{S}_n : \text{is}_n(w) \leq k\}.$$

**J. M. Hammersley** (1972):

$$u_2(n) = C_n = \frac{1}{n+1} \binom{2n}{n},$$

a **Catalan number**.

For  $\geq 130$  combinatorial interpretations of  $C_n$ , see

`www-math.mit.edu/~rstan/ec`

**I. Gessel** (1990):

$$\sum_{n \geq 0} u_k(n) \frac{x^{2n}}{n!^2} = \det \left[ I_{|i-j|}(2x) \right]_{i,j=1}^k,$$

where

$$I_m(2x) = \sum_{j \geq 0} \frac{x^{m+2j}}{j!(m+j)!},$$

a **hyperbolic Bessel function** of the first kind of order  $m$ .

E.g.,

$$\begin{aligned} \sum_{n \geq 0} u_2(n) \frac{x^{2n}}{n!^2} &= U_0(2x)^2 - U_1(2x)^2 \\ &= \sum_{n \geq 0} C_n \frac{x^{2n}}{n!^2}. \end{aligned}$$



**Corollary.** For fixed  $k$ ,  $u_k(n)$  is **P-recursive**, e.g.,

$$\begin{aligned} & (n+4)(n+3)^2 u_4(n) \\ = & (20n^3 + 62n^2 + 22n - 24)u_4(n-1) \\ & - 64n(n-1)^2 u_4(n-2) \end{aligned}$$

$$\begin{aligned} & (n+6)^2(n+4)^2 u_5(n) \\ = & (375 - 400n - 843n^2 - 322n^3 - 35n^4)u_5(n-1) \\ & + (259n^2 + 622n + 45)(n-1)^2 u_5(n-2) \\ & - 225(n-1)^2(n-2)^2 u_5(n-3). \end{aligned}$$

Conjectures on form of recurrence due to Bergeron, Favreau, and Krob.

## Baik-Deift-Johansson:

Define  $u(x)$  by

$$\frac{d^2}{dx^2}u(x) = 2u(x)^3 + xu(x) \quad (*),$$

with certain initial conditions.

$(*)$  is the **Painlevé II** equation (roughly, the branch points and essential singularities are independent of the initial conditions).

## Paul Painlevé

**1863**: born in Paris.

**1890**: Grand Prix des Sciences Mathématiques

**1908**: first passenger of Wilbur Wright;  
set flight duration record of one hour, 10  
minutes.

**1917, 1925**: Prime Minister of France.

**1933**: died in Paris.

## Tracy-Widom distribution:

$$F(t)$$

$$= \exp \left( - \int_t^\infty (x - t) u(x)^2 dx \right)$$

**Theorem** (Baik-Deift-Johansson) *For random (uniform)  $w \in \mathfrak{S}_n$  and all  $t \in \mathbb{R}$  we have*

$$\lim_{n \rightarrow \infty} \text{Prob} \left( \frac{\text{is}_n(w) - 2\sqrt{n}}{n^{1/6}} \leq t \right) = F(t).$$

### Corollary.

$$\begin{aligned} E(n) &= 2\sqrt{n} + \left( \int t dF(t) \right) n^{1/6} + o(n^{1/6}) \\ &= 2\sqrt{n} - (1.7711 \dots) n^{1/6} + o(n^{1/6}) \end{aligned}$$

Gessel's theorem reduces the problem to “just” analysis, viz., the **Riemann-Hilbert problem** in the theory of integrable systems, and the **method of steepest descent** to analyze the asymptotic behavior of integrable systems.

Where did the Tracy-Widom distribution  $F(t)$  come from?

---

$$F(t) = \exp \left( - \int_t^\infty (x - t) u(x)^2 dx \right)$$

$$\frac{d^2}{dx^2} u(x) = 2u(x)^3 + xu(x) \quad (*),$$

## Gaussian Unitary Ensemble (GUE):

Consider an  $n \times n$  hermitian matrix  $\mathbf{M} = (M_{ij})$  with probability distribution

$$Z_n^{-1} e^{-\text{tr}(M^2)} dM,$$

$$dM = \prod_i dM_{ii} \cdot \prod_{i < j} d(\text{Re}(M_{ij})) d(\text{Im}(M_{ij})),$$

where  $Z_n$  is a normalization constant.

**Tracy-Widom** (1994): let  $\alpha_1$  denote the largest eigenvalue of  $M$ . Then

$$\lim_{n \rightarrow \infty} \text{Prob} \left( \left( \alpha_1 - \sqrt{2n} \right) \sqrt{2n}^{1/6} \leq t \right) = F(t).$$

Is the connection between  $\text{is}(w)$  and GUE a coincidence?

Okounkov provides a connection, via the theory of **random topologies on surfaces**. Very briefly, a surface can be described in two ways:

- Gluing polygons along their edges, connected to random matrices via quantum gravity.
- Ramified covering of a sphere, which can be formulated in terms of permutations.



## Symmetry.

$$\mathfrak{I}_n = \{w \in \mathfrak{S}_n : w^2 = 1\}$$

$$\mathfrak{I}_{2n}^* = \{w \in \mathfrak{I}_n : w(i) \neq i \ \forall i\}.$$

$$\#\mathfrak{I}_{2n}^* = (2n - 1)!! = 1 \cdot 3 \cdot 5 \cdots (2n - 1)$$

**Theorem** (Baik-Rains). (a) *We have for random (uniform)  $w \in \mathfrak{I}_n$  and all  $t \in \mathbb{R}$  that*

$$\begin{aligned} \lim_{n \rightarrow \infty} \text{Prob} \left( \frac{\text{is}_n(w) - 2\sqrt{n}}{n^{1/6}} \leq t \right) \\ = F(t)^{1/2} \exp \left( \frac{1}{2} \int_t^\infty u(s) ds \right), \end{aligned}$$

*where  $F(t)$  denotes the Tracy-Widom distribution and  $u(s)$  the Painlevé II function. (By symmetry we can replace  $\text{is}(w)$  with  $\text{ds}(w)$ .)*

(b) *We have for random (uniform)  $w \in \mathfrak{J}_{2n}^*$  and all  $t \in \mathbb{R}$  that*

$$\begin{aligned} & \lim_{n \rightarrow \infty} \text{Prob} \left( \frac{\text{ds}_{2n}(w) - 2\sqrt{2n}}{(2n)^{1/6}} \leq t \right) \\ &= F(t)^{1/2} \exp \left( \frac{1}{2} \int_t^\infty u(s) ds \right) \end{aligned}$$

*(same up to scaling as largest eigenvalue of a real symmetric matrix from GOE model).*

(c) *We have for random (uniform)  $w \in \mathfrak{J}_{2n}^*$  and all  $t \in \mathbb{R}$  that*

$$\begin{aligned} & \lim_{n \rightarrow \infty} \text{Prob} \left( \frac{\text{is}_{2n}(w) - 2\sqrt{2n}}{(2n)^{1/6}} \leq t \right) \\ &= F(t)^{1/2} \cosh \left( \frac{1}{2} \int_t^\infty u(s) ds \right) \end{aligned}$$

*(same up to scaling as largest eigenvalue of a real skew-symmetric matrix from GSE model).*

## Pattern Avoidance

$$\begin{aligned} \mathbf{v} &= b_1 \cdots b_k \in \mathfrak{S}_k \\ \mathbf{w} &= a_1 \cdots a_n \in \mathfrak{S}_n \end{aligned}$$

$w$  **avoids**  $v$  if no subsequence  $a_{i_1} \cdots a_{i_k}$  of  $w$  is in the same relative order as  $v$ .

3 **5 2** 9 6 **8** 1 **4** 7 does **not** avoid 3142.

$w$  has no increasing (decreasing) subsequence of length  $k \Leftrightarrow w$  avoids  $12 \cdots k$  ( $k \cdots 21$ ).

Let  $v \in \mathfrak{S}_k$ . Define

$$\mathfrak{S}_n(v) = \{w \in \mathfrak{S}_n : w \text{ avoids } v\}$$

$$s_n(v) = \#\mathfrak{S}_n(v).$$

**Hammersley-Knuth-Rotem:**

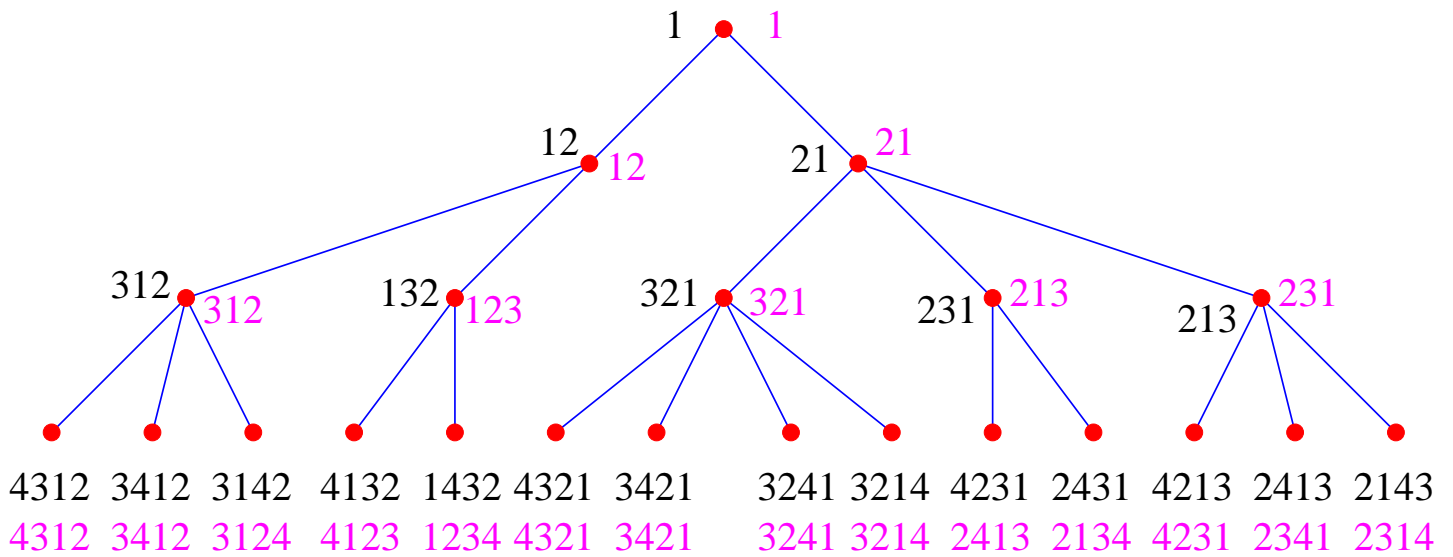
$$s_n(123) = s_n(321) = C_n.$$

**Knuth:**

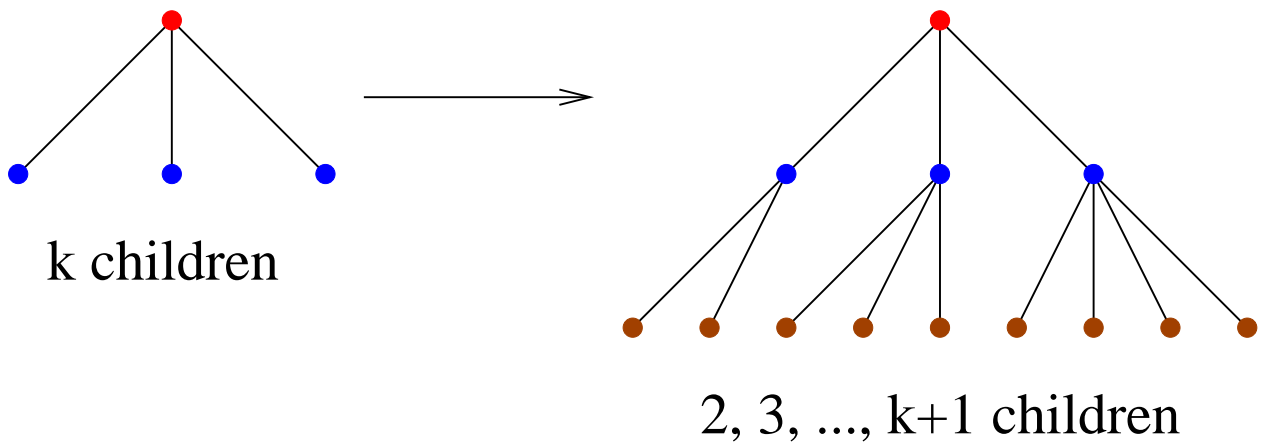
$$s_n(132) = s_n(213) = s_n(231) = s_n(312) = C_n.$$

Method of **generating trees** (Chung-Graham-Hoggatt-Kleiman, West): define  $u \leq v$  if  $u$  is a **subsequence** of  $v$ .

$$3142 \leq 8\mathbf{3}5\mathbf{1}96\mathbf{4}\mathbf{2}7$$



black: 123-avoiding  
 magenta: 132-avoiding



Define  $u \rightsquigarrow v$  if  $s_n(u) = s_n(v)$  for all  $n$ .

One equivalence class for  $k = 3$ .

Three equivalence classes for  $k = 4$ .

**Gessel:**  $s_n(1234) =$

$$\frac{1}{(n+1)^2(n+2)} \sum_{j=0}^n \binom{2j}{j} \binom{n+1}{j+1} \binom{n+2}{j+2}$$

**Bóna:**

$$\sum_{n \geq 0} s_n(1342)x^n = \frac{32x}{1 + 20x - 8x^2 - (1 - 8x)^{3/2}},$$

**Open:**  $s_n(1324)$

Typical application (**Ryan, Lakshmibai-Sandhya, Haiman**): Let  $w \in \mathfrak{S}_n$ .

The Schubert variety  $\Omega_w$  in the complete flag variety  $\mathrm{GL}(n, \mathbb{C})$  is smooth if and only if  $w$  avoids 4231 and 3412.

$$\sum_{n \geq 0} f(n)x^n = \frac{1}{1 - x - \frac{x^2}{1-x} \left( \frac{2x}{1+x-(1-x)C(x)} - 1 \right)},$$

where

$$C(x) = \sum_{n \geq 0} C_n x^n = \frac{1 - \sqrt{1 - 4x}}{2x}.$$



Joint with:

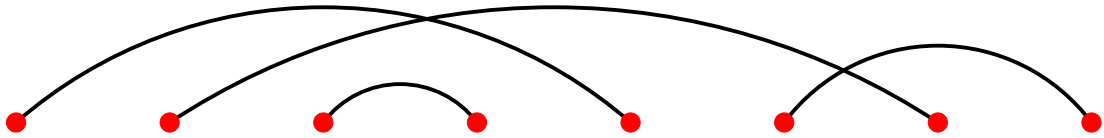
Bill Chen 陈永川

Eva Deng 邓玉平

Rosena Du 杜若霞

Catherine Yan 颜华菲

(complete) matching:



crossing:



nesting:



total number of matchings on  $[2n] := \{1, 2, \dots, 2n\}$  is

$$(2n - 1)!! := 1 \cdot 3 \cdot 5 \cdots (2n - 1).$$

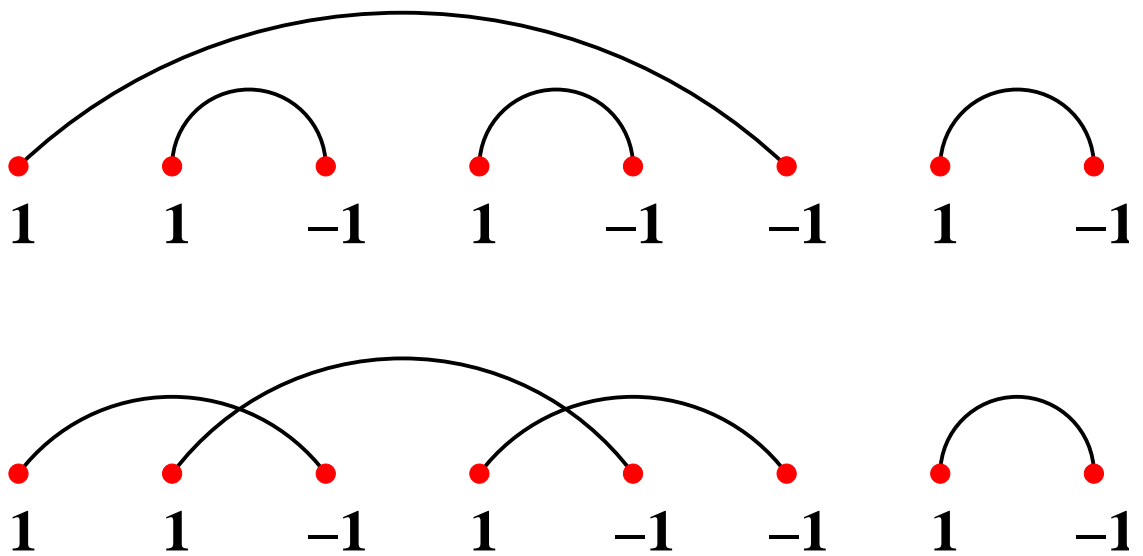
**Theorem.** *The number of matchings on  $[2n]$  with no crossings (or with no nestings) is*

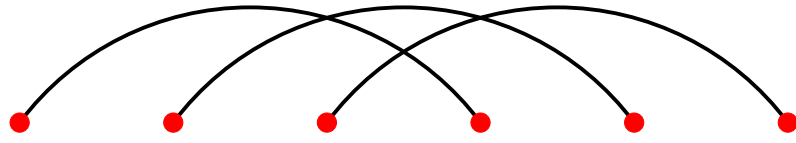
$$C_n := \frac{1}{n + 1} \binom{2n}{n}.$$

Well-known:

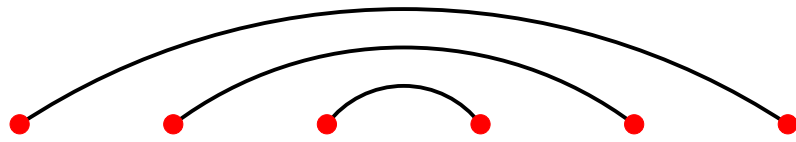
$$C_n = \#\{a_1 \cdots a_{2n} : a_i = \pm 1, \\ a_1 + \cdots + a_i \geq 0, \sum a_i = 0\}$$

(**ballot sequence**).





3-crossing



3-nesting

$M$  = matching

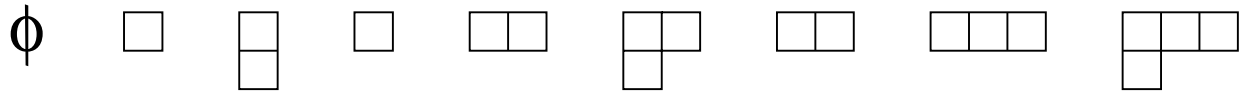
$\mathbf{cr}(M) = \max\{k : \exists k\text{-crossing}\}$

$\mathbf{ne}(M) = \max\{k : \exists k\text{-nesting}\}.$

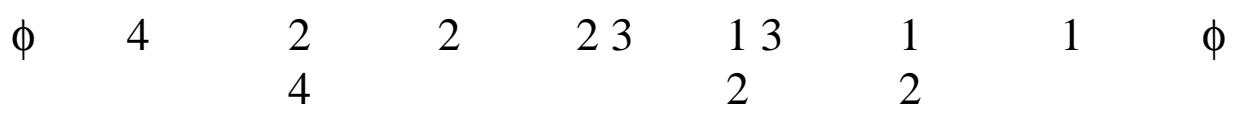
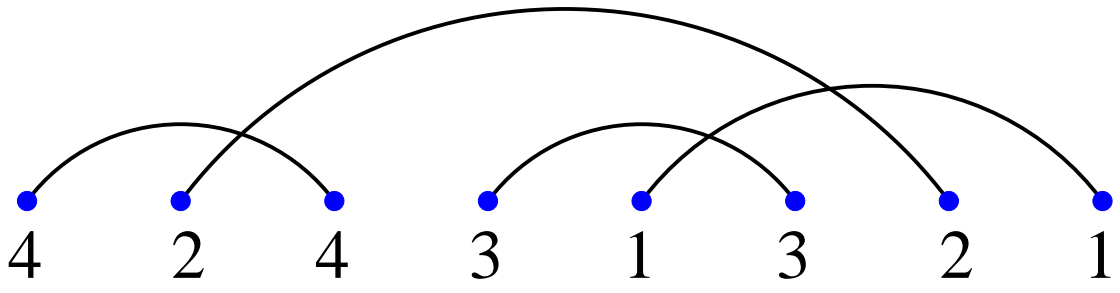
**Theorem.** Let  $f_n(i, j) = \#$  matchings  $M$  on  $[2n]$  with  $\mathbf{cr}(M) = i$  and  $\mathbf{ne}(M) = j$ . Then  $\mathbf{f}_n(i, j) = \mathbf{f}_n(j, i)$ .

**Corollary.**  $\#$  matchings  $M$  on  $[2n]$  with  $\mathbf{cr}(M) = k$  equals  $\#$  matchings  $M$  on  $[2n]$  with  $\mathbf{ne}(M) = k$ .

**Main tool: oscillating tableaux.**



shape  $(3, 1)$ , length 8



$\Phi(M) = ( \phi \square \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} \square \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} \square \phi )$

$\Phi$  is a bijection from matchings on  $1, 2, \dots, 2n$  to oscillating tableaux of length  $2n$ , shape  $\emptyset$ .

$$\tilde{f}_n^\lambda := \#\{\text{osc. tab. of shape } \lambda, \text{ length } n\}$$

**Corollary.**

$$\sum_{\lambda} \left(\tilde{f}_n^\lambda\right)^2 = (2n - 1)!!$$

**Proof.** Number of oscillating tableaux

$$(\emptyset = \lambda^0, \lambda^1, \dots, \lambda^{2n} = \emptyset)$$

of length  $2n$ , shape  $\emptyset$ , and with  $\lambda^n = \lambda$  is  $\left(\tilde{f}_n^\lambda\right)^2$ . Sum on all  $\lambda$  to get the total number of matchings on  $[2n]$ , viz.,  $(2n - 1)!!$ .  $\square$

**Brauer algebra  $\mathcal{B}_n$** : a complex semisimple algebra (depending on a parameter  $x$ ) of dimension  $(2n - 1)!!$ .

Dimensions of irreducible representations of  $\mathcal{B}_n$ :  $\tilde{f}_n^\lambda$ , confirming

$$\sum_{\lambda} \left( \tilde{f}_n^\lambda \right)^2 = (2n - 1)!!$$

Compare

$$\sum_{\lambda \vdash n} \left( f^\lambda \right)^2 = n!$$

**Schensted's theorem for matchings.** *Let*

$$\Phi(M) = (\emptyset = \lambda^0, \lambda^1, \dots, \lambda^{2n} = \emptyset).$$

*Then*

$$\begin{aligned} \text{cr}(M) &= \max\{(\lambda^i)'_1 : 0 \leq i \leq n\} \\ \text{ne}(M) &= \max\{\lambda^i_1 : 0 \leq i \leq n\}. \end{aligned}$$

**Proof.** Reduce to ordinary RSK.



Now let  $\text{cr}(M) = i$ ,  $\text{ne}(M) = j$ , and  
 $\Phi(M) = (\emptyset = \lambda^0, \lambda^1, \dots, \lambda^{2n} = \emptyset)$ .

Define  $M'$  by

$$\Phi(M') = (\emptyset = (\lambda^0)', (\lambda^1)', \dots, (\lambda^{2n})' = \emptyset).$$

By Schensted's theorem for matchings,

$$\text{cr}(M') = j, \quad \text{ne}(M') = i.$$

Thus  $M \mapsto M'$  is an involution on matchings of  $[2n]$  interchanging  $\text{cr}$  and  $\text{ne}$ .

$\Rightarrow$  **Theorem.** *Let  $f_n(i, j) = \#$  matchings  $M$  on  $[2n]$  with  $\text{cr}(M) = i$  and  $\text{ne}(M) = j$ . Then  $f_n(i, j) = f_n(j, i)$ .*

**Open:** simple description of  $M \mapsto M'$ , the analogue of

$$a_1 a_2 \cdots a_n \mapsto a_n \cdots a_2 a_1,$$

which interchanges  $\text{is}$  and  $\text{ds}$ .

## Enumeration of $k$ -noncrossing matchings (or nestings).

**Recall:** The number of matchings  $M$  on  $[2n]$  with no crossings, i.e.,  $\text{cr}(M) = 1$ , (or with no nestings) is  $C_n = \frac{1}{n+1} \binom{2n}{n}$ .

What about the number with  $\text{cr}(M) \leq k$ ?

Assume  $\text{cr}(M) \leq k$ . Let

$$\Phi(M) = (\emptyset = \lambda^0, \lambda^1, \dots, \lambda^{2n} = \emptyset).$$

Regard each  $\lambda^i = (\lambda_1^i, \dots, \lambda_k^i) \in \mathbb{N}^k$ .

**Corollary.** *The number  $f_k(\mathbf{n})$  of matchings  $M$  on  $[2n]$  with  $\text{cr}(M) \leq k$  is the number of lattice paths of length  $2n$  from  $\mathbf{0}$  to  $\mathbf{0}$  in the region*

$$\mathcal{C}_n := \{(a_1, \dots, a_k) \in \mathbb{N}^k : a_1 \leq \dots \leq a_k\}$$

*with steps  $\pm e_i$  ( $e_i = i$ th unit coordinate vector).*

$\mathcal{C}_n \otimes \mathbb{R}_{\geq 0}$  is a fundamental chamber for the Weyl group of type  $B_k$ .

**Grabiner-Magyar:** applied **Gessel-Zeilberger reflection principle** to solve this lattice path problem (not knowing connection with matchings).

**Theorem.** *Define*

$$\mathbf{H}_k(\mathbf{x}) = \sum_n f_k(n) \frac{x^{2n}}{(2n)!}.$$

*Then*

$$H_k(x) = \det \left[ I_{|i-j|}(2x) - I_{i+j}(2x) \right]_{i,j=1}^k$$

*where*

$$I_m(2x) = \sum_{j \geq 0} \frac{x^{m+2j}}{j!(m+j)!}$$

*as before.*

**Example.**  $k = 1$  (noncrossing matchings):

$$\begin{aligned} H_1(x) &= I_0(2x) - I_2(2x) \\ &= \sum_{j \geq 0} C_j \frac{x^{2j}}{(2j)!}. \end{aligned}$$

**Compare:**

$u_k(n) := \#\{w \in \mathfrak{S}_n : \text{longest increasing subsequence of length } \leq k\}.$

$$\sum_{n \geq 0} u_k(n) \frac{x^{2n}}{n!^2} = \det [I_{i-j}(2x)]_{i,j=1}^k.$$

**Baik-Rains** (implicitly):

$$\lim_{n \rightarrow \infty} \text{Prob} \left( \frac{\text{cr}_n(M) - \sqrt{2n}}{(2n)^{1/6}} \leq \frac{t}{2} \right) = F_1(t),$$

where

$$F_1(t) = \sqrt{F(t)} \exp \left( \frac{1}{2} \int_t^\infty u(x) dx \right),$$

where  $F(t)$  is the Tracy-Widom distribution and  $u(x)$  the Painlevé II function.

---

$$F(t) = \exp \left( - \int_t^\infty (x - t) u(x)^2 dx \right)$$

$$\frac{d^2}{dx^2} u(x) = 2u(x)^3 + xu(x)$$

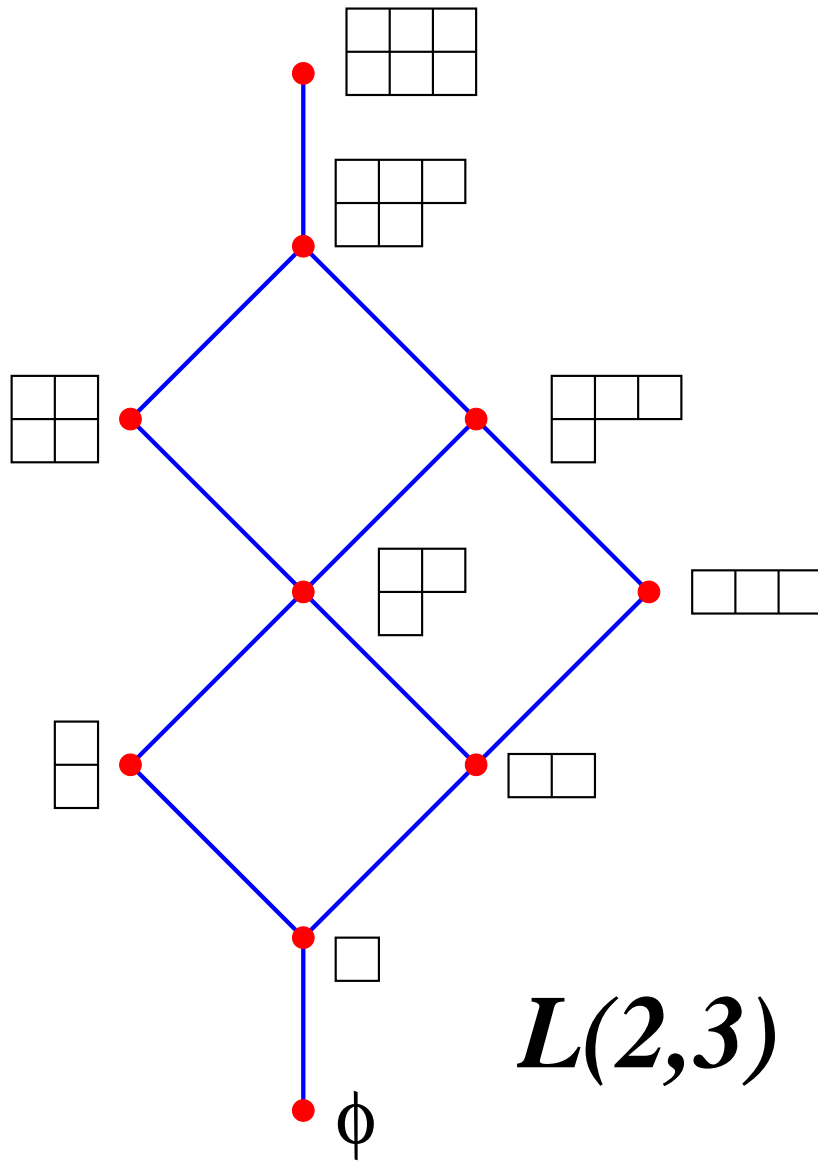
$$\mathbf{g}_{j,k}(n) := \#\{\text{matchings } M \text{ on } [2n], \\ \text{cr}(M) \leq j, \text{ne}(M) \leq k\}$$

Now

$g_{j,k}(n) = \#\{(\emptyset = \lambda^0, \lambda^1, \dots, \lambda^{2n} = \emptyset) : \\ \lambda^{i+1} = \lambda^i \pm \square, \lambda^i \subseteq j \times k \text{ rectangle}\},$   
a walk on the Hasse diagram  $\mathcal{H}(j, k)$   
of

$$\mathbf{L}(j, k) := \{\lambda \subseteq j \times k \text{ rectangle}\},$$

ordered by inclusion.





$\mathbf{A}$  = adjacency matrix of  $\mathcal{H}(j, k)$   
 $\mathbf{A}_0$  = adjacency matrix of  $\mathcal{H}(j, k) - \{\emptyset\}$ .

Transfer-matrix method  $\Rightarrow$

$$\sum_{n \geq 0} g_{j,k}(n) x^{2n} = \frac{\det(I - xA_0)}{\det(I - xA)}.$$

**Theorem** (Grabiner, implicitly) Every zero of  $\det(I - xA)$  has the form

$$2(\cos(\pi r_1/m) + \cdots + \cos(\pi r_j/m)),$$

where each  $r_i \in \mathbb{Z}$  and  $m = j + k + 1$ .

**Corollary.** *Every factor of  $\det(I - xA)$  over  $\mathbb{Q}$  has degree dividing*

$$\frac{1}{2}\phi(2(j + k + 1)),$$

*where  $\phi$  is the Euler phi-function.*

**Example.**

$$j = 2, k = 5, \frac{1}{2}\phi(16) = 4:$$

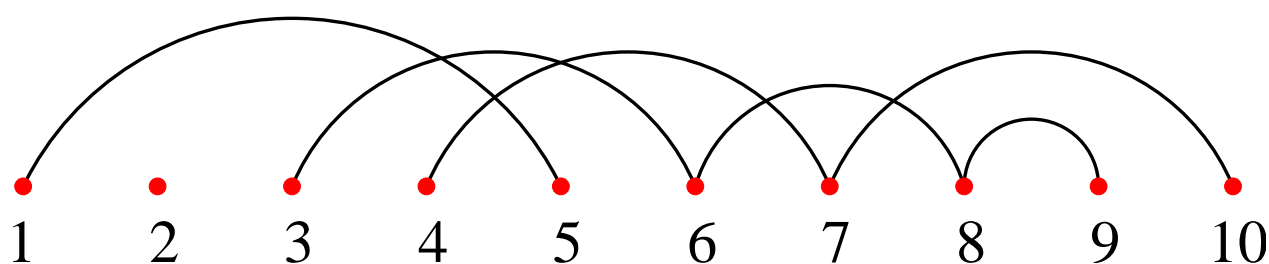
$$\begin{aligned} \det(I - xA) &= (1 - 2x^2)(1 - 4x^2 + 2x^4) \\ &\quad (1 - 8x^2 + 8x^4)(1 - 8x^2 + 8x^3 - 2x^4) \\ &\quad (1 - 8x^2 - 8x^3 - 2x^4) \end{aligned}$$

$$j = k = 3, \frac{1}{2}\phi(14) = 3:$$

$$\begin{aligned} \det(I - xA) &= (1 - x)(1 + x)(1 + x - 9x^2 - x^3) \\ &\quad (1 - x - 9x^2 + x^3)(1 - x - 2x^2 + x^3)^2 \\ &\quad (1 + x - 2x^2 - x^3)^2 \end{aligned}$$

**Partition** of the set  $[n]$ :

$\{1, 5\}, \{2\}, \{3, 6, 8, 9\}, \{4, 7, 10\}$



Generalize oscillating tableaux to  
**vacillating tableaux** (related to the  
**partition algebra**).

## Alternating Subsequences

A sequence  $b_1 b_2 \cdots b_k$  is **alternating** if

$$b_1 > b_2 < b_3 > b_4 < \cdots b_k.$$

$E_n$ : number of alternating  $w \in \mathfrak{S}_n$   
(**Euler number**)

$$E_4 = 5: 2143, 3142, 3241, 4132, 4231$$

**Desiré André** (1879):

$$\sum_{n \geq 0} E_n \frac{x^n}{n!} = \sec x + \tan x.$$

$as_n(w)$ : length of longest alternating subsequence of  $w \in \mathfrak{S}_n$

$$as_9(3 \mathbf{86} 4 1 \mathbf{925} 7) = 5$$

$$b_k(n) = \#\{w \in \mathfrak{S}_n : as_n(w) \leq k\}$$

$$b_1(n) = 1 \quad (w = 12 \cdots n)$$

$$b_n(n) = n!$$

$$b_n(n) - b_{n-1}(n) = E_n$$

Define

$$\mathbf{B}(x, t) = \sum_{k, n \geq 0} b_k(n) t^k \frac{x^n}{n!},$$

and set  $\boldsymbol{\rho} = \sqrt{1 - t^2}$ .

**Theorem.** *We have*

$$B(x, t) = \frac{1 + \rho + 2te^{\rho x} + (1 - \rho)e^{2\rho x}}{1 + \rho - t^2 + (1 - \rho - t^2)e^{2\rho x}}.$$

**Corollary** (with I. Gessel).

$$b_k(n) = \frac{1}{2^{k-1}} \sum_{\substack{i+2j \leq k \\ i \equiv k \pmod{2}}} (-2)^j \binom{k-j}{(k+i)/2} \binom{n}{j} i^n.$$

E.g.,

$$b_2(n) = 2^{n-1}$$

$$b_3(n) = \frac{1}{4}(3^n - 2n + 3)$$

$$b_4(n) = \frac{1}{8}(4^n - 2(n-2)2^n).$$

**Corollary.**

$$\frac{1}{n!} \sum_{w \in \mathfrak{S}_n} \text{as}_n(w) = \frac{4n+1}{6}, \quad n \geq 2$$

$$\text{Var}(\text{as}_n) = \frac{32n-3}{180}, \quad n \geq 4$$

**Corollary.**  $\#\{w \in \mathfrak{S}_n : \text{as}(w) \text{ even}\}$

$$= \#\{w \in \mathfrak{S}_n : \text{as}(w) \text{ odd}\}, \quad n > 1$$

Simple proof due to **Bóna** and **Pylyavskyy** (independently).

**Pemantle:** limiting distribution of  $as_n$ . Let

$$G(t) = \lim_{n \rightarrow \infty} \text{Prob} \left( \frac{as_n(w) - 2n/3}{\sqrt{n}} \leq t \right).$$

Then  $G(t)$  is Gaussian.

**Key lemma:** *Some longest alternating subsequence of  $w \in \mathfrak{S}_n$  contains  $n$ .*

Leads to recurrence for

$$\begin{aligned} c_k(n) &= b_k(n) - b_{k-1}(n) \\ &= \#\{w \in \mathfrak{S}_n : as_n(w) = k\}, \end{aligned}$$

namely,

$$\begin{aligned} c_k(n) &= \sum_{j=1}^n \binom{n-1}{j-1} \\ &\quad \sum_{2r+s=k-1} (c_{2r}(j-1) + c_{2r+1}(j-1)) c_s(n-j). \end{aligned}$$